Chevalley
Supergroups

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Abstract

In the framework of algebraic supergeometry, we give a construction of the scheme-theoretic supergeometric analogue of split reductive algebraic group-schemes, namely affine algebraic supergroups associated to simple Lie superalgebras of classical type. In particular, all Lie superalgebras of both *basic* and *strange* types are considered. This provides a unified approach to most of the algebraic supergroups considered so far in the literature, and an effective method to construct new ones.

Our method follows the pattern of a suitable scheme-theoretic revisitation of Chevalley's construction of semisimple algebraic groups, adapted to the reductive case. As an intermediate step, we prove an existence theorem for Chevalley bases of simple classical Lie superalgebras and a PBW-like theorem for their associated Kostant superalgebras.

CHAPTER 1

Introduction

In his work of 1955, Chevalley provided a combinatorial construction of all simple algebraic groups over any field. In particular, his method led to a proof of the existence theorem for simple algebraic groups and to new examples of finite simple groups which had escaped the attention of specialists in group theory. The groups that Chevalley constructed are now known as *Chevalley groups*. Furthermore, Chevalley's construction provided a description of all simple algebraic groups as group schemes over $\mathbb{Z}$.

In this work we adapt this philosophy to the setup of supergeometry, so to give an explicit construction of algebraic supergroups whose Lie superalgebra is of classical type over an arbitrary ring. Our construction provides at one stroke the supergroups corresponding to the families $A(m,n)$, $B(m,n)$, $C(n)$, $D(m,n)$ of basic Lie superalgebras and to the families of strange Lie superalgebras $P(n)$, $Q(n)$, as well as to the exceptional basic Lie superalgebras $F(4)$, $G(3)$, $D(2,1;a)$—for $a \in \mathbb{Z}$; cf. [**14**] for the general case. To our knowledge, supergroups corresponding to the exceptional Lie superalgebras have not previously appeared in the literature.

To explain our work, we first revisit the whole classical construction.

Let $\mathfrak{g}$ be a finite dimensional simple (or semisimple) Lie algebra over an algebraically closed field $\mathbb{K}$ (e.g. $\mathbb{K} = \mathbb{C}$). Fix in $\mathfrak{g}$ a Cartan subalgebra $\mathfrak{h}$; then a root system is defined, and $\mathfrak{g}$ splits into weight spaces indexed by the roots. Also, $\mathfrak{g}$ has a special basis, called *Chevalley* basis, for which the structure constants are integers, satisfying special conditions in terms of the root system. This defines an integral form of $\mathfrak{g}$, called *Chevalley Lie algebra*.

In the universal enveloping algebra of $\mathfrak{g}$, there is a $\mathbb{Z}$–integral form, called *Kostant algebra*, with a special "PBW-like" basis of ordered monomials, whose factors are divided powers of root vectors and binomial coefficients of Cartan generators, corresponding to elements of the Chevalley basis of $\mathfrak{g}$.

If V is a faithful $\mathfrak{g}$–module, there is a $\mathbb{Z}$–lattice $M \subseteq V$, which is stable under the action of the Kostant algebra. Hence the Kostant algebra acts on the vector space $V_{\mathbb{k}} := \mathbb{k} \otimes_{\mathbb{Z}} M$ for any field $\mathbb{k}$. Moreover there exists an integral form $\mathfrak{g}_V$ of $\mathfrak{g}$ leaving the lattice invariant and depending only on the representation V and not on the choice of the lattice.

Let B be the Chevalley basis we fixed in $\mathfrak{g}$: its elements are either root vectors—enoted X_α—or "toral" ones (i.e., belonging to $\mathfrak{h}$), denoted H_i. For any root vector X_α, we take the exponential $\exp(tX_\alpha) \in \mathrm{GL}(V_{\mathbb{k}})$—such an X_α acts nilpotently, so the exponential makes sense—for $t \in \mathbb{k}$, which altogether form an "(additive) one-parameter subgroup" of $\mathrm{GL}(V_{\mathbb{k}})$. The subgroup of $\mathrm{GL}(V_{\mathbb{k}})$ generated by all the $\exp(tX_\alpha)$'s, for all roots and all t, is the *Chevalley group* $G_V(\mathbb{k})$, as introduced by Chevalley. This defines $G_V(\mathbb{k})$ set-theoretically, as an abstract group; some extra work is required to show it is an algebraic group and to construct its functor of

points. We refer the reader to [**29**], [**6**], [**17**] for a comprehensive treatment of all of these aspects; nevertheless, we briefly outline hereafter one possible strategy to cast this construction in scheme-theoretical terms.

The construction of the vector spaces $V_{\Bbbk} := \Bbbk \otimes_{\mathbb{Z}} M$, of the linear groups $\mathrm{GL}(V_{\Bbbk})$ and of the corresponding subgroups $G_V(\Bbbk)$ generated by the exponentials $\exp(tX_\alpha) \in \mathrm{GL}(V_{\Bbbk})$, for $t \in \Bbbk$, can be directly extended, verbatim, by taking any ground (commutative, unital) ring A instead of a field $\Bbbk$. This yields a group–valued functor $(\mathrm{alg}) \longrightarrow (\mathrm{groups})$, where (alg) stands for the category of commutative unital rings and (groups) is the category of groups: however, this functor happens to be not "the right one". Thus one enlarges the set of generators of $G_V(A)$, taking for $G_V(A)$ the subgroup of $\mathrm{GL}(V_A) := \mathrm{GL}(A \otimes_{\mathbb{Z}} M)$ generated by all the "one-parameter subgroups" given by the $\exp(tX_\alpha)$—for all root vectors X_α and all coefficients $t \in A$—and by a "maximal torus" $T_V(A)$ generated by "multiplicative one-parameter subgroups"—of $\mathrm{GL}(V_A)$—attached to the toral elements H_i in the Chevalley basis B. This defines a new functor $G_V : (\mathrm{alg}) \longrightarrow (\mathrm{groups})$.

Now, the category (alg) is naturally antiequivalent—taking spectra—to the category $(\mathrm{aschemes})$ of affine schemes: via this, the functor G_V above defines a functor $G_V : (\mathrm{aschemes})^\circ \longrightarrow (\mathrm{groups})$, i.e. a "presheaf" on $(\mathrm{aschemes})$.

Then $(\mathrm{aschemes})$ with the Zariski topology is a site, and there exists a unique sheaf $\mathbf{G}_V : (\mathrm{aschemes})^\circ \longrightarrow (\mathrm{groups})$ suitably associated to G_V, namely the sheafification of G_V. Then one has that $\mathbf{G}_V$ is (the functor of points of) a group-scheme over $\mathbb{Z}$, namely the unique one which is reductive and split associated to the Cartan datum encoded by $\mathfrak{g}$ and its representation V.

In the present work, following the strategy outlined above, we extend Chevalley's construction to the supergeometric setting.

In supergeometry the best way to introduce supergroups is via their functor of points. Unlikely the classical setting, the points over a field of a supergroup tell us very little about the supergroup itself. In fact such points miss the odd coordinates and describe only the classical part of the supergroup. In other words, over a field we cannot see anything beyond classical geometry. Thus we cannot generalize Chevalley's recipe as it was originally designed, but we rather need to suitably and subtly modify it introducing the functor of points language right at the beginning—reversing the order in which the classical treatment was developed— along the pattern we sketched above. In particular, the functor of points approach realizes an affine supergroup as a representable functor from the category of commutative superalgebras (salg) to (groups). In this work, we shall first construct a functor from (salg) to (groups), via the strategy we just mentioned; then we shall prove that this functor is indeed representable.

Our initial datum is a simple Lie superalgebra of classical type (or a direct sum of finitely many of them, if one prefers), say $\mathfrak{g}$: in our construction it plays the role of the simple (or semisimple) Lie algebra in Chevalley's setting. We start by proving some basic results on $\mathfrak{g}$ (previously known only partially, cf. [**18**] and [**30**]) like the existence of *Chevalley bases*, and a PBW-like theorem for the Kostant $\mathbb{Z}$–form of the universal enveloping superalgebra.

Next we take a faithful $\mathfrak{g}$–module V, and we show that there exists a lattice M in V fixed by the Kostant superalgebra and also by a certain integral form $\mathfrak{g}_V$ of $\mathfrak{g}$, which again depends on V only. We then define a functor G_V from (salg) to (groups) as follows. For any commutative superalgebra A, we define $G_V(A)$ to be

the subgroup of $\mathrm{GL}(V(A))$—with $V(A) := A \otimes_{\mathbb{Z}} M$ —generated by the homogeneous one-parameter unipotent subgroups associated to the root vectors, together with the multiplicative one-parameter subgroups corresponding to elements in the Cartan subalgebra. In this supergeometric setting, one must carefully define the homogeneous one-parameter subgroups, which may have three possible superdimensions: $1|0$, $0|1$ and $1|1$. This also will be discussed.

As a group-theoretical counterpart of the $\mathbb{Z}_2$–splitting $\mathfrak{g} = \mathfrak{g}_0 \oplus \mathfrak{g}_1$, we find a factorization $G_V(A) = G_0(A)\, G_1^<(A) \cong G_0(A) \times G_1^<(A)$. Here $G_0(A)$ is (roughly) a classical Chevalley-like group attached to $\mathfrak{g}_0$ and V, while $G_1^<(A)$ may be euristically thought of as exponential of $A_1 \otimes \mathfrak{g}_1$. In fact, we show that actually the functor $G_1^< : A \mapsto G_1(A)$ is representable and isomorphic to $\mathbb{A}_{\mathbb{k}}^{0|dim(\mathfrak{g}_1)}$.

Indeed, our result is more precise: in fact, $\mathfrak{g}_1$ in turn splits into $\mathfrak{g}_1 = \mathfrak{g}_1^+ \oplus \mathfrak{g}_1^-$ according to the splitting of odd roots into positive and negative ones, and so at the group level we have $G_1^<(A) \cong G_1^{+,<}(A) \times G_1^{+,<}(A)$ and $G(A) \cong G_1^{-,<}(A) \times G_0(A) \times G_1^{+,<}(A)$, resembling the classical "big cell" decomposition, which however in this context holds *globally*.

The key "drawback" of the functor G_V is that it is not representable, hence it is not (the functor of points of) an algebraic supergroup. This occurs already at the classical level: one-parameter subgroups and maximal tori, defined via their functor of points, are not enough to generate split reductive algebraic groups (as group-schemes over $\mathbb{Z}$). Hence again we need to pass to sheaves, namely taking the sheafification $\mathbf{G}_V$ of the functor G_V, which coincides with G_V on local superalgebras (we provide at the end an appendix with a brief treatment of sheafification of functors). In particular, $\mathbf{G}_V$ inherits the factorization $\mathbf{G}_V = \mathbf{G}_0\,\mathbf{G}_1 \cong \mathbf{G}_0 \times \mathbf{G}_1$, with $\mathbf{G}_1 = G_1$ and $\mathbf{G}_0$ being a classical (split reductive) Chevalley-like group-scheme associated to $\mathfrak{g}_0$ and V. More in detail, we find the finer factorization $\mathbf{G}_V(A) = \mathbf{G}_0(A) \times \mathbf{G}_1^{-,<}(A) \times \mathbf{G}_1^{+,<}(A)$ with $\mathbf{G}_1(A) = \mathbf{G}_1^{-,<}(A) \times \mathbf{G}_1^{+,<}(A)$ and $\mathbf{G}_1^{\pm,<}(A) = G_1^{\pm,<}(A)$. As $\mathbf{G}_1 = G_1$ and $\mathbf{G}_0$ are representable, the above factorization implies that $\mathbf{G}_V$ is representable too, and so it is an algebraic supergroup. We then take it to be, by definition, our "Chevalley supergroup".

In the end, we prove the functoriality in V of our construction, and that, over any field $\mathbb{k}$, the Lie superalgebra $\mathrm{Lie}(\mathbf{G}_V)$ is just $\mathbb{k} \otimes \mathfrak{g}_V$. In other words, we prove that a suitable super-version of Lie's Third Theorem does hold in the present case.

We conclude with a description of the paper, which is organised as follows.

Chapter 2 collects a series of definition and results which we need throughout the paper. In particular, we set the framework of "supergeometry" briefly explaining how our functorial approach stands in comparison with other approaches appearing in the literature. We then recall the notion of Lie superalgebra and the description and classification of classical Lie superalgebras. In the end we introduce "homogeneous one-parameter supersubgroups", which are a natural generalization of the one-parameter subgroups in the ordinary Lie theory and will be instrumental for our definition of Chevalley supergroups.

In chapter 3, we first recall the machinery, attached to any classical Lie superalgebra $\mathfrak{g}$, of Cartan subalgebras, root systems, root vectors, root spaces, etc. We then introduce the notion of "Chevalley basis" for $\mathfrak{g}$, roughly a basis of $\mathfrak{g}$ made of Cartan elements and root vectors with some integral conditions on their brackets. The main result in this chapter is the existence theorem for Chevalley bases for classical Lie superalgebras.

In chapter 4 we define the "Kostant superalgebra" $K_{\mathbb{Z}}(\mathfrak{g})$ attached to $\mathfrak{g}$ and to a fixed Chevalley basis B, as the unital subalgebra of $U(\mathfrak{g})$ generated over $\mathbb{Z}$ by the odd root vectors, the divided powers in the even root vectors and the binomial coefficients in the Cartan elements (in B). We describe the "commutation rules" among the generators of $K_{\mathbb{Z}}(\mathfrak{g})$, and we use these rules to prove a "PBW-like Theorem" for $K_{\mathbb{Z}}(\mathfrak{g})$.

Chapter 5 is devoted to the construction of "Chevalley supergroups". First we show that any rational faithful semisimple $\mathfrak{g}$–module V, under mild assumptions, contains a $\mathbb{Z}$–integral form M, which is $K_{\mathbb{Z}}(\mathfrak{g})$–stable. This allows to define a functorial recipe yielding an $(A \otimes K_{\mathbb{Z}}(\mathfrak{g}))$–representation on $A \otimes M$ by scalar extension to any commutative superalgebra A. Through this, we take all the one-parameter supersubgroups—inside the supergroup functor GL(V) associated to V and M—corresponding to the root vectors and the Cartan elements in the Chevalley basis, and the subgroup they generate. This defines a supergroup functor G_V, whose sheafification $\mathbf{G}_V$ we define *Chevalley supergroup*.

The rest of the chapter is devoted to prove that the supergroup functor $\mathbf{G}_V$ is representable, in other words, it is the functor of points of an affine algebraic supergroup scheme. This is a very subtle point that we settle with a careful analysis of the structure of $\mathbf{G}_V(A)$.

In the last part of the chapter, we show that the supergroup $\mathbf{G}_V$ actually depends only on V and not on the many choices made along with the construction, and moreover has good functoriality properties with respect to V. In particular, we explain the relation between different Chevalley supergroups built upon the same classical Lie superalgebra $\mathfrak{g}$ and different $\mathfrak{g}$–modules V. Also, we prove Lie's Third Theorem for $\mathbf{G}_V$, that is to say $\mathrm{Lie}(\mathbf{G}_V) = \mathfrak{g}$.

Finally, in chapter 6 we treat apart the "singular" cases, namely those when $\mathfrak{g}$ is of type $A(1,1)$, $P(3)$ or $Q(n)$. These were discarded before, because in these cases some roots have non-trivial multiplicity (i.e., root spaces have multiplicity greater than one), so additional care is needed. Indeed, almost nothing changes when dealing with $A(1,1)$ and $P(3)$: roughly, it is enough to adopt a careful notation. Instead, the definition of Chevalley basis must be carefully rethought in case $Q(n)$: the construction and description of $K_{\mathbb{Z}}(\mathfrak{g})$ change accordingly. The rest of our construction of Chevalley supergroups then goes through essentially unchanged, up to minor details.

Preliminaries

*In this chapter, we collect a series of definitions and results which we shall
need throughout all the paper. In particular, we establish the terminology and the
framework of "supergeometry", we are going to work in. Supergeometry is quite
a huge domain: here we bound ourselves to mention only those particular notions
which we shall need later on in our work. We are going to give only few glimpses
to the other parts of the theory just to make clear what is the link between our point
of view and some of the many other different approaches found in the literature.
For instance, we spend just a few lines on the key notions of superspaces, super-
manifolds and superschemes, and then we quickly get to explain their relationship
with supergroup functors, through the notion of "functor of points", which is our
approach to the construction of Chevalley supergroups. Similarly, we introduce the
notion of classical Lie superalgebras, but we refer the reader to the literature for
further details.*

*Results here are generally quoted without proofs. For supergeometry, one can
refer to the vast literature on the subject; nonetheless, we prefer to mostly quote
the monograph* [**7**]*, when we mention precise statements. For Lie superalgebras
our main reference will be Kac's works, such as his foundational work* [**20**]*, but
occasionally may quote additional ones.*

*At the end of the chapter, we have a section on "homogeneous one-parameter
supersubgroups". These are supersubgroups in a given supergroup* **G***, which "inte-
grate" a Lie supersubalgebra* $\mathfrak{k}$ *of the tangent Lie superalgebra* $\mathfrak{g} := \mathrm{Lie}(\mathbf{G})$ *of* **G**
generated, as a Lie superalgebra, by a single, homogeneous (even or odd) vector in
$\mathfrak{g}$ *. This notion is modelled on the classical one-parameter group definition in the
standard Lie theory, yet the situation that actually shows up is somewhat richer. In
fact, (super)dimensions* $1|0$ *,* $0|1$ *,* $1|1$ *make their appearance, thus making the ter-
minology "one-parameter supersubgroups" a bit awkward. We nevertheless prefer
to keep it, to mark the striking analogies with the classical theory.*

2.1. Superalgebras, superspaces, supergroups

Let $\Bbbk$ be a unital, commutative ring.

We call $\Bbbk$–*superalgebra* any associative, unital $\Bbbk$–algebra A which is $\mathbb{Z}_2$–graded;
that is, A is a $\Bbbk$–algebra graded by the two-element group $\mathbb{Z}_2$. Thus A splits as
$A = A_0 \oplus A_1$, and $A_a A_b \subseteq A_{a+b}$. The $\Bbbk$–submodule A_0 and its elements are
called *even*, while A_1 and its elements *odd*. By $p(x)$ we denote the *parity* of any
homogeneous element $x \in A_{p(x)}$. Clearly, $\Bbbk$–superalgebras form a category, whose
morphisms are all those in the category of algebras which preserve the unit and the
$\mathbb{Z}_2$–grading. At last, for any $n \in \mathbb{N}$ we call A_1^n the A_0–submodule of A spanned

by all products $\vartheta_1 \cdots \vartheta_n$ with $\vartheta_i \in A_1$ for all i, and $A_1^{(n)}$ the unital subalgebra of A generated by A_1^n.

A superalgebra A is said to be *commutative* iff $xy = (-1)^{p(x)p(y)}yx$ for all homogeneous x, $y \in A$. We denote by (salg) the category of commutative superalgebras; if necessary, we shall stress the role of $\Bbbk$ by writing $(\text{salg})_{\Bbbk}$.

DEFINITION 2.1. A *superspace* $S = (|S|, \mathcal{O}_S)$ is a topological space $|S|$ endowed with a sheaf of commutative superalgebras $\mathcal{O}_S$ such that the stalk $\mathcal{O}_{S,x}$ is a local superalgebra for all $x \in |S|$.

A *morphism* $\phi : S \longrightarrow T$ of superspaces consists of a pair $\phi = (|\phi|, \phi^*)$, where $\phi : |S| \longrightarrow |T|$ is a morphism of topological spaces and $\phi^* : \mathcal{O}_T \longrightarrow \phi_* \mathcal{O}_S$ is a sheaf morphism such that $\phi_x^*(\mathbf{m}_{|\phi|(x)}) = \mathbf{m}_x$ where $\mathbf{m}_{|\phi|(x)}$ and $\mathbf{m}_x$ are the maximal ideals in the stalks $\mathcal{O}_{T,\,|\phi|(x)}$ and $\mathcal{O}_{S,x}$ respectively and ϕ_x^* is the morphism induced by ϕ^* on the stalks. Here as usual $\phi_* \mathcal{O}_S$ is the sheaf on $|T|$ defined as $\phi_* \mathcal{O}_S(V) := \mathcal{O}_S(\phi^{-1}(V))$.

Given a superspace $S = (|S|, \mathcal{O}_S)$, let $\mathcal{O}_{S,0}$ and $\mathcal{O}_{S,1}$ be the sheaves on $|S|$ defined as follows: $\mathcal{O}_{S,0}(U) := \mathcal{O}_S(U)_0$, $\mathcal{O}_{S,1}(U) := \mathcal{O}_S(U)_1$ for each open subset U in $|S|$. Then $\mathcal{O}_{S,0}$ is a sheaf of ordinary commutative algebras, while $\mathcal{O}_{S,1}$ is a sheaf of $\mathcal{O}_{S,0}$–modules.

DEFINITION 2.2. A *superscheme* is a superspace $S := (|S|, \mathcal{O}_S)$ such that $(|S|, \mathcal{O}_{S,0})$ is an ordinary scheme and $\mathcal{O}_{S,1}$ is a quasi-coherent sheaf of $\mathcal{O}_{S,0}$–modules. A *morphism* of superschemes is a morphism of the underlying superspaces.

DEFINITION 2.3. Let $A \in (\text{salg})$ and let $\mathcal{O}_{A_0}$ be the structural sheaf of the ordinary scheme $\underline{Spec}(A_0) = (Spec(A_0), \mathcal{O}_{A_0})$, where $Spec(A_0)$ denotes the prime spectrum of the commutative ring A_0. Now A is a module over A_0, so we have a sheaf $\mathcal{O}_A$ of $\mathcal{O}_{A_0}$–modules over $Spec(A_0)$ with stalk A_p, the p–localization of the A_0–module A, at the prime $p \in Spec(A_0)$.

We define the superspace $\underline{Spec}(A) := (Spec(A_0), \mathcal{O}_A)$. By its very definition $\underline{Spec}(A)$ is a superscheme.

Given $f : A \longrightarrow B$ a superalgebra morphism, one can define $\underline{Spec}(f) : \underline{Spec}(B) \longrightarrow \underline{Spec}(A)$ in a natural way, very similarly to the ordinary setting, thus making $\underline{Spec}$ into a functor $\underline{Spec} : (\text{salg}) \longrightarrow (\text{sets})$, where (salg) is the category of (commutative) superalgebras and (sets) the category of sets (see [**7**], ch. 5, or [**10**], ch. 1, for more details).

DEFINITION 2.4. We say that a superscheme X is *affine* if it is isomorphic to $\underline{Spec}(A)$ for some commutative superalgebra A.

Clearly any superscheme is locally isomorphic to an affine superscheme.

EXAMPLE 2.5. The *affine superspace* $\mathbb{A}_{\Bbbk}^{p|q}$, also denoted $\Bbbk^{p|q}$, is defined—for each p, $q \in \mathbb{N}$—as $\mathbb{A}_{\Bbbk}^{p|q} := (\mathbb{A}_{\Bbbk}^p, \mathcal{O}_{\mathbb{A}_{\Bbbk}^{p|q}})$, with

$$\mathcal{O}_{\mathbb{A}_{\Bbbk}^{p|q}}\big|_U = \mathcal{O}_{\mathbb{A}_{\Bbbk}^p}\big|_U \otimes \Bbbk[\xi_1 \ldots \xi_q], \qquad U \text{ open in } \Bbbk^p$$

where $\Bbbk[\xi_1 \ldots \xi_q]$ is the exterior (or "Grassmann") algebra generated by ξ_1, ..., ξ_q, and $\mathcal{O}_{\mathbb{A}_{\Bbbk}^p}$ denotes the sheaf of polynomial functions on the classical affine space $\mathbb{A}_{\Bbbk}^p := \Bbbk^p$. Indeed, this is an example of affine superscheme, because $\mathbb{A}_{\Bbbk}^{p|q} \cong \underline{Spec}\big(\Bbbk[x_1, \ldots, x_p] \otimes_{\Bbbk} \Bbbk[\xi_1 \ldots \xi_q]\big)$.

The concept of supermanifold provides another important example of superspace. While our work is mainly focused on the algebraic category, we nevertheless want to briefly introduce the differential setting, since our definition of Chevalley supergroup is modelled on the differential homogeneous one-parameter subgroups, as we shall see in Chapter 5.

We start with an example describing the local model of a supermanifold. Hereafter, when we speak of *supermanifolds*, we assume $\Bbbk$ to be $\mathbb{R}$ or $\mathbb{C}$.

EXAMPLE 2.6. We define the superspace $\Bbbk^{p|q}$ as the topological space $\Bbbk^p$ endowed with the following sheaf of superalgebras. For any open subset $U \subseteq \Bbbk^p$ we set $\mathcal{O}_{\Bbbk^{p|q}}(U) := \mathcal{O}_{\Bbbk^p}(U) \otimes \Bbbk[\xi^1 \dots \xi^q]$ where $\mathcal{O}_{\Bbbk^p}$ denotes here the sheaf of smooth, resp. analytic, functions on $\Bbbk^p$ when $\Bbbk = \mathbb{R}$, resp. $\Bbbk = \mathbb{C}$.

DEFINITION 2.7. A *supermanifold* of dimension $p|q$ is a superspace $M = (|M|, \mathcal{O}_M)$ which is locally isomorphic (as superspace) to $\Bbbk^{p|q}$; that is, for all $x \in |M|$ there exist an open neighborhood $V_x \subset |M|$ of x and an open subset $U \subseteq \Bbbk^p$ such that $\mathcal{O}_M\big|_{V_x} \cong \mathcal{O}_{\Bbbk^{p|q}}\big|_U$. A *morphism* of supermanifolds is simply a morphism of superspaces. Supermanifolds, together with their morphisms, form a category that we denote with (smflds).

The theory of supermanifolds resembles very closely the classical theory. More details on the basic facts of supergeometry can be found for example in [**33**], Ch. 4, or in [**7**], Ch. 3–4. Here instead, we turn now to examine the notion of functor of points, both in the algebraic and the differential category.

DEFINITION 2.8. Let X be a superscheme. Its *functor of points* is the functor $h_X : (\text{salg}) \longrightarrow (\text{sets})$ defined as $h_X(A) := \text{Hom}\big(\underline{Spec}(A), X\big)$ on the objects and as $h_X(f)(\phi) := \phi \circ \underline{Spec}(f)$ on the arrows. If h_X is group valued, i. e. it is valued in the category (groups) of groups, we say that X is a *supergroup*. When X is affine, this is equivalent to the fact that $\mathcal{O}(X)$—the superalgebra of global sections of the structure sheaf on X—is a (commutative) *Hopf superalgebra*. More in general, we call *supergroup functor* any functor $G : (\text{salg}) \longrightarrow (\text{groups})$.

Any representable supergroup functor is the same as an affine supergroup: indeed, the former corresponds to the functor of points of the latter.

Following a customary abuse of notation, we shall then use the same letter to denote both the superscheme X and its functor of points h_X.

Similarly we can define the functor of points for supermanifolds.

DEFINITION 2.9. For any supermanifold M, we define its *functor of points* $h_M : (\text{smflds})^\circ \longrightarrow (\text{sets})$, where $(\text{smflds})^\circ$ denotes the opposite category to (smflds), as follows:

— $M \mapsto h_M(T) := \text{Hom}\big(T, M\big)$ for any object M in $(\text{smflds})^\circ$,

— $h_M(f) : \phi \mapsto h_M(f)(\phi) := \phi \circ f$ for any arrow $f \in \text{Hom}\big(T', T\big)$ in $(\text{smflds})^\circ$, and any $\phi \in \text{Hom}\big(T', M\big)$.

If the functor h_M is group valued we say that M is a *Lie supergroup*.

The importance of the functor of points is spelled out by a version of Yoneda's Lemma, that essentially tells us that the functor of points recaptures all the information carried by the supermanifold or the superscheme.

PROPOSITION 2.10 (Yoneda's Lemma). *Let $\mathcal{C}$ be a category, $\mathcal{C}^\circ$ its opposite category, and two objects M, N in $\mathcal{C}$. Consider the functors $h_M : \mathcal{C}^\circ \longrightarrow (\text{sets})$*

and $h_N : \mathcal{C}^\circ \longrightarrow$ (sets) *defined by* $h_M(T) := \mathrm{Hom}\big(T, M\big)$, $h_N(T) := \mathrm{Hom}\big(T, N\big)$ *on any object* T *in* $\mathbb{C}^\circ$ *and by* $h_M(f)(\phi) := \phi \circ f$, $h_N(f)(\psi) := \psi \circ f$ *on any arrow* $f \in \mathrm{Hom}\big(T', T\big)$ *in* (smflds)$^\circ$, *for any* $\phi \in \mathrm{Hom}\big(T', M\big)$ *and* $\psi \in \mathrm{Hom}\big(T', N\big)$.

Then there exists a one-to-one correspondence between the natural transformations $\big\{ h_M \longrightarrow h_N \big\}$ *and the morphisms* $\mathrm{Hom}(M, N)$.

This has the following immediate application to supermanifolds: two supermanifolds are isomorphic if and only if their functors of points are.

The same is true also for superschemes even if, with our definition of their functor of points, this is not immediately clear. In fact, given a superscheme X, we can give another definition of functor of points, equivalent to the previous one, as the functor from the category of superschemes to the category of sets, defined as $T \longrightarrow \mathrm{Hom}(T, X)$. Now, Yoneda's Lemma tells us that two superschemes are isomorphic if and only if their functors of points are.

For more details on functors of points in the two categories, and the equivalence of the two given definitions in the algebraic setting, see [**7**], Ch. 3–5.

In the present work, we shall actually consider only affine supergroups, which we are going to describe mainly via their functor of points.

The next examples turn out to be very important in the sequel.

EXAMPLES 2.11.

(1) Let V be a super vector space. For any superalgebra A we define $V(A) := (A \otimes V)_0 = A_0 \otimes V_0 \oplus A_1 \otimes V_1$. This is a representable functor in the category of superalgebras, whose representing object is $\mathrm{Pol}(V)$, the algebra of polynomial functions on V. Hence any super vector space can be equivalently viewed as an affine superscheme.

(2) $\mathrm{GL}(V)$ *as an algebraic supergroup.* Let V be a finite dimensional super vector space of dimension $p|q$. For any superalgebra A, let $\mathrm{GL}(V)(A) := \mathrm{GL}\big(V(A)\big)$ be the set of isomorphisms $V(A) \longrightarrow V(A)$. If we fix a homogeneous basis for V, we see that $V \cong \Bbbk^{p|q}$; in other words, $V_0 \cong \Bbbk^p$ and $V_1 \cong \Bbbk^q$. In this case, we also denote $\mathrm{GL}(V)$ with $\mathrm{GL}(p|q)$. Now, $\mathrm{GL}(p|q)(A)$ is the group of invertible matrices of size $(p + q)$ with diagonal block entries in A_0 and off-diagonal block entries in A_1. It is well known that the functor $\mathrm{GL}(V)$ is representable; see (e.g.), [**33**], Ch. 3, for further details.

(3) $\mathrm{GL}(V)$ *as a Lie supergroup.* Let V be a super vector space of dimension $p|q$ over $\mathbb{R}$ or $\mathbb{C}$. For any supermanifold T, define $\mathrm{GL}(V)(T)$ as the set of isomorphisms $V(T) \longrightarrow V(T)$; by an abuse of notation we shall use the same symbol to denote GL in the algebraic and the differential setting. When we are writing $V(T)$, we are taking V as a supermanifold, hence $V(T) = \mathrm{Hom}(T, V)$. By a result in [**21**] (§2.15, page 208), we have that $\mathrm{Hom}(T, V) = \mathrm{Hom}\big(\mathcal{O}_V(V), \mathcal{O}_T(T)\big)$. If we fix a homogeneous basis for V, $\mathrm{Hom}(T, V)$ can be identified with the set of all $(p + q)$–uples with entries in $\mathcal{O}_T(T)$, the first p entries being even and the last q odd. As before, $\mathrm{GL}(V)(T)$ can be identified with the group of $(p + q) \times (p + q)$ invertible matrices, whose diagonal blocks have entries in $\mathcal{O}_T(T)_0$, while the off-diagonal blocks have entries in $\mathcal{O}_T(T)_1$. Now again, $\mathrm{GL}(V)$ is a representable functor (see [**33**], Ch. 6), i.e. there exists a supermanifold—again denoted by $\mathrm{GL}(V)$—whose functor of points is exactly $\mathrm{GL}(V)$.

2.2. Lie superalgebras

From now on, we assume $\Bbbk$ to be such that 2 and 3 are not zero and they are not zero divisors in $\Bbbk$. Moreover, *all $\Bbbk$–modules hereafter will be assumed to have no p–torsion for $p \in \{2, 3\}$.*

DEFINITION 2.12. Let $\mathfrak{g} = \mathfrak{g}_0 \oplus \mathfrak{g}_1$ be a super (i.e., $\mathbb{Z}_2$–graded) $\Bbbk$–module (with no p–torsion for $p \in \{2, 3\}$, as mentioned above). We say that $\mathfrak{g}$ is a Lie superalgebra, if we have a bracket $[\,,\,] : \mathfrak{g} \times \mathfrak{g} \longrightarrow \mathfrak{g}$ which satisfies the following properties (for all $x, y \in \mathfrak{g}$ homogeneous):

(1) Anti-symmetry: $\quad [x, y] + (-1)^{p(x)\, p(y)}[y, x] = 0$

(2) Jacobi identity:

$$(-1)^{p(x)\, p(z)} [x, [y, z]] + (-1)^{p(y)\, p(x)} [y, [z, x]] + (-1)^{p(z)\, p(y)} [z, [x, y]] = 0$$

EXAMPLE 2.13. Let $V = V_0 \oplus V_1$ be a *free* super $\Bbbk$–module, and consider $\mathrm{End}(V)$, the endomorphisms of V as an ordinary $\Bbbk$–module. This is again a free super $\Bbbk$–module, $\mathrm{End}(V) = \mathrm{End}(V)_0 \oplus \mathrm{End}(V)_1$, where $\mathrm{End}(V)_0$ are the morphisms which preserve the parity, while $\mathrm{End}(V)_1$ are the morphisms which reverse the parity. If V has finite rank, and we choose a basis for V of homogeneous elements (writing first the even ones), then $\mathrm{End}(V)_0$ is the set of all diagonal block matrices, while $\mathrm{End}(V)_1$ is the set of all off-diagonal block matrices. Thus $\mathrm{End}(V)$ is a Lie superalgebra with bracket

$$[A, B] := AB - (-1)^{|A||B|} BA \quad \text{for all homogeneous} \ \ A, B \in \mathrm{End}(V).$$

The standard example is $V := \Bbbk^{p|q} = \Bbbk^p \oplus \Bbbk^q$, with $V_0 := \Bbbk^p$ and $V_1 := \Bbbk^q$. In this case, we also write $\mathrm{End}\big(\Bbbk^{p|q}\big) := \mathrm{End}(V)$ or $\mathfrak{gl}(p\,|q) := \mathrm{End}(V)$.

In $\mathrm{End}(V)$ we can define the *supertrace* as follows:

$$\mathrm{str} \begin{pmatrix} A & B \\ C & D \end{pmatrix} := \mathrm{tr}(A) - \mathrm{tr}(D) \quad .$$

For the rest of this section, we assume $\Bbbk$ to be an algebraically closed field of characteristic zero—though definitions make sense in general.

DEFINITION 2.14. A non-Abelian Lie superalgebra $\mathfrak{g}$ is called a *classical Lie superalgebra* if it is simple, i.e. it has no nontrivial (homogeneous) ideals, and $\mathfrak{g}_1$ is completely reducible as a $\mathfrak{g}_0$–module. Furthermore, $\mathfrak{g}$ is said to be *basic* if, in addition, it admits a non-degenerate, invariant bilinear form.

EXAMPLES 2.15 (cf. [**20**], [**27**]).

(1) — $\mathfrak{sl}(m\,|\,n)$. Define $\mathfrak{sl}(m|n)$ as the subset of $\mathfrak{gl}(m|n)$ all matrices with supertrace zero. This is a Lie subalgebra of $\mathfrak{gl}(m|n)$, with $\mathbb{Z}_2$–grading

$$\mathfrak{sl}(m|\,n)_0 = \mathfrak{sl}(m) \oplus \mathfrak{sl}(n) \oplus \mathfrak{gl}(1) \ , \qquad \mathfrak{sl}(m|\,n)_1 = f_m \otimes f_n' \oplus f_m' \otimes f_n$$

where f_r is the defining representation of $\mathfrak{sl}(r)$ and f_r' is its dual (for any r). When $m \neq n$ this Lie superalgebra is a *classical* one.

(2) — $\mathfrak{osp}(p\,|q)$. Let ϕ denote a nondegenerate consistent supersymmetric bilinear form in $V := \Bbbk^{p|q}$. This means that V_0 and V_1 are mutually orthogonal and the restriction of ϕ to V_0 is symmetric and to V_1 is skewsymmetric (in particular, $q = 2\,n$ is even). We define in $\mathfrak{gl}(p|q)$ the subalgebra $\mathfrak{osp}(p\,|q) := \mathfrak{osp}(p, |q)_0 \oplus \mathfrak{osp}(p\,|q)_1$ by setting, for all $s \in \{0, 1\}$,

$$\mathfrak{osp}(p\,|q)_s := \Big\{ \ell \in \mathfrak{gl}(p\,|q) \ \Big| \ \phi\big(\ell(x), y\big) = -(-1)^{s\,|x|}\, \phi\big(x, \ell(y)\big) \quad \forall\, x, y \in \Bbbk^{p|q} \Big\}$$

and we call $\mathfrak{osp}(p\,|q)$ an *orthosymplectic* Lie superalgebra. Again, all the $\mathfrak{osp}(p\,|q)$'s are *classical* Lie superalgebras, actually Lie supersubalgebras of $\mathfrak{gl}(p\,|q)$. Note also that $\mathfrak{osp}(0|\,q)$ is the symplectic Lie algebra, while $\mathfrak{osp}(p|\,0)$ is the orthogonal Lie algebra.

We can also describe the explicit matrix form of the elements of $\mathfrak{osp}(p\,|q)$. First, note that, in a suitable block form, the bilinear form ϕ has matrix

$$\phi \;=\; \begin{pmatrix} 0 & I_m & 0 & 0 & 0 \\ I_m & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & I_n \\ 0 & 0 & 0 & -I_n & 0 \end{pmatrix} \quad , \qquad \phi \;=\; \begin{pmatrix} 0 & I_m & 0 & 0 \\ I_m & 0 & 0 & 0 \\ 0 & 0 & 0 & I_n \\ 0 & 0 & -I_n & 0 \end{pmatrix}$$

according to whether $(p,q) = (2m{+}1, 2n)$ or $(p,q) = (2m, 2n)$. Then, in the block form given by the partition of rows and columns according to $(p{+}q) = m{+}m{+}1{+}n{+}n$ or to $(p+q) = m{+}m{+}n{+}n$ (depending on the parity of p), the orthosymplectic Lie superalgebras $\mathfrak{osp}(p\,|q)$ read as follows:

$$\mathfrak{osp}(p\,|q) = \mathfrak{osp}(2m+1|2n) \;=\; \left\{ \begin{pmatrix} A & B & u & X & X_1 \\ C & -A^t & v & Y & Y_1 \\ -v^t & -u^t & 0 & z^t & z_1^t \\ Y_1^t & X_1^t & z_1 & D & E \\ -Y^t & -X^t & -z & F & -D \end{pmatrix} : \begin{matrix} B = -B^T \\ C = -C^T \\ E = E^T \\ F = F^T \end{matrix} \right\}$$

$$\mathfrak{osp}(p\,|q) \;=\; \mathfrak{osp}(2\,m\,|2\,n) \;=\; \left\{ \begin{pmatrix} A & B & X & X_1 \\ C & -A^t & Y & Y_1 \\ Y_1^t & X_1^t & D & E \\ -Y^t & -X^t & F & -D \end{pmatrix} : \begin{matrix} B = -B^T \\ C = -C^T \\ E = E^T \\ F = F^T \end{matrix} \right\}$$

Moreover, if $m, n \geq 2$, then we have—with notation like in *(1)*—that

$$\mathfrak{osp}(2m+1|2n)_0 = \mathfrak{o}(2m+1) \oplus \mathfrak{sp}(2n) \,, \quad \mathfrak{osp}(2m|2n)_0 = \mathfrak{o}(2m) \oplus \mathfrak{sp}(2n)$$

$$\mathfrak{osp}(p\,|2n)_1 = f_p \otimes f_{2n} \quad \forall\, p > 2 \,, \qquad \mathfrak{osp}(2|2n)_1 = f_{2n}^{\oplus 2}$$

DEFINITION 2.16. Define the following Lie superalgebras:

(1) $\quad A(m,n) := \mathfrak{sl}(m{+}1|\,n{+}1)\,, \quad A(n,n) := \mathfrak{sl}(n{+}1|\,n{+}1)\Big/ \Bbbk I_{2n}\,, \quad \forall\, m \neq n\,;$

(2) $\qquad\qquad B(m,n) := \mathfrak{osp}(2m+1|\,2n)\,, \qquad\qquad \forall\; m \geq 0\,,\ n \geq 1\,;$

(3) $\qquad\qquad\quad C(n) := \mathfrak{osp}(2|\,2n-2)\,, \qquad\qquad\qquad \text{for all } n \geq 2\,;$

(4) $\qquad\qquad\ D(m,n) := \mathfrak{osp}(2m|\,2n)\,, \qquad\qquad\quad \text{for all } m \geq 2\,,\ n \geq 1\,;$

(5) $\qquad\qquad P(n) := \left\{ \begin{pmatrix} A & B \\ C & -A^t \end{pmatrix} \in \mathfrak{gl}(n{+}1|\,n{+}1) \,\middle|\, \begin{matrix} A \in \mathfrak{sl}(n{+}1) \\ B^t = B\,,\ C^t = -C \end{matrix} \right\}$

(6) $\qquad\quad Q(n) := \left\{ \begin{pmatrix} A & B \\ B & A \end{pmatrix} \in \mathfrak{gl}(n{+}1|\,n{+}1) \,\middle|\, B \in \mathfrak{sl}(n{+}1) \right\}\Big/ \Bbbk I_{2(n+1)}$

The importance of these examples lies in the following (cf. [**20**], [**27**]):

THEOREM 2.17. *Let $\Bbbk$ be an algebraically closed field of characteristic zero. Then the classical Lie superalgebras over $\Bbbk$ are either isomorphic to a simple Lie algebra or to one of the following classical Lie superalgebras:*

$$A(m,n)\,, \ \ m \geq n \geq 0\,,\ m+n > 0\,; \quad B(m,n)\,,\ m \geq 0, n \geq 1\,; \quad C(n)\,,\ n \geq 3$$

$$D(m,n)\,,\ \ m \geq 2,\, n \geq 1\,;\qquad P(n)\,,\ \ n \geq 2\,;\quad Q(n)\,,\ \ n \geq 2$$

$$F(4)\,;\qquad G(3)\,;\qquad D(2,1;a)\,,\ \ a \in \Bbbk \setminus \{0, -1\}$$

(for the definition of the third line items, and for a proof, we refer to [20]).

REMARK 2.18. Let $\Bbbk$ be a commutative unital ring as at the beginning of the section, and $\mathfrak{g}$ a Lie $\Bbbk$–superalgebra. A Lie supersubalgebra $\mathfrak{k}$ of $\mathfrak{g}$ is called *cyclic* if it is generated by a single element $x \in \mathfrak{g}$: then we write $\mathfrak{k} = \langle x \rangle$.

In contrast to the classical case, one has *not* a priori $\langle x \rangle = \Bbbk.x$, because one may have $[x, x] \neq 0$. For *homogeneous* $x \in \mathfrak{g}$, three cases may occur:

$$(2.1) \qquad\qquad x \in \mathfrak{g}_0 \implies [x, x] = 0 \implies \langle x \rangle = \Bbbk.x$$

$$(2.2) \qquad\qquad x \in \mathfrak{g}_1\,,\ [x, x] = 0 \implies \langle x \rangle = \Bbbk.x$$

$$(2.3) \qquad\qquad x \in \mathfrak{g}_1\,,\ [x, x] \neq 0 \implies \langle x \rangle = \Bbbk.x \oplus \Bbbk.[x, x]$$

In particular, the sum in (2.3) is direct because $[x, x] \in \mathfrak{g}_0$, and $\mathfrak{g}_0 \cap \mathfrak{g}_1 = \{0\}$. Moreover, this sum exhausts the Lie supersubalgebra generated by x because $\big[x, [x, x]\big] = 0$, by the (super) Jacobi identity. The Lie superalgebra structure is trivial in the first two cases; in the third instead, setting $y := [x, x]$, it is

$$(2.4) \qquad |x| = 1\,,\ \ |y| = 0\,,\qquad [x, x] = y\,,\quad [y, y] = 0\,,\quad [x, y] = 0 = [y, x].$$

2.3. Homogeneous one-parameter supersubgroups

A one-parameter subgroup of a Lie group is the unique (connected) subgroup K which corresponds, via Frobenius theorem, to a specific one-dimensional Lie subalgebra $\mathfrak{k}$ of the tangent Lie algebra $\mathfrak{g}$ of the given Lie group G. To describe such K one can use the exponential map, which gives $K = \exp(\mathfrak{k})$: thus, $\mathfrak{k}$ is generated by some non-zero vector $X \in \mathfrak{k}$, which actually *spans* $\mathfrak{k}$, and using X and the scalars in $\Bbbk$ one describes K via the exponential map. Finally, when $\mathfrak{g}$ is linearized and expressed by matrices, the exponential map is described by the usual formal series on matrices $\exp(X) := \sum_{n=0}^{+\infty} X^n/n!$.

We shall now adapt this approach to the context of Lie supergroups.

Let G be a Lie supergroup over $\Bbbk$ (as usual, for supermanifolds we take $\Bbbk = \mathbb{R}$ or $\Bbbk = \mathbb{C}$), and $\mathfrak{g} = \mathrm{Lie}\,(G)$ its Lie superalgebra (for the construction of the latter, see for example [33], Ch. 6). Assume furtherly that G is embedded as a supergroup into $\mathrm{GL}(V)$ for some suitable supervector space V; in other words, G is realized as a matrix Lie supergroup. Consequently its Lie superalgebra $\mathfrak{g}$ is embedded into $\mathfrak{gl}(V)$. As customary in supermanifold theory, we denote with G also the functor of points of the Lie supergroup G. In the differential setting, $G : (\text{smflds}) \longrightarrow (\text{groups})$, $G(T) = \mathrm{Hom}(T, G)$, where (smflds) denotes the category of supermanifolds.

Recall that—see Definition 2.18—in the super context the role of one-dimensional Lie subalgebras is played by cyclic Lie subalgebras.

DEFINITION 2.19. Let $X \in \mathfrak{g} = \mathrm{Lie}\,(G)$ be a homogeneous element. We define *one-parameter subgroup* associated to X the Lie subgroup of G corresponding to the cyclic Lie supersubalgebra $\langle X \rangle$, generated by X in $\mathfrak{g}$, via the Frobenius theorem for Lie supergroups (see [33], Ch. 4, and [7], Ch. 4).

Now we describe these one-parameter subgroups. Fix a supermanifold T, and set $A := \mathcal{O}(T)$ (the superalgebra of global sections). Let $t \in A_0$, $\theta \in A_1$, and

$X \in \mathfrak{g}_0$, $Y \in \mathfrak{g}_1$, $Z \in \mathfrak{g}_0$ such that $[Y, Z] = 0$. We define

$$(2.5) \qquad \exp(t\,X) := \sum_{n=0}^{+\infty} t^n X^n / n! \; = \; 1 + t\,X + \frac{t^2}{2!}\,X^2 + \cdots \; \in \mathrm{GL}(V(T))$$

$$(2.6) \qquad\qquad\qquad \exp(\vartheta\,Y) := 1 + \vartheta\,Y \; \in \mathrm{GL}(V(T))$$

$$\exp(t\,Z + \vartheta\,Y) := \exp(t\,Z) \cdot \exp(\vartheta\,Y) \; = \; \exp(\vartheta\,Y) \cdot \exp(t\,Z)$$
$$(2.7) \qquad = \exp(t\,Z) \cdot (1 + \vartheta\,Y) = (1 + \vartheta\,Y) \cdot \exp(t\,Z) \; \in \mathrm{GL}(V(T))$$

All these expressions single out well-defined elements in $\mathrm{GL}(V(T))$. In particular, $\exp(t\,X)$ in (2.5) belongs to the subgroup of $\mathrm{GL}(V(T))$ whose elements are all the block matrices whose off-diagonal blocks are zero. This is the standard group of matrices $\mathrm{GL}(A_0 \otimes V_0) \times \mathrm{GL}(A_1 \otimes V_1)$, and $\exp(t\,X)$ is defined inside here as the usual exponential of a matrix.

More in general, one can define the matrix exponential as a natural transformation between the functors of points of the Lie superalgebra $\mathfrak{g}$ and of the Lie supergroup G; see also [**3**], Part II, Ch. 2, for yet another approach. Our interest lies in the algebraic category, so we do not pursue this point of view.

Note that the set $\exp(A_0\,X) = \{\exp(t\,X) \,|\, t \in A_0\}$ is clearly a subgroup of G, once we define, very naturally, the multiplication as

$$\exp(t\,X) \cdot \exp(s\,X) \; = \; \exp((t + s)\,X)$$

On the other hand, if we consider the same definition for

$$\exp(A_1\,Y) := \{\exp(\vartheta\,Y) \,|\, \vartheta \in A_1\}$$

we see it is not a subgroup: in fact,

$$\exp(\vartheta_1\,Y) \cdot \exp(\vartheta_2\,Y) \; = \; (1 + \vartheta_1\,Y)(1 + \vartheta_2\,Y) \; = \; 1 + \vartheta_1\,Y + \vartheta_2\,Y + \vartheta_1\vartheta_2\,Y^2$$

formally in the universal enveloping algebra, while on the other hand:

$$\exp((\vartheta_1 + \vartheta_2)\,Y) \; = \; 1 + (\vartheta_1 + \vartheta_2)\,Y \; = \; 1 + \vartheta_1\,Y + \vartheta_2\,Y$$

So, recalling that $Y^2 = [Y, Y]/2$, we see that $\exp(A_1\,Y)$ is a subgroup if and only if $[Y, Y] = 0$ or $\vartheta_1\,\vartheta_2 = 0$ for all $\vartheta_1, \vartheta_2 \in A_1$. This reflects the fact that the $\Bbbk$–span of $X \in \mathfrak{g}_0$ is always a Lie supersubalgebra of $\mathfrak{g}$, but the $\Bbbk$–span of $Y \in \mathfrak{g}_1$ is a Lie supersubalgebra iff $[Y, Y] = 0$, by (2.1–3).

Thus, taking into account (2.3), when $[Y, Y] \neq 0$ we must consider $\exp(\langle Y \rangle(T)) = \exp(A_1\,Y + A_0\,Y^2)$, as the one-parameter subgroup corresponding to the Lie supersubalgebra $\langle Y \rangle$. The outcome is the following:

PROPOSITION 2.20. *There are three distinct types of one-parameter subgroups associated to an homogeneous element in* $\mathfrak{g}$. *Their functor of points are:*

(a)   for any $X \in \mathfrak{g}_0$, we have

$$x_X(T) = \{\exp(tX) \,|\, t \in \mathcal{O}_T(T)_0\} \; = \; \Bbbk^{1|0}(T) \; = \; \mathrm{Hom}(C^\infty(\mathbb{R}), \mathcal{O}_T(T))$$

(b)   for any $Y \in \mathfrak{g}_1$, $[Y, Y] = 0$, we have

$$x_Y(T) = \{\exp(\vartheta\,Y) = 1 + \vartheta\,Y \,|\, \vartheta \in \mathcal{O}_T(T)_1\} =$$
$$= \Bbbk^{0|1}(T) \; = \; \mathrm{Hom}(\Bbbk[\xi], \mathcal{O}_T(T))$$

(c)   for any $Y \in \mathfrak{g}_1$, $Y^2 := [Y, Y]/2 \neq 0$, we have

$$x_Y(T) \,=\, \big\{ \exp\big(t\,Y^2 + \vartheta\,Y\big) \,\big|\, t \in \mathcal{O}_T(T)_0 \,,\, \vartheta \in \mathcal{O}_T(T)_1 \big\} \,=\,$$
$$=\, \Bbbk^{1|1}(T) \,=\, \mathrm{Hom}\big(C^\infty(\mathbb{R})[\xi], \mathcal{O}_T(T)\big)$$

where $C^\infty(\mathbb{R})$ denotes the global sections of the differential functions on $\mathbb{R}$ —if $\Bbbk = \mathbb{R}$; if $\Bbbk = \mathbb{C}$ instead we shall similarly take analytic functions.

In cases (a) and (b) the multiplication structure is obvious, and in case (c) it is given by $(t, \vartheta) \cdot (t', \vartheta') \,=\, \big(t + t' - \vartheta\,\vartheta'\,,\, \vartheta + \vartheta'\big)$.

PROOF. The case *(a)*, namely when X is even, is clear. When instead X is odd we have two possibilities: either $[X, X] = 0$ or $[X, X] \neq 0$. The first possibility corresponds, by Frobenius theorem, to a $0|1$–dimensional subgroup, whose functor of points, one sees immediately, is representable and of the form *(b)*. Let us now examine the second possibility. The Lie subalgebra $\langle X \rangle$ generated by X is of dimension $1|1$, by (2.3); thus by Frobenius theorem it corresponds to a Lie subgroup of the same dimension, isomorphic to $\Bbbk^{1|1}$.

Now we compute the group structure on this $\Bbbk^{1|1}$, using the usual functor of points notation to give the operation of the supergroup. For any commutative superalgebra A, we have to calculate $t'' \in A_0$, $\vartheta'' \in A_1$ such that

$$\exp\big(t\,X^2 + \vartheta\,X\big) \cdot \exp\big(t'\,X^2 + \vartheta'\,X\big) \,=\, \exp\big(t''\,X^2 + \vartheta''\,X\big)$$

where $t, t' \in A_0$, $\vartheta, \vartheta' \in A_1$. The direct calculation gives

$$\exp\big(t\,X^2 + \vartheta\,X\big) \cdot \exp\big(t'\,X^2 + \vartheta'\,X\big) \,=\,$$
$$=\, \big(1 + \vartheta\,X\big) \exp\big(t\,X^2\big) \cdot \exp\big(t'\,X^2\big)\big(1 + \vartheta'\,X\big) \,=\,$$
$$=\, \big(1 + \vartheta\,X\big) \exp\big((t + t')\,X^2\big)\big(1 + \vartheta'\,X\big) \,=\,$$
$$=\, \exp\big((t + t')\,X^2\big)\big(1 + \vartheta\,X\big)\big(1 + \vartheta'\,X\big) \,=\,$$
$$=\, \exp\big((t + t')\,X^2\big)\big(1 + (\vartheta + \vartheta')\,X - \vartheta\,\vartheta'\,X^2\big) \,=\,$$
$$=\, \exp\big((t + t')\,X^2\big)\big(1 - \vartheta\,\vartheta'\,X^2\big)\big(1 + (\vartheta + \vartheta')\,X\big) \,=\,$$
$$=\, \exp\big((t + t')\,X^2\big) \exp\big(-\vartheta\,\vartheta'\,X^2\big)\big(1 + (\vartheta + \vartheta')\,X\big) \,=\,$$
$$=\, \exp\big((t + t' - \vartheta\,\vartheta')\,X^2\big)\big(1 + (\vartheta + \vartheta')\,X\big) \,=\,$$
$$=\, \exp\big((t + t' - \vartheta\,\vartheta')\,X^2 + (\vartheta + \vartheta')\,X\big)$$

where we use several special properties of the formal exponential. $\qquad\square$

REMARK 2.21. The supergroup structure on $\Bbbk^{1|1}$ in Proposition 2.20*(c)* was introduced in [**8**]. See also [**26**], §6.5 and §9.6 for a treatment of one-parameter (super)subgroups in a differential setting (with the same outcome as ours).

Chevalley bases and Chevalley algebras

In this chapter we introduce one of the main ingredients of our construction, namely the notion of "Chevalley basis". We shall formulate such concept in a way that directly extends the classical approach due to Chevalley. First, we recall the combinatorial machinery attached to any classical Lie superalgebra $\mathfrak{g}$, namely: Cartan subalgebras, root systems, root vectors, root spaces and so on. Then we define, a "Chevalley basis" for $\mathfrak{g}$ as a basis of $\mathfrak{g}$ made of Cartan elements and root vectors, satisfying certain integral conditions on their brackets.

The main concern of this chapter is to prove an existence result for Chevalley bases of classical Lie superalgebras. We provide a complete proof, going through a case-by-case analysis and we give an explicit example of a Chevalley basis for all classical Lie superalgebras, but for the exceptional ones for which we refer to [18]. In addition, we present a sketch of an alternative approach, which expands an argument kindly communicated to us by our referee, who suggested a uniform proof encompassing all cases, but $P(n)$, at once, so that our explicit treatment still remains necessary for that particular case.

Both the definition and the existence problem for Chevalley bases were somehow known in the community of Lie superalgebra theorists (see, e.g., [18], [30]), since they are a very natural generalization of the corresponding ordinary setting. Nevertheless, the results achieved so far—to the best of our knowledge—did not cover all of classical Lie superalgebras, and so our results appear to be more general that then ones available in the literature.

Let our ground ring be an algebraically closed field $\mathbb{K}$ of characteristic zero.

We assume $\mathfrak{g}$ to be a *classical* Lie superalgebra: the whole construction will clearly extend to any direct sum of finitely many summands of this type. We now prove that $\mathfrak{g}$ has a very remarkable basis, the analogue of what Chevalley found for finite dimensional (semi)simple Lie algebras.

3.1. Root systems

Fix once and for all a *Cartan subalgebra* $\mathfrak{h}$ of $\mathfrak{g}_0$. The adjoint action of $\mathfrak{h}$ splits $\mathfrak{g}$ into eigenspaces, namely

$$\mathfrak{g}_\alpha := \left\{ x \in \mathfrak{g} \mid [h, x] = \alpha(h)x , \ \forall \, h \in \mathfrak{h} \right\} \quad \forall \ \alpha \in \mathfrak{h}^*$$

so that $\mathfrak{g} = \bigoplus_{\alpha \in \mathfrak{h}^*} \mathfrak{g}_\alpha$. Then we define

$$\Delta_0 := \left\{ \alpha \in \mathfrak{h}^* \setminus \{0\} \mid \mathfrak{g}_\alpha \cap \mathfrak{g}_0 \neq \{0\} \right\} = \{\, even\ roots\ of\ \mathfrak{g} \,\}$$
$$\Delta_1 := \left\{ \alpha \in \mathfrak{h}^* \mid \mathfrak{g}_\alpha \cap \mathfrak{g}_1 \neq \{0\} \right\} = \{\, odd\ roots\ of\ \mathfrak{g} \,\}$$
$$\Delta := \Delta_0 \cup \Delta_1 = \{\, roots\ of\ \mathfrak{g} \,\}$$

Δ is called the *root system* of $\mathfrak{g}$, and each $\mathfrak{g}_\alpha$ is called a *root space*.

In particular, Δ_0 is the root system of the (reductive) Lie algebra $\mathfrak{g}_0$, and Δ_1 is the set of weights of the representation of $\mathfrak{g}_0$ in $\mathfrak{g}_1$.

If $\mathfrak{g}$ is not of type $P(n)$ nor $Q(n)$, there is an even non-degenerate, invariant bilinear form on $\mathfrak{g}$, whose restriction to $\mathfrak{h}$ is in turn an invariant bilinear form on $\mathfrak{h}$. If instead $\mathfrak{g}$ is of type $P(n)$ or $Q(n)$, then such a form on $\mathfrak{h}$ exists because $\mathfrak{g}_0$ is simple (of type A_n). In any case, we denote this form by (x, y), and we use it to identify $\mathfrak{h}^*$ to $\mathfrak{h}$, via $\alpha \mapsto H_\alpha$, and then to define a similar form on $\mathfrak{h}^*$, such that $(\alpha', \alpha'') = (H_{\alpha'}, H_{\alpha''})$. Each H_α is called the *coroot* associated to α; these coroots can be explicitly described as in [**18**], §2.5; in particular, one has $\alpha(H_\alpha) = 2$ whenever $(\alpha, \alpha) \neq 0$.

For $\mathfrak{g}$ of type $P(n)$ we shall adopt the following abuse of notation. For any even root $\alpha_{i,j}$ (notation of [**13**], §2.48), by $H_{\alpha_{i,j}}$ we shall mean the coroot mentioned above; for any odd root $\beta_{i,j}$ instead, we shall set $H_{\beta_{i,j}} := H_{\alpha_{i,j}}$.

The main properties of the root system of $\mathfrak{g}$ are collected in the following:

PROPOSITION 3.1 (see [**20, 27, 28**]). *Assume $\mathfrak{g}$ is classical, and $n \in \mathbb{N}$.*

(a) $\quad \mathfrak{g} \neq Q(n) \implies \Delta_0 \cap \Delta_1 = \emptyset$, $\qquad \mathfrak{g} = Q(n) \implies \Delta_1 = \Delta_0 \cup \{0\}$.

(b) $\quad -\Delta_0 = \Delta_0$, $\ -\Delta_1 \subseteq \Delta_1$. $\quad$ *If $\mathfrak{g} \neq P(n)$, then $-\Delta_1 = \Delta_1$.*

(c) $\quad$ *Let $\mathfrak{g} \neq P(2)$, and $\alpha, \beta \in \Delta$, $\alpha = c\,\beta$, with $c \in \mathbb{K} \setminus \{0\}$. Then*

$$\alpha, \beta \in \Delta_r \ (r = 0, 1) \Rightarrow c = \pm 1, \quad \alpha \in \Delta_r, \beta \in \Delta_s, r \neq s \Rightarrow c = \pm 2.$$

(d) $\quad$ *If $\mathfrak{g} \notin \{A(1,1), P(3), Q(n)\}$, then $\dim_\mathbb{K}(\mathfrak{g}_\alpha) = 1$ for each $\alpha \in \Delta$.*
As for the remaining cases, one has:

- *If $\mathfrak{g} = A(1,1)$, then $\dim_\mathbb{K}(\mathfrak{g}_\alpha) = 1 + r$ for each $\alpha \in \Delta_r$;*
- *If $\mathfrak{g} = P(3)$, then $\dim_\mathbb{K}(\mathfrak{g}_\alpha) = 1$ for $\alpha \in \Delta_0 \cup (\Delta_1 \setminus (-\Delta_1))$, and $\dim_\mathbb{K}(\mathfrak{g}_\alpha) = 2$ for $\alpha \in \Delta_1 \cap (-\Delta_1)$;*
- *If $\mathfrak{g} = Q(n)$, then $\dim_\mathbb{K}(\mathfrak{g}_\alpha \cap \mathfrak{g}_i) = 2$ for $\alpha \in \Delta \setminus \{0\}$, $i \in \{0, 1\}$, and $\dim_\mathbb{K}(\mathfrak{g}_{\alpha=0} \cap \mathfrak{g}_i) = n$ for $i \in \{0, 1\}$, with $\mathfrak{g}_{\alpha=0} \cap \mathfrak{g}_0 = \mathfrak{h}$.*

We fix a *distinguished simple root system* for $\mathfrak{g}$, say $\Pi = \{\alpha_1, \ldots, \alpha_\ell\}$, as follows. If $\mathfrak{g} \notin \{A(1,1), P(3), Q(n)\}$, we take as Π a subset of Δ in which $\alpha_i \in \Delta_0$ for all but one index i, and such that any $\alpha \in \Delta$ is either a sum of some α_i's— then it is called positive—or the opposite of such a sum—then it is called negative (when $\mathfrak{g}$ is *basic*, fixing Π is equivalent to fix a special triangular decomposition $\mathfrak{g} = \mathfrak{n}_- \oplus \mathfrak{h} \oplus \mathfrak{n}_+$; see [**13**], §2.45). If $\mathfrak{g} = Q(n)$, then $\Delta = \Delta_0 \cup \{0\}$, we take as Π any simple root system of Δ_0, and we define positive and negative roots accordingly, letting the special odd root $\zeta_0 = 0$ be (by definition) both positive and negative. Finally, if $\mathfrak{g} \in \{A(1,1), P(3)\}$, then there exist linear dependence relations among the α_i's, so that any odd root which is negative can also be seen as positive; we shall then deal with these cases in a different way.

As for notation, we denote by Δ^+, resp. Δ^-, the set of positive, resp. negative, roots; also, we set $\Delta_r^\pm := \Delta^\pm \cap \Delta_r$ for $r \in \{0, 1\}$. Finally, we define:

DEFINITION 3.2. *Given $\alpha, \beta \in \Delta$, we call α–string through β the set*

$$\Sigma_\beta^\alpha := \{\beta - r\,\alpha, \ldots, \beta - \alpha, \beta, \beta + \alpha, \ldots, \beta + q\,\alpha\} \quad (\subset \mathfrak{h}^*)$$

with $r, q \in \mathbb{N}$ uniquely determined by the conditions $(\beta - (r + 1)\,\alpha) \notin \Delta$, $(\beta + (q + 1)\,\alpha) \notin \Delta$, and $(\beta + j\,\alpha) \in \Delta \cup \{0\}$ for all $-r \leq j \leq q$.

One knows—cf. for instance [**28**]—that $\Sigma_\beta^\alpha \subseteq \Delta \cup \{0\}$. Indeed, one has that $0 \in \Sigma_\beta^\alpha$ if and only if $\alpha \in \{\pm 2\,\beta, \pm\beta, \pm\beta/2\}$.

3.2. Chevalley bases and algebras

The key result of this subsection is an analogue, in the super setting, of a classical result due to Chevalley. It is the starting point we shall build upon later on. We keep the notation and terminology of the previous subsections.

From now on, we shall consider $\mathfrak{g}$ to be classical but not of any of the following types: $A(1,1)$, $P(3)$, $Q(n)$ or $D(2,1;a)$ with $a \notin \mathbb{Z}$. The cases A–P–Q will be treated separately in Chapter 6; the case $D(2,1;a)$ with $a \notin \mathbb{Z}$ instead is dealt with in [**14**].

DEFINITION 3.3. Let $\mathfrak{g}$ be a *classical* Lie superalgebra as above. We call *Chevalley basis* of $\mathfrak{g}$ any homogeneous $\mathbb{K}$–basis $B = \{H_i\}_{1,\ldots,\ell} \coprod \{X_\alpha\}_{\alpha \in \Delta}$ such that:

(a) $\{H_1, \ldots, H_\ell\}$ is a $\mathbb{K}$–basis of $\mathfrak{h}$; moreover, with $H_\alpha \in \mathfrak{h}$ as in §3.1,
$$\mathfrak{h}_{\mathbb{Z}} := Span_{\mathbb{Z}}(H_1, \ldots, H_\ell) = Span_{\mathbb{Z}}(\{H_\alpha \,|\, \alpha \in \Delta \cap (-\Delta)\}) \,;$$

(b) $[H_i, H_j] = 0$, $[H_i, X_\alpha] = \alpha(H_i)X_\alpha$, $\forall\, i,j \in \{1, \ldots, \ell\}$, $\alpha \in \Delta$;

(c) $[X_\alpha, X_{-\alpha}] = \sigma_\alpha H_\alpha$ $\forall\, \alpha \in \Delta \cap (-\Delta)$ with H_α as in §3.1, and
$\sigma_\alpha := -1$ if $\alpha \in \Delta_{\bar{1}}$, $\sigma_\alpha := 1$ otherwise;

(d) $[X_\alpha, X_\beta] = c_{\alpha,\beta}X_{\alpha+\beta}$ $\forall\, \alpha,\beta \in \Delta : \alpha \neq -\beta$, $\beta \neq -\alpha$, with

(d.1) if $(\alpha+\beta) \notin \Delta$, then $c_{\alpha,\beta} = 0$, and $X_{\alpha+\beta} := 0$,

(d.2) if $(\alpha,\alpha) \neq 0$ or $(\beta,\beta) \neq 0$, and (cf. Definition 3.2) if $\Sigma_\beta^\alpha := \{\beta - r\alpha, \ldots, \beta + q\alpha\}$ is the α–string through β, then $c_{\alpha,\beta} = \pm(r+1)$, with the following exceptions: if $\mathfrak{g} = P(n)$, with $n \neq 3$, and $\alpha = \beta_{j,i}$, $\beta = \alpha_{i,j}$ (notation of [**13**], §2.48, for the roots of $P(n)$), then $c_{\alpha,\beta} = \pm(r+2)$;

(d.3) if $(\alpha,\alpha) = 0 = (\beta,\beta)$, then $c_{\alpha,\beta} = \pm\beta(H_\alpha)$.

N.B.: this definition clearly extends to direct sums of finitely many $\mathfrak{g}$'s.

REMARKS 3.4.   *(1)* Our definition extends to the super setup the same name notion for (semi)simple Lie algebras. For type A it was essentially known as "folklore", but we cannot provide any reference. In the orthosymplectic case (types B, C and D) it was considered, in weaker form, in [**30**]. More in general, it was previously introduced in [**18**] for all *basic* types, i.e. missing types P and Q.

N.B.: when reading [**18**] for $G(3)$ one should do a slight change, namely use the Cartan matrix—and Dynkin diagram, etc. —as in Kac's paper [**20**].

(2) If B is a Chevalley basis of $\mathfrak{g}$, the definition implies that all structure coefficients of the (super)bracket in $\mathfrak{g}$ w.r.t. B belong to $\mathbb{Z}$.

DEFINITION 3.5. If B is a Chevalley basis of $\mathfrak{g}$, we set $\mathfrak{g}^{\mathbb{Z}} := \mathbb{Z}$–span of B, and we call it the *Chevalley superalgebra* (of $\mathfrak{g}$).

REMARKS 3.6.   *(1)* By Remark 3.4*(2)*, $\mathfrak{g}^{\mathbb{Z}}$ is a Lie superalgebra over $\mathbb{Z}$. One can check that a Chevalley basis B is unique up to a choice of a sign for each root vector and the choice of the H_i's: thus $\mathfrak{g}^{\mathbb{Z}}$ is independent of the choice of B.

(2) With notation as in Definition 3.3*(e)*, let $\mathfrak{g}$ be of type A, B, C, D or P. Then if $(\alpha,\alpha) = 0 = (\beta,\beta)$ one has $\beta(H_\alpha) = \pm(r+1)$, with the following exceptions: if $\mathfrak{g} = \mathfrak{osp}(M|2n)$ with $M \geq 1$ (i.e. $\mathfrak{g}$ is orthosymplectic, not of type $B(0,n)$) and—with notation of [**13**], §2.27 —

$$(\alpha,\beta) = \pm(\varepsilon_i + \delta_j, -\varepsilon_i + \delta_j) \qquad \text{or} \qquad (\alpha,\beta) = \pm(\varepsilon_i - \delta_j, -\varepsilon_i - \delta_j)$$

then $\beta(H_\alpha) = \pm(r+2)$. Therefore: If $\mathfrak{g}$ is of type A, B, C, D or P, then condition *(d.3)* in Definition 3.3 reads just like *(d.2)*, with the handful of exceptions mentioned before.

(3) For notational convenience, in the following we shall also set $X_\delta := 0$ whenever δ belongs to the $\mathbb{Z}$–span of Δ but $\delta \notin \Delta$.

3.3. Existence of Chevalley bases

The existence of a Chevalley basis for the types A, B, C, D is a more or less known result; for example an (almost) explicit Chevalley basis for types B , C and D can be found in [**30**]. More in general, an (abstract) existence result, with a uniform proof, is given in [**18**] for all *basic* types—thus missing the *strange* types, P and Q . In this section we present an existence theorem which covers *all* cases, i.e. including both basic and strange cases: our proof is constructive, in that we explicitly present a concrete Chevalley basis, for all cases but $F(4)$ and $G(3)$—for which we refer to [**18**]—by a case-by-case analysis. A sketch of a *uniform* proof is presented in Remark 3.8 later on.

THEOREM 3.7. *Every classical Lie superalgebra has a Chevalley basis.*

PROOF. The proof is case-by-case, by direct inspection of each type. Only cases $A(1,1)$, $P(3)$, $Q(n)$ are postponed to Chapter 6.

In general, for the root vectors X_α's, we must carefully fix a proper normalization. For the H_i's in the Chevalley basis, belonging to $\mathfrak{h}$, one sees that, in the *basic* cases, one can almost always take simple coroots (for a distinguished system of simple roots); case $P(n)$ is just slightly different.

We call $\mathfrak{g}$ our classical Lie superalgebra. As a matter of notation, from now on we denote by $e_{i,j} := \left(\delta_{h,i}\,\delta_{k,j}\right)_{h,k=1,\dots,r+s}$ for all $i,j \in \{1,\dots,m+n\}$, the elementary matrix in $\mathfrak{gl}(r|s)$ with a 1 in position (i,j) and 0 elsewhere.

$\underline{A(m,n)}$, $m \neq n$: In this case $\mathfrak{g} = \mathfrak{sl}(m+1|\,n+1) \subseteq \mathfrak{gl}(m+1|\,n+1)$.

We fix the distinguished simple root system $\Pi := \{\alpha_1,\dots,\alpha_{m+n+1}\}$ with $\alpha_i := \varepsilon_i - \varepsilon_{i+1}\,(i=1,\dots,m)$, $\alpha_{m+1} := \varepsilon_{m+1} - \delta_1$, $\alpha_{m+1+j} := \delta_j - \delta_{j+1}\,(j=1,\dots,n)$, using standard notation (like in [**13**], say). Then we define the H_k's as $H_k := H_{\alpha_k} = e_{k,k} - e_{k+1,k+1}$ for $k \notin \{m+1,m+n+2\}$, and $H_{m+1} := H_{\alpha_{m+1}} = e_{m+1,m+1} + e_{m+2,m+2}$. For the root vectors X_α's instead we just take all the $e_{i,j}$'s with $i \neq j$. It is then a routine matter to check that these vectors form a Chevalley basis.

$\underline{A(n,n)}$: In this case $\mathfrak{g} = \mathfrak{sl}(n+1|\,n+1)\big/\mathbb{K}\,I_{2(n+1)}$.

Keeping notation as above, we set $\overline{x} := x + \mathbb{K}\,I_{2(n+1)}$ in $\mathfrak{sl}(n+1|\,n+1)\big/\mathbb{K}\,I_{2(n+1)} = \mathfrak{g}$. Then $I_{2(n+1)} = \sum_{i=1}^{n} i\,H_i + (n+1)\,H_{n+1} - \sum_{j=1}^{n} j\,H_{2(n+1)-j}$, so that we have

$$\sum_{i=1}^{n} i\,\overline{H}_i + (n+1)\,\overline{H}_{n+1} - \sum_{j=1}^{n} j\,\overline{H}_{2(n+1)-j} = 0$$

a $\mathbb{Z}$–linear dependence relation among simple coroots which reflects a similar relation among simple roots; thus we can get $\overline{H}_{2n+1}$ from the other simple coroots. Then $\left\{\overline{H}_i\right\}_{i=1,\dots,2n} \bigcup \left\{\overline{X}_{i,j}\right\}_{i \neq j}$ is a $\mathbb{K}$–basis of $\mathfrak{g}$ which satisfies all properties in Definition 3.3.

$\underline{B(m,n), C(n), D(m,n)}$, $m \neq n$.

Here $\mathfrak{g} = \mathfrak{osp}(M|\,N) \subseteq \mathfrak{gl}(M|\,N)$ for some $M \in \mathbb{N}$, which is odd, zero or even positive according to whether we are in case B, C or D respectively, and some even $N \in \mathbb{N}$. Then $M \in \{2\,m+1, 2\,m\}$ and $N = 2\,n$ for suitable $m,n \in \mathbb{N}$. In any

case, $\mathfrak{g}$ is an orthosymplectic Lie superalgebra, and we can describe all cases at once.

With notation as before, we consider the following root vectors (for all $1 \leq i, j \leq m$, $M + 1 \leq i', j' \leq M + n$):

$$E_{+\varepsilon_i - \varepsilon_j} := \mathrm{e}_{i,j} - \mathrm{e}_{j+m,i+m} \quad , \qquad E_{-\varepsilon_i + \varepsilon_j} := \mathrm{e}_{i+m,j+m} - \mathrm{e}_{j,i} \qquad \quad (i < j)$$

$$E_{+\varepsilon_i + \varepsilon_j} := \mathrm{e}_{i,j+m} - \mathrm{e}_{j,i+m} \quad , \qquad E_{-\varepsilon_i - \varepsilon_j} := \mathrm{e}_{j+m,i} - \mathrm{e}_{i+m,j} \qquad \quad (i < j)$$

$$E_{+\varepsilon_i} := +\sqrt{2}\,\big(\mathrm{e}_{i,2m+1} - \mathrm{e}_{2m+1,i+m}\big) \quad , \qquad E_{-\varepsilon_i} := -\sqrt{2}\,\big(\mathrm{e}_{i+m,2m+1} - \mathrm{e}_{2m+1,i}\big)$$

$$E_{+\delta_{i'} - \delta_{j'}} := \mathrm{e}_{i',j'} - \mathrm{e}_{j'+n,i'+n} \quad , \qquad E_{-\delta_{i'} + \delta_{j'}} := \mathrm{e}_{i'+m,j'+m} - \mathrm{e}_{j',i'} \qquad \big(i' < j'\big)$$

$$E_{+\delta_{i'} + \delta_{j'}} := \mathrm{e}_{i',j'+n} + \mathrm{e}_{j',i'+n} \quad , \qquad E_{-\delta_{i'} - \delta_{j'}} := \mathrm{e}_{i'+n,j'} + \mathrm{e}_{j'+n,i'} \qquad \big(i' \neq j'\big)$$

$$E_{+2\,\delta_{i'}} := \mathrm{e}_{i',i'+n} \quad , \qquad \qquad E_{-2\,\delta_{i'}} := \mathrm{e}_{i'+n,i'}$$

$$E_{+\varepsilon_i + \delta_{j'}} := \mathrm{e}_{i,j'+n} + \mathrm{e}_{j',i+n} \quad , \qquad E_{-\varepsilon_i - \delta_{j'}} := \mathrm{e}_{i+m,j'} - \mathrm{e}_{j'+m,i}$$

$$E_{+\varepsilon_i - \delta_{j'}} := \mathrm{e}_{i,j'} - \mathrm{e}_{j'+n,i+m} \quad , \qquad E_{-\varepsilon_i + \delta_{j'}} := \mathrm{e}_{i+m,j'+n} + \mathrm{e}_{j',i}$$

$$E_{+\delta_{j'}} := +\sqrt{2}\,\big(\mathrm{e}_{2m+1,j'+n} + \mathrm{e}_{j',2m+1}\big) \quad , \qquad E_{-\delta_{j'}} := -\sqrt{2}\,\big(\mathrm{e}_{j'+n,2m+1} - \mathrm{e}_{2m+1,j'}\big)$$

where $\pm(\varepsilon_i \pm \varepsilon_j)$, $\pm\varepsilon_i$, $\pm(\delta_{i'} \pm \delta_{j'})$, $\pm 2\delta_{i'}$, $\pm(\varepsilon_i \pm \delta_{j'})$, $\pm\delta_{j'}$ are the roots of the orthosymplectic Lie superalgebra $\mathfrak{g}$ as in [**13**], §2.27. The H_i's are just H_α, with $\alpha \in \Pi'_{\mathfrak{g}}$ where $\Pi'_{\mathfrak{g}}$ chosen as follows:

$$\Pi'_{B(m,n)} := \big\{ \delta_1 - \delta_2, \ldots, \delta_{n-1} - \delta_n, 2\delta_n, \varepsilon_1 - \varepsilon_2, \ldots, \varepsilon_{m-1} - \varepsilon_m, \varepsilon_m \big\}$$
$$\text{if } m \neq 0,$$

$$\Pi'_{B(0,n)} := \big\{ \delta_1 - \delta_2, \ldots, \delta_{n-1} - \delta_n, 2\,\delta_n \big\}$$
$$\Pi'_{C(n)} := \big\{ \varepsilon_1, \delta_1 - \delta_2, \ldots, \delta_{n-2} - \delta_{n-1}, 2\,\delta_{n-1} \big\}$$
$$\Pi'_{D(m,n)} := \big\{ \delta_1 - \delta_2, \ldots, \delta_{n-1} - \delta_n, \ldots, 2\,\delta_n, \varepsilon_1 - \varepsilon_2, \ldots, \varepsilon_{m-1} - \varepsilon_m, \varepsilon_{m-1} + \varepsilon_m \big\}$$

(using standard notation). Note that in all cases but $B(0,n)$ the chosen $\Pi'_{\mathfrak{g}}$ is just a distinguished set of simple roots. Setting $j' := M + j = 2\,m + 1$, with $m = 1$ in case $C(n)$, the corresponding coroots are

$$H_{\delta_j - \delta_{j+1}} := \big(\mathrm{e}_{j',j'} - \mathrm{e}_{j'+n,j'+n}\big) - \big(\mathrm{e}_{j'+1,j'+1} - \mathrm{e}_{j'+1+n,j'+1+n}\big) \qquad \text{in all cases}$$

$$H_{\varepsilon_i - \varepsilon_{i+1}} := \big(\mathrm{e}_{i,i} - \mathrm{e}_{i+m,i+m}\big) - \big(\mathrm{e}_{i+1,i+1} - \mathrm{e}_{i+1+m,i+1+m}\big) \qquad \text{in all cases}$$

$$H_{2\delta_n} := \big(\mathrm{e}_{n',n'} - \mathrm{e}_{n'+n,n'+n}\big) \qquad \text{in all cases}$$

$$H_{\varepsilon_1} := \big(\mathrm{e}_{1,1} - \mathrm{e}_{2,2}\big) \qquad \text{for } C(n)$$

$$H_{2\delta_{n-1}} := \big(\mathrm{e}_{(n-1)',(n-1)'} - \mathrm{e}_{(n-1)'+n,(n-1)'+n}\big) \qquad \text{for } C(n)$$

$$H_{\varepsilon_{m-1} + \varepsilon_m} := \big(\mathrm{e}_{m-1,m-1} - \mathrm{e}_{m-1+m,m-1+m}\big) + \big(\mathrm{e}_{m,m} - \mathrm{e}_{m+m,m+m}\big) \qquad \text{for } D(m,n)$$

Now, a Chevalley basis is formed by the root vectors $X_\alpha := E_\alpha$ and the Cartan generators (simple coroots) H_α as above: the verification follows by a careful, yet entirely straightforward, calculation.

$\underline{F(4), \; G(3)}$: See [**18**], Theorem 3.9 (which applies to every *basic* type).

$\underline{D(2,1;a)}$, $a \in \mathbb{Z}$: Recall that $\mathfrak{g} = D(2,1;a)$ is a contragredient Lie superalgebra.

To describe it, we fix a specific choice of Dynkin diagram and corresponding Cartan matrix, like in [**13**], §2.28 (first choice), namely

$$
\overset{2}{\underset{}{\bigcirc}} \overset{1}{\rule{1cm}{0.4pt}} \overset{1}{\underset{}{\bigotimes}} \overset{a}{\rule{1cm}{0.4pt}} \overset{3}{\underset{}{\bigcirc}} \quad , \qquad \left(a_{i,j}\right)_{i,j=1,2,3;} := \begin{pmatrix} 0 & 1 & a \\ -1 & 2 & 0 \\ -1 & 0 & 2 \end{pmatrix}
$$

Then $\mathfrak{g} = D(2,1;a)$ is defined as the Lie superalgebra over $\mathbb{K}$ with generators h_i, e_i, f_i $(i = 1,2,3)$, with degrees $p(h_i) := 0$, $p(e_i) := \delta_{1,i}$, $p(f_i) := \delta_{1,i}$ $(i = 1,2,3)$, and with relations (for all $i,j = 1,2,3$)

$$
\left[h_i, h_j\right] = 0 \; , \qquad \left[e_1, e_1\right] = 0 \; , \qquad \left[f_1, f_1\right] = 0 \; ,
$$

$$
\left[h_i, e_j\right] = +a_{i,j}\, e_j \; , \qquad \left[h_i, f_j\right] = -a_{i,j}\, f_j \; , \qquad \left[e_i, f_j\right] = \delta_{i,j}\, h_i \; .
$$

Moreover, the root system is given by $\Delta_- = -\Delta_+$ and

$$
\Delta_+ = \{\alpha_1 \, , \, \alpha_2 \, , \, \alpha_3 \, , \, \alpha_1 + \alpha_2 \, , \, \alpha_1 + \alpha_3 \, , \, \alpha_1 + \alpha_2 + \alpha_3 \, , \, 2\,\alpha_1 + \alpha_2 + \alpha_3\}
$$

Now we introduce the following elements:

$$
e_{1,2} := \left[e_1, e_2\right] \, , \quad e_{1,3} := \left[e_1, e_3\right] \, , \quad e_{1,2,3} := \left[e_{1,2}, e_3\right] \, , \quad e'_{1,1,2,3} := \left[e_1, e_{1,2,3}\right]
$$

$$
f_{2,1} := \left[f_2, f_1\right] \, , \quad f_{3,1} := \left[f_3, f_1\right] \, , \quad e_{3,2,1} := \left[f_3, f_{2,1}\right] \, , \quad f'_{3,2,1,1} := \left[f_{3,2,1}, f_1\right]
$$

All these are root vectors, say $e_1 = X_{\alpha_1}$, $f_{3,1} = X_{-(\alpha_1+\alpha_3)}$, $e_{1,2,3} = X_{\alpha_1+\alpha_2+\alpha_3}$, and so on. These, together with the original generators, do form a $\mathbb{K}$–basis of $\mathfrak{g}$. The relevant new brackets among all these basis elements—dropping the zero ones, those coming from others by (super-)skewcommutativity, and those involving the h_i's (which are given by the fact that the $e_\bullet$'s and the $f_\bullet$'s are root vectors, involving all roots of $\mathfrak{g}$)—are the following:

$$
\left[e_1, e_2\right] = e_{1,2} \, , \quad \left[e_1, e_3\right] = e_{1,3} \, , \quad \left[e_1, e_{1,2,3}\right] = e'_{1,1,2,3}
$$

$$
\left[e_1, f_{2,1}\right] = f_2 \, , \quad \left[e_1, f_{3,1}\right] = a\, f_3 \, , \quad \left[e_1, f'_{3,2,1,1}\right] = -(1+a) f_{3,2,1}
$$

$$
\left[e_2, e_{1,3}\right] = -e_{1,2,3} \, , \quad \left[e_2, f_{2,1}\right] = f_1 \, , \quad \left[e_2, f_{3,2,1}\right] = f_{3,1}
$$

$$
\left[e_3, e_{1,2}\right] = -e_{1,2,3} \, , \quad \left[e_3, f_{3,1}\right] = f_1 \, , \quad \left[e_3, f_{3,2,1}\right] = f_{2,1}
$$

$$
\left[f_1, f_2\right] = -f_{2,1} \, , \quad \left[f_1, f_3\right] = -f_{3,1} \, , \quad \left[f_1, f_{3,2,1}\right] = f'_{3,2,1,1}
$$

$$
\left[f_1, e_{1,2}\right] = e_2 \, , \quad \left[f_1, e_{1,3}\right] = a\, e_3 \, , \quad \left[f_1, e'_{1,1,2,3}\right] = (1+a)\, e_{1,2,3}
$$

$$
\left[f_2, f_{3,1}\right] = f_{3,2,1} \, , \quad \left[f_2, e_{1,2}\right] = -e_1 \, , \quad \left[f_2, e_{1,2,3}\right] = -e_{1,3}
$$

$$
\left[f_3, f_{2,1}\right] = f_{3,2,1} \, , \quad \left[f_3, e_{1,3}\right] = -e_1 \, , \quad \left[f_3, e_{1,2,3}\right] = -e_{1,2}
$$

$$
\left[e_{1,2}, e_{1,3}\right] = -e'_{1,1,2,3} \, , \qquad \left[e_{1,2}, f_{2,1}\right] = h_1 - h_2 \, ,
$$

$$
\left[e_{1,2}, f_{3,2,1}\right] = a\, f_3 \, , \qquad \left[e_{1,2}, f'_{3,2,1,1}\right] = (1+a) f_{3,1}
$$

$$
\left[e_{1,3}, f_{3,1}\right] = h_1 - a h_3 \, , \quad \left[e_{1,3}, f_{3,2,1}\right] = f_2 \, , \quad \left[e_{1,3}, f'_{3,2,1,1}\right] = (1+a) f_{2,1}
$$

$$
\left[f_{2,1}, f_{3,1}\right] = -f'_{3,2,1,1} \, , \quad \left[f_{2,1}, e_{1,2,3}\right] = a\, e_3 \, , \quad \left[f_{2,1}, e'_{1,1,2,3}\right] = -(1+a)\, e_{1,3}
$$

$$
\left[f_{3,1}, e_{1,2,3}\right] = e_2 \, , \quad \left[f_{3,1}, e'_{1,1,2,3}\right] = -(1+a)\, e_{1,2}
$$

$$
\left[e_{1,2,3}, f_{3,2,1}\right] = h_1 - h_2 - a h_3 \, , \quad \left[e_{1,2,3}, f'_{3,2,1,1}\right] = -(1+a) f_1 \, ,
$$

$$
\left[f_{3,2,1}, e'_{1,1,2,3}\right] = -(1+a)\, e_1 \, , \quad \left[e'_{1,1,2,3}, f'_{3,2,1,1}\right] = -(1+a)\left(2h_1 - h_2 - a h_3\right)
$$

Now we modify just two root vectors taking (recall $a \neq -1$ by assumption)

$$e_{1,1,2,3} := +(1+a)^{-1} e'_{1,1,2,3} \quad , \qquad f_{3,2,1,1} := -(1+a)^{-1} f'_{3,2,1,1} \quad ;$$

then the above formulas have to be modified accordingly (many coefficients $(1+a)$ cancel out). Looking at the final outcome it is then easy to check that

$$B := \big\{ H_i \, , \, e_i \, , \, f_i \big\}_{i=1,2,3} \bigcup \big\{ e_{1,2} \, , e_{1,3} \, , e_{1,2,3} \, , e_{1,1,2,3} \, , f_{2,1} \, , f_{3,1} \, , f_{3,2,1} \, , f_{3,2,1,1} \big\}$$

with $H_1 := h_1$, $H_2 := (1+a)^{-1} \big(2h_1 - h_2 - ah_3 \big)$, $H_3 := h_3$, is indeed a Chevalley basis for $\mathfrak{g} = D(2,1;a)$.

$\underline{P(n)}$, $n \neq 3$: We fix the distinguished set of even simple roots

$$\Pi'_{P(n)} := \big\{ \varepsilon_1 - \varepsilon_2 \, , \ldots, \, \varepsilon_{n-1} - \varepsilon_n \, , \, \varepsilon_n - \varepsilon_{n+1} \, , \, 2\,\varepsilon_{n+1} \big\}$$

and the corresponding *even* (simple) coroots, which are $H_i := H_{\varepsilon_i - \varepsilon_{i+1}} = \big(e_{i,i} - e_{i+1,i+1} \big) - \big(e_{i+n+1,i+n+1} - e_{i+1+n+1,i+1+n+1} \big)$ $(\forall\, 1 \leq i \leq n)$, $H_{n+1} := H_{2\varepsilon_{n+1}} = \big(e_{n+1,n+1} - e_{2(n+1),2(n+1)} \big)$. As root vectors (the odd roots being $\pm\beta_{i,j} := \pm(\varepsilon_i + \varepsilon_j)$ $\forall\, i \neq j$, and $\gamma_i := 2\,\varepsilon_i$ $\forall\, i$, as in [**13**], §2.48) we take

(even)	$E_{\alpha_{i,j}} := e_{i,j} - e_{n+1+j,n+1+i}$	$\forall\, 1 \leq i \neq j \leq n$
(odd)	$E_{\gamma_i} := e_{i,n+1+i}$	$\forall\, 1 \leq i \leq n+1$
(odd)	$E_{+\beta_{i,j}} := e_{i,n+1+j} + e_{j,n+1+i} =: E_{+\beta_{j,i}}$	$\forall\, 1 \leq i < j \leq n$
(odd)	$E_{-\beta_{i,j}} := e_{n+1+j,i} - e_{n+1+i,j} =: -E_{-\beta_{j,i}}$	$\forall\, 1 \leq i < j \leq n$

Direct check shows that the above elements H_i and root vectors form a basis as required. This follows from the commutation formulas in [**13**], §2.48, which only need the following correction: $\big[E_{\alpha_{i,j}}, E_{\beta_{i,j}} \big] = 2\, E_{\gamma_i}$ $(i \neq j)$. $\square$

REMARK 3.8. *A uniform proof of Theorem* 3.7.

We sketch here, quite roughly (and up to some details) another possible proof of Theorem 3.7, kindly suggested by the referee. This works by a *uniform argument* for all basic cases—like in [**18**], but with different arguments—and can also be adopted again (once the definition of Chevalley basis is set up) for the strange case $Q(n)$ as well. Thus in the end only the strange case $P(n)$ is left apart: therefore, we assume hereafter that $\mathfrak{g}$ is of *basic* type.

To begin with, for the H_i's in the Chevalley basis (belonging to $\mathfrak{h}$) one proceeds like in the proof above. For the *even* root vectors X_α $(\alpha \in \Delta_0)$ one takes them as they are given in a "standard" Chevalley basis of the Lie algebra $\mathfrak{g}_0$ — with easy adaptations when $\mathfrak{g}_0$ is reductive. Finally, for the *odd* root vectors X_β $(\beta \in \Delta_1)$ one of course has to choose each one of them in the root space $\mathfrak{g}_\beta$, which is one-dimensional, yet then one also must carefully fix a proper normalization to get integral coefficients in the expression for the Lie brackets.

As a first step, note that in all basic cases the $\mathfrak{g}_0$–module $\mathfrak{g}_1$ is a direct sum of simple $\mathfrak{g}_0$–modules whose highest weight is *minuscule* (or "*nonzero minimal* dominant" in Humphreys' terminology, cf. [**17**], §13, exercise 13).

Now, a simple $\mathfrak{g}_0$–module $V(\lambda)$ with minuscule highest weight λ is as follows (cf. [**19**], Ch. 5A.1). First, the set of weights of such a $V(\lambda)$ is just $W.\lambda$, the W–orbit of λ, where W is the Weyl group of $\mathfrak{g}_0$. Then each weight space $V(\lambda)_\mu$ in $V(\lambda)$ is one-dimensional, hence given by a single basis vector v_μ so that $V(\lambda)_\mu = \mathbb{K}\, v_\mu$. Thus we start with a $\mathbb{K}$–vector space V having basis $\big\{ v_\mu \big\}_{\mu \in W.\lambda}$: then a $\mathfrak{g}_0$–module structure on V, for which it has highest λ, is given by the following simple formulas:

$$H.v_\mu := \mu(H)\, v_\mu \, , \qquad \forall\ H \in \mathfrak{h} \, , \quad \forall\ \mu \in W.\lambda$$

$$X_{+\alpha}.v_\mu := 0 \;, \quad X_{-\alpha}.v_\mu := 0 \;, \quad \forall \; \alpha \in \Delta_0^+ \;, \mu \in W.\lambda \;:\; \mu(H_\alpha) = 0$$

$$X_{+\alpha}.v_\mu := 0 \;, \; X_{-\alpha}.v_\mu := v_{\mu-\alpha} \;, \quad \forall \, \alpha \in \Delta_0^+ \;, \mu \in W.\lambda \;:\; \mu(H_\alpha) = +1$$

$$(3.1) \quad X_{+\alpha}.v_\mu := v_{\mu+\alpha} \;, \; X_{-\alpha}.v_\mu := 0 \;, \quad \forall \, \alpha \in \Delta_0^+ \;, \mu \in W.\lambda \;:\; \mu(H_\alpha) = -1$$

We now shall use these remarks in order to construct at the same time an isomorphic copy of $\mathfrak{g}$ and a Chevalley basis inside it.

First, we know that $\mathfrak{g}_1$ as an $\mathfrak{h}$–module splits into $\mathfrak{g}_1 = \oplus_{\beta \in \Delta_1} \mathfrak{g}_\beta$ where each odd root space $\mathfrak{g}_\beta$ is one-dimensional. Now we fix a nonzero vector $y_\beta \in \mathfrak{g}_\beta \setminus \{0\}$ for each $\beta \in \Delta_1$: we shall find our odd root vector X_β in the Chevalley basis by a suitable normalization of y_β, which is fixed by imposing relations *(c)* and *(d)* in Definition 3.3.

Now note that $[y_\beta, y_{-\beta}] \in \mathfrak{h}$. Let us call $H(\beta) := [y_\beta, y_{-\beta}]$. Therefore, for all $\alpha \in \Delta_0$ one has

$$\big[X_\alpha, [y_\beta, y_{-\beta}]\big] \;=\; \big[X_\alpha, H(\beta)\big] \;=\; -\alpha\big(H(\beta)\big) X_\alpha$$

while the Jacobi identity yields

$$\big[X_\alpha, [y_\beta, y_{-\beta}]\big] \;=\; \big[[X_\alpha, y_\beta], y_{-\beta}\big] + \big[y_\beta, [X_\alpha, y_{-\beta}]\big] \;=\; 0$$

when $\alpha(H_b) = \beta(H_\alpha) = 0$, since in that case one has $(\alpha \pm \beta) \notin \Delta$. This means that $\alpha\big(H(\beta)\big) = 0 \iff \alpha(H_\beta) = 0$, so that $H(\beta)$ is a scalar multiple of H_β, say $H(\beta) = n_\beta H_\beta$ for some $n_\beta \in \mathbb{K}$; moreover, one has $n_\beta \neq 0$ as the same analysis gives $\big[X_\alpha, [y_\beta, y_{-\beta}]\big] \neq 0$ when $\beta(H_\alpha) \neq 0$.

Therefore, we shall fix our odd root vectors X_β $(\beta \in \Delta_1)$ as given by $X_\beta := n_\beta^{-1/2} y_\beta$ (which makes sense because $\mathbb{K}$ is algebraically closed): it follows that $\big[X_\beta, X_{-\beta}\big] = H_\beta$, so relations *(c)* in Definition 3.3 do hold.

Now we modify the Lie superalgebra structure on $\mathfrak{g}$, keeping the same vector space structure but changing the Lie bracket $[\,,\,]$ as follows. We keep $[\,,\,]$ untouched when restricted to $\mathfrak{g}_0$ (hence $\mathfrak{g}_0$ keeps the same Lie algebra structure) and to $\mathfrak{g}_1$, i.e. when computed on elements which are homogeneous of the same parity. On the other hand, we modify the bracket on elements of different parities, simply by re-defining the (adjoint) action of $\mathfrak{g}_0$ onto $\mathfrak{g}_1$ using formulas (3.1) *with the X_β's playing the role of the v_μ's*. In other words, we (re)normalize the $\mathfrak{g}_0$–action on $\mathfrak{g}_1$, so to have an *isomorphic copy* $\mathfrak{g}_1'$ of the $\mathfrak{g}_0$–module $\mathfrak{g}_1$, whose structure is described by (3.1) with the X_β's replacing the v_μ's.

In addition, the Lie bracket of $\mathfrak{g}$ defines on the $\mathfrak{g}_0$–module $\mathfrak{g}_1$ a $\mathfrak{g}_0$–valued, symmetric bilinear form $\psi : (\eta, \zeta) \mapsto \psi(\eta, \zeta) := [\eta, \zeta]$, for which the Jacobi identity reads

$$(3.2) \qquad x.\psi(\eta, \zeta) \;=\; \psi(x.\eta, \zeta) + \psi(\eta, x.\zeta) \quad \forall \; x \in \mathfrak{g}_0 \;, \; \eta, \zeta \in \mathfrak{g}_1$$

(where the $\mathfrak{g}_0$–action on $\mathfrak{g}_0$ itself is again the adjoint action). Using any $\mathfrak{g}_0$–module isomorphism $\Phi : \mathfrak{g}_1 \overset{\cong}{\longrightarrow} \mathfrak{g}_1'$, we define a form $\psi' : \mathfrak{g}_1' \times \mathfrak{g}_1' \longrightarrow \mathfrak{g}_0$ by $\psi' := \psi \circ \Phi^{\times 2}$ which again will enjoy the similar properties as in (3.2). Then the formula $[\eta', \zeta'] := \psi'\big(\eta', \zeta'\big)$ —for all $\eta', \zeta' \in \mathfrak{g}_1'$ —defines a $\mathfrak{g}_0$–valued bracket on $\mathfrak{g}_1'$: along with the $\mathfrak{g}_0$–action on $\mathfrak{g}_1'$ and the Lie bracket on $\mathfrak{g}_0$ itself, this uniquely determines an overall bracket on $\mathfrak{g}' := \mathfrak{g}_0 \oplus \mathfrak{g}_1'$. By construction, $\mathfrak{g}'$ with this bracket is a Lie superalgebra isomorphic to $\mathfrak{g}$.

Finally, we still have to check that the new odd root vectors X_β we chose do satisfy—for the Lie superalgebra $\mathfrak{g}'$—conditions *(d)* in Definition 3.3. This follows

by direct check: indeed, if $\gamma, \delta \in \Delta_1$ with $\gamma + \delta \neq 0$ then we have $[X_\gamma, X_\delta] = c_{\gamma,\delta} X_{\gamma+\delta}$ for some $c_{\gamma,\delta} \in \mathbb{K}$, and so

$$(3.3) \qquad \left[X_{-(\gamma+\delta)}, [X_\gamma, X_\delta]\right] \;=\; c_{\gamma,\delta} \left[X_{-(\gamma+\delta)}, X_{\gamma+\delta}\right] \;=\; c_{\gamma,\delta} H_{-(\gamma+\delta)}$$

On the other hand, the Jacobi identity gives

$$\left[X_{-(\gamma+\delta)}, [X_\gamma, X_\delta]\right] \;=\; \left[[X_{-(\gamma+\delta)}, X_\gamma], X_\delta\right] + \left[X_\gamma, [X_{-(\gamma+\delta)}, X_\delta]\right] \;=$$
$$= [X_{-\delta}, X_\delta] + [X_\gamma, X_{-\gamma}] \;=\; -H_{-\delta} + H_\gamma \;=\; H_\delta + H_\gamma \;=\; H_{\gamma+\delta} \;=\; -H_{-(\gamma+\delta)}$$

Comparing with (3.3), this gives $c_{\gamma,\delta} = -1$, which actually proves that the conditions required in Definition 3.3(d) actually do hold.

Tidying everything up, we eventually find that—by construction—the new odd root vectors actually complete our Chevalley basis, q.e.d.

CHAPTER 4

Kostant superalgebras

In this chapter, we introduce the "Kostant superalgebra" $K_{\mathbb{Z}}(\mathfrak{g})$ attached to $\mathfrak{g}$ and to a fixed Chevalley basis B of $\mathfrak{g}$. This is a $\mathbb{Z}$–integral form of $U(\mathfrak{g})$, defined as the unital $\mathbb{Z}$–subalgebra of $U(\mathfrak{g})$ generated by odd root vectors, divided powers in the even root vectors and binomial coefficients in the Cartan elements (in B), very much the same as in the ordinary setting.

The properties of Lie brackets of elements in the Chevalley basis B, allow us to describe the "commutation rules" among the generators of $K_{\mathbb{Z}}(\mathfrak{g})$. Using these rules we can prove "PBW-like Theorem" for $K_{\mathbb{Z}}(\mathfrak{g})$, in the following terms: we fix any total order of B, and then

"$K_{\mathbb{Z}}(\mathfrak{g})$ is a free $\mathbb{Z}$–module, and a $\mathbb{Z}$–basis is given by the set of ordered monomials in the generators of $K_{\mathbb{Z}}(\mathfrak{g})$".

The proof proceeds by standard arguments, using the commutation rules to expand any unordered monomial in the generators as a $\mathbb{Z}$–linear combination of ordered ones.

As in ordinary setting, the Kostant form inside the enveloping superalgebra of $\mathfrak{g}$ and its PBW–theorem play an absolutely crucial role in the definition and construction of Chevalley supergroups.

Again the notion of Kostant superalgebra, and its description via a PBW-like theorem—though not for all types—have appeared previously in the literature in the works [18], [30]. Here again, we are providing a unified treatment and a more general version of the results available in the literature.

Let $\mathbb{K}$ be an algebraically closed field of characteristic zero.

Throughout this section we assume $\mathfrak{g}$ to be a classical Lie superalgebra, with $\mathfrak{g}$ not of type $A(1,1)$, $P(3)$, $Q(n)$ or $D(2,1;a)$ with $a \notin \mathbb{Z}$. We treat cases A-P-Q in Chapter 6, while $D(2,1;a)$ with $a \notin \mathbb{Z}$ is disposed of in [14].

4.1. Kostant's $\mathbb{Z}$–form

For any $\mathbb{K}$–algebra A, we define the *binomial coefficients*

$$\binom{y}{n} := \frac{y(y-1)\cdots(y-n+1)}{n!}$$

for all $y \in A$, $n \in \mathbb{N}$. We recall a (standard) classical result, concerning $\mathbb{Z}$–integral valued polynomials in a polynomial algebra $\mathbb{K}[y_1, \ldots, y_\ell]$:

LEMMA 4.1 (cf. [17], §26.1). *Let $\mathbb{K}[y_1, \ldots, y_t]$ be the $\mathbb{K}$–algebra of polynomials in the indeterminates $y_1, \ldots, y_t$. Let also*

$$Int_{\mathbb{Z}}\Big(\mathbb{K}[y_1, \ldots, y_t]\Big) := \Big\{ f \in \mathbb{K}[y_1, \ldots, y_t] \,\Big|\, f(z_1, \ldots, z_t) \in \mathbb{Z} \quad \forall z_1, \ldots, z_t \in \mathbb{Z} \Big\}$$

Then $Int_{\mathbb{Z}}\big(\mathbb{K}[y_1,\ldots,y_t]\big)$ is a $\mathbb{Z}$–subalgebra of $\mathbb{K}[y_1,\ldots,y_t]$, which is free as a $\mathbb{Z}$–(sub)module, with $\mathbb{Z}$–basis $\Big\{ \prod_{i=1}^{t} \binom{y_i}{n_i} \,\Big|\, n_1,\ldots,n_t \in \mathbb{N} \Big\}$.

Let $U(\mathfrak{g})$ be the universal enveloping superalgebra of $\mathfrak{g}$. We fix a Chevalley basis $B = \{H_1,\ldots,H_\ell\} \coprod \big\{X_\alpha\big\}_{\alpha\in\Delta}$ of $\mathfrak{g}$ as in §3.2, and let $\mathfrak{h}_{\mathbb{Z}}$ be the free $\mathbb{Z}$–module with basis $\{H_1,\ldots,H_\ell\}$. Given $h \in U(\mathfrak{h})$, we denote by $h(H_1,\ldots,H_\ell)$ the expression of h as a function of the H_i's. As immediate consequence of Lemma 4.1, we have the following:

COROLLARY 4.2.

(a) $\mathbb{H}_{\mathbb{Z}} := \Big\{ h \in U(\mathfrak{h}) \,\Big|\, h\big(z_1,\ldots,z_\ell\big) \in \mathbb{Z}, \ \forall z_1,\ldots,z_\ell \in \mathbb{Z} \Big\}$ is a free $\mathbb{Z}$–submodule of $U(\mathfrak{h})$, with basis $B_{U(\mathfrak{h})} := \Big\{ \prod_{i=1}^{\ell} \binom{H_i}{n_i} \,\Big|\, n_1,\ldots,n_\ell \in \mathbb{N} \Big\}$.

(b) The $\mathbb{Z}$–subalgebra of $U(\mathfrak{g})$ generated by all the elements $\binom{H-z}{n}$ with $H \in \mathfrak{h}_{\mathbb{Z}}$, $z \in \mathbb{Z}$, $n \in \mathbb{N}$, coincides with $\mathbb{H}_{\mathbb{Z}}$.

Now, mimicking the classical construction, we define a $\mathbb{Z}$–form of $U(\mathfrak{g})$:

DEFINITION 4.3. We call "divided powers" all elements $X_\alpha^{(n)} := X_\alpha^n / n!$, for $\alpha \in \Delta_0$, $n \in \mathbb{N}$. We call Kostant superalgebra, or Kostant's $\mathbb{Z}$–form of $U(\mathfrak{g})$, the unital $\mathbb{Z}$–subsuperalgebra of $U(\mathfrak{g})$, denoted by $K_{\mathbb{Z}}(\mathfrak{g})$, generated by

$$X_\alpha^{(n)}, \quad X_\gamma, \quad \binom{H_i}{n} \qquad \forall \ \alpha\in\Delta_0, \ n\in\mathbb{N}, \ \gamma\in\Delta_1, \ i=1,\ldots,\ell.$$

REMARKS 4.4. Let $K_{\mathbb{Z}}(\mathfrak{g}_0)$ be the unital $\mathbb{Z}$–subalgebra of $U(\mathfrak{g}_0)$ generated by the elements $X_\alpha^{(n)}$, $\binom{H_i}{n}$ with $\alpha \in \Delta_0$, $n \in \mathbb{N}$. This gives back "almost" a classical object, namely the Kostant's $\mathbb{Z}$–form of $U(\mathfrak{g}_0)$: the latter is defined (and well-known) in terms of a classical Chevalley basis when $\mathfrak{g}_0$ is semisimple—which is often, but not always, the case for simple Lie superalgebras $\mathfrak{g}$ of classical type; moreover, that definition can be easily extended to the reductive case (depending on a choice). Nevertheless, in general the algebra $K_{\mathbb{Z}}(\mathfrak{g}_0)$ we are considering is slightly different, namely a bit larger, because of the way we chose the Cartan elements $H_1, \ldots, H_\ell$ in the Chevalley basis.

Another important classical result is the following:

LEMMA 4.5. (cf. [17], §26.2) Let $\alpha \in \Delta_0$, and $m, n \in \mathbb{N}$. Then

$$X_\alpha^{(n)} X_{-\alpha}^{(m)} = \sum_{k=0}^{min(m,n)} X_{-\alpha}^{(m-k)} \binom{H_\alpha - m - n + 2\,k}{k} X_\alpha^{(n-k)}$$

4.2. Commutation rules

In the classical setup, a description of $K_{\mathbb{Z}}(\mathfrak{g}_0)$ comes from a "PBW-like" theorem: namely, $K_{\mathbb{Z}}(\mathfrak{g}_0)$ is a free $\mathbb{Z}$–module with $\mathbb{Z}$–basis the set of ordered monomials (w. r. to any total order) whose factors are divided powers in the root vectors X_α ($\alpha \in \Delta_0$) or binomial coefficients in the H_i ($i = 1,\ldots,\ell$).

We shall prove a similar result in the "super-framework". Like in the classical case, this follows from a direct analysis of commutation rules among divided powers in the even root vectors, binomial coefficients in the H_i's and odd root vectors. To perform such an analysis, we list hereafter all such rules; in particular, we also need to consider slightly more general relations.

The relevant feature is that all coefficients in these relations are in $\mathbb{Z}$.

We split the list into two sections: *(1)* relations involving only *even* generators (known by classical theory); *(2)* relations involving also *odd* generators.

All these relations are proved via simple induction arguments: the classical ones (in the first list) are well-known (see [**17**], §26), and the new ones are proved in a similar way, using Theorem 3.7. Details are left to the reader.

(1) Even generators only (that is $\binom{H_i}{m}$'s and $X_\alpha^{(n)}$'s only, $\alpha \in \Delta_0$):

$$(4.1) \qquad \binom{H_i}{n}\binom{H_j}{m} = \binom{H_j}{m}\binom{H_i}{n}$$
$$\forall\ i,j \in \{1,\dots,\ell\}, \quad \forall\ n,m \in \mathbb{N}$$

$$(4.2) \qquad X_\alpha^{(n)} f(H) = f\big(H - n\,\alpha(H)\big) X_\alpha^{(n)}$$
$$\forall\ \alpha \in \Delta_0\,,\ H \in \mathfrak{h}\,,\ n \in \mathbb{N}\,,\ f(T) \in \mathbb{K}[T]$$

$$(4.3) \qquad X_\alpha^{(n)} X_\alpha^{(m)} = \binom{n+m}{m} X_\alpha^{(n+m)} \qquad \forall\, \alpha \in \Delta_0\,, \quad \forall\ n,m \in \mathbb{N}$$

$$(4.4) \qquad X_\alpha^{(n)} X_\beta^{(m)} = X_\beta^{(m)} X_\alpha^{(n)} + l.h.t \qquad \forall\, \alpha,\beta \in \Delta_0\,, \quad \forall\ n,m \in \mathbb{N}$$

where *l.h.t.* stands for a $\mathbb{Z}$–linear combinations of monomials in the $X_\delta^{(k)}$'s and in the $\binom{H_i}{c}$'s whose "height"—that is, by definition, the sum of all "exponents" k occurring in such a monomial—is less than $n+m$.

A *special case* is the following (already seen in Lemma 4.5):

$$(4.5) \qquad X_\alpha^{(n)} X_{-\alpha}^{(m)} = \sum_{k=0}^{min(m,n)} X_{-\alpha}^{(m-k)} \binom{H_\alpha - m - n + 2\,k}{k} X_\alpha^{(n-k)}$$
$$\forall\, \alpha \in \Delta_0\,, \quad \forall\ m,n \in \mathbb{N}$$

(2) Odd and even generators (also involving the X_γ's, $\gamma \in \Delta_1$):

$$(4.6) \qquad X_\gamma f(H) = f\big(H - \gamma(H)\big) X_\gamma$$
$$\forall\ \gamma \in \Delta_1\,,\ h \in \mathfrak{h}\,,\ f(T) \in \mathbb{K}[T]$$

$$(4.7) \qquad c_{\gamma,\gamma} X_{2\gamma} = \big[X_\gamma, X_\gamma\big] = 2\,X_\gamma^2\ , \qquad \forall\ \gamma \in \Delta_1$$

$$(4.8) \qquad \text{hence} \qquad 2\,\gamma \notin \Delta \implies X_\gamma^n = 0\,, \qquad \forall\ n \geq 2$$

$$(4.9) \qquad \text{and} \qquad 2\,\gamma \in \Delta \implies X_\gamma^2 = c_{\gamma,\gamma}/2 \cdot X_{2\gamma} = \pm 2\,X_{2\gamma}$$

(because $c_{\gamma,\gamma} = \pm 4$ if $\gamma, 2\gamma \in \Delta$ see Definition 3.3)

$$(4.10) \qquad X_{-\gamma} X_\gamma = -X_\gamma X_{-\gamma} + H_\gamma \qquad \forall\ \gamma \in \Delta_1 \cap \big(-\Delta_1\big)$$
$$\text{with} \quad H_\gamma := \big[X_\gamma\,, X_{-\gamma}\big] \in \mathfrak{h}_{\mathbb{Z}}\,,$$

$$(4.11) \qquad X_\gamma X_\delta = -X_\delta X_\gamma + c_{\gamma,\delta} X_{\gamma+\delta}\,, \quad \forall\ \gamma,\delta \in \Delta_1\,, \gamma+\delta \neq 0$$
$$\text{with} \ c_{\gamma,\delta} \ \text{as in Definition 3.3,}$$

$$(4.12) \qquad X_\alpha^{(n)} X_\gamma = X_\gamma X_\alpha^{(n)} + \sum_{k=1}^n \Big(\prod_{s=1}^k \varepsilon_s\Big) \binom{r+k}{k} X_{\gamma+k\,\alpha} X_\alpha^{(n-k)}$$
$$\forall\ n \in \mathbb{N}, \quad \forall\ \alpha \in \Delta_0\,,\ \gamma \in \Delta_1 : \alpha \neq \pm 2\,\gamma\,,$$

with $\sigma_\gamma^\alpha = \{\gamma - r\,\alpha, \ldots, \gamma, \ldots, \gamma + q\,\alpha\}$, $X_{\gamma+k\,\alpha} := 0$ if $(\gamma + k\,\alpha) \notin \Delta$, and $\varepsilon_s = \pm 1$ such that $[X_\alpha, X_{\gamma+(s-1)\,\alpha}] = \varepsilon_s\,(r+s)\,X_{\gamma+s\,\alpha}$,

$$(4.13) \qquad X_\gamma\,X_\alpha^{(n)} \;=\; X_\alpha^{(n)}\,X_\gamma\,, \qquad X_{-\gamma}\,X_{-\alpha}^{(n)} \;=\; X_{-\alpha}^{(n)}\,X_{-\gamma}$$

$$(4.14) \qquad X_{-\gamma}\,X_\alpha^{(n)} \;=\; X_\alpha^{(n)}\,X_{-\gamma} \;+\; z_\gamma\,\gamma(H_\gamma)\,X_\alpha^{(n-1)}\,X_\gamma$$

$$(4.15) \qquad X_\gamma\,X_{-\alpha}^{(n)} \;=\; X_{-\alpha}^{(n)}\,X_\gamma \;-\; z_\gamma\,\gamma(H_\gamma)\,X_{-\alpha}^{(n-1)}\,X_{-\gamma}$$

$$\forall\; n \in \mathbb{N}\,, \quad \forall\; \gamma \in \Delta_1\,, \quad \alpha = 2\,\gamma \in \Delta_0\,, \quad z_\gamma := c_{\gamma,\gamma}/2 = \pm 2$$

REMARK 4.6. In [**30**]—dealing with the orthosymplectic case only—the following commutation formula is given

$$X_\gamma\,\binom{H_i}{t} \;=\; \sum_{r=0}^t\,(-1)^{t-r}\,\binom{\gamma(H_i)}{t-r}\cdot\binom{H_i}{r}\,X_\gamma$$

for all $H_i = H_{\alpha_i}$, with $\alpha_i \in \Delta_0$ simple, and $\gamma \in \Delta_1$. Actually, this is equivalent to (4.6), because the $\binom{H_i}{m}$'s generate the polynomials in H_i, and in general the following identity holds:

$$\binom{H_\alpha - \gamma(H_\alpha)}{t} \;=\; \sum_{r=0}^t\,(-1)^{t-r}\,\binom{\gamma(H_\alpha)}{t-r}\cdot\binom{H_\alpha}{r}$$

4.3. Kostant's PBW-like theorem

We are now ready to state and prove our super-version of Kostant's theorem:

THEOREM 4.7. *The Kostant superalgebra $K_\mathbb{Z}(\mathfrak{g})$ is a free $\mathbb{Z}$–module. For any given total order $\preceq$ of the set $\Delta \cup \{1, \ldots, \ell\}$, a $\mathbb{Z}$–basis of $K_\mathbb{Z}(\mathfrak{g})$ is the set $\mathcal{B}$ of ordered "PBW-like monomials", i.e. all products (without repetitions) of factors of type $X_\alpha^{(n_\alpha)}$, $\binom{H_i}{n_i}$ and X_γ—with $\alpha \in \Delta_0$, $i \in \{1, \ldots, \ell\}$, $\gamma \in \Delta_1$ and n_α, $n_i \in \mathbb{N}$—taken in the right order with respect to $\preceq$.*

PROOF. Let us call "monomial" any product in any possible order, possibly with repetitions, of several $X_\alpha^{(n_\alpha)}$'s, several $\binom{H_i - z_i}{s_i}$'s—with $z_i \in \mathbb{Z}$—and several $X_\gamma^{m_\gamma}$'s, $m_\gamma \in \mathbb{N}$.

For any such monomial $\mathcal{M}$, we define three numbers, namely:

— its "height" $ht(\mathcal{M})$, namely the sum of all n_α's and m_γ's occurring in $\mathcal{M}$ (so it does not depend on the binomial coefficients in the H_i's);

— its "factor number" $fac(\mathcal{M})$, defined to be the total number of factors (namely $X_\alpha^{(n_\alpha)}$, $\binom{H_i - z_i}{n_i}$ or X_γ) within $\mathcal{M}$ itself;

— its "inversion number" $inv(\mathcal{M})$, which is the sum of all inversions of the order $\preceq$ among the indices of factors in $\mathcal{M}$ when read from left to right.

We can now act upon any such $\mathcal{M}$ with any of the following operations:

– *(1)* we move all factors $\binom{H_i - z_i}{s}$ to the leftmost position, by repeated use of relations (4.2) and (4.6): this produces a new monomial $\mathcal{M}'$ multiplied on the left by a suitable product of several (new) factors $\binom{H_i - \check{z}_i}{s_i}$;

– *(2)* we re-write any power of an odd root vector, say $X_\gamma^{n_\gamma}$, $n_\gamma > 1$, as

$$X_\gamma^{n_\gamma} \;=\; X_\gamma^{2\,d_\gamma + \epsilon_\gamma} \;=\; z\,X_{2\gamma}^{d_\gamma}\,X_\gamma^{\epsilon_\gamma} \;=\; z\,d_\gamma!\,X_{2\gamma}^{(d_\gamma)}\,X_\gamma^{\epsilon_\gamma}$$

for some $z \in \mathbb{Z}$, $d_\gamma \in \mathbb{N}$, $\epsilon_\gamma \in \{0,1\}$ with $n_\gamma = 2\, d_\gamma + \epsilon_\gamma$, using (4.7–9);

– *(3)* whenever two or more factors $X_\alpha^{(n)}$'s get side by side, we splice them together into a single one, times an integral coefficient, using (4.3);

– *(4)* whenever two factors within $\mathcal{M}$ occur side by side *in the wrong order w. r. to* $\preceq$, i.e. we have any one of the following situations

$$\mathcal{M} = \cdots X_\alpha^{(n_\alpha)} X_\beta^{(n_\beta)} \cdots \quad , \qquad \mathcal{M} = \cdots X_\alpha^{(n_\alpha)} X_\gamma^{m_\gamma} \cdots$$
$$\mathcal{M} = \cdots X_\delta^{m_\delta} X_\alpha^{(n_\alpha)} \cdots \quad , \qquad \mathcal{M} = \cdots X_\gamma^{m_\gamma} X_\delta^{m_\delta} \cdots$$

with $\alpha \gneqq \beta$, $\alpha \gneqq \gamma$, $\delta \gneqq \alpha$ and $\gamma \gneqq \delta$ respectively, we can use all relations (4.4–5) and (4.10–18) to re-write this product of two distinguished factors, so that all of $\mathcal{M}$ expands into a $\mathbb{Z}$–linear combination of new monomials.

By definition, $K_\mathbb{Z}(\mathfrak{g})$ is spanned over $\mathbb{Z}$ by all (unordered) monomials in the $X_\alpha^{(n)}$'s, the $\binom{H_i}{n_i}$ and the X_γ's.

Let us consider one of these, say $\mathcal{M}$.

First of all, $\mathcal{M}$ is a PBW-like monomial, i.e. one in $\mathcal{B}$, if and only if no one of steps *(2)* to *(4)* may be applied. But if not, we now see what is the effect of applying such steps. We begin with *(1)*: applied to $\mathcal{M}$, it gives

$$\mathcal{M} = \mathcal{H}\, \mathcal{M}'$$

where $\mathcal{H}$ is some product of $\binom{H_i - \check{z}_i}{s_i}$'s, and $\mathcal{M}'$ is a new monomial such that

$$ht(\mathcal{M}') = ht(\mathcal{M}) , \quad fac(\mathcal{M}') \leq fac(\mathcal{M}) , \quad inv(\mathcal{M}') \leq inv(\mathcal{M})$$

and the strict inequality in the middle holds if and only if $\mathcal{H} \neq 1$, i.e. step *(1)* is non-trivial. Indeed, all this is clear when one realizes that $\mathcal{M}'$ is nothing but "$\mathcal{M}$ ripped off of all factors $\binom{H_i - z_i}{s_i}$'s."

Then we apply any one of steps *(2)*, *(3)* or *(4)* to $\mathcal{M}'$. Step *(2)* gives

$$\mathcal{M}' = z\, \mathcal{M}'', \text{ with } ht(\mathcal{M}'') \lneqq ht(\mathcal{M}'),$$

for some $z \in \mathbb{Z}$ and some monomial $\mathcal{M}''$ (possibly zero).

Step *(3)* yields

$$\mathcal{M}' = z\, \mathcal{M}^\vee, \text{ with } ht(\mathcal{M}^\vee) = ht(\mathcal{M}') , \ fac(\mathcal{M}^\vee) \lneqq fac(\mathcal{M}')$$

for some $z \in \mathbb{Z}$ and some monomial $\mathcal{M}^\vee$. Finally, step *(4)* instead gives

$$\mathcal{M}' = \mathcal{M}^\curlyvee + \sum_k z_k'' \mathcal{M}_k, \text{ with } ht(\mathcal{M}_k) \lneqq ht(\mathcal{M}') \quad \forall\, k ,$$
$$\text{and } ht(\mathcal{M}^\curlyvee) = ht(\mathcal{M}') , \quad inv(\mathcal{M}^\curlyvee) \lneqq inv(\mathcal{M}')$$

where $z_k \in \mathbb{Z}$ (for all k), and $\mathcal{M}^\curlyvee$ and the $\mathcal{M}_k$'s are monomials.

In short, through either step *(2)*, or *(3)*, or *(4)*, we achieve an expansion

(4.16) $$\mathcal{M}' = \sum_k z_k'' \mathcal{H}\, \mathcal{M}_k' , \qquad z_k \in \mathbb{Z}$$

where—unless the step is trivial, for then we get all equalities—we have

(4.17) $$\left(ht(\mathcal{M}_k') \lneqq ht(\mathcal{M}') \right) \vee \left(fac(\mathcal{M}_k') \lneqq fac(\mathcal{M}') \right) \vee \left(inv(\mathcal{M}_k') \lneqq inv(\mathcal{M}') \right)$$

Now we can repeat, namely we apply step *(1)* and step *(2)* or *(3)* or *(4)* to every monomial $\mathcal{M}_k$ in (4.16); and then we iterate. Thanks to (4.17), this process will stop after finitely many iterations. The outcome then will read

(4.18) $$\mathcal{M}' = \sum_j \dot{z}_j\, \mathcal{H}_j''\, \mathcal{M}_j'' , \qquad \dot{z}_j \in \mathbb{Z}$$

where $inv\big(\mathcal{M}''_j\big) = 0$ for every index j, i.e. all monomials $\mathcal{M}''_j$ are ordered and without repetitions, that is they belong to $\mathcal{B}$. Now each $\mathcal{H}''_j$ belongs to $\mathbb{H}_{\mathbb{Z}}$ (notation of Corollary 4.2), just by construction. Then Corollary 4.2 ensures that each $\mathcal{H}''_j$ expand into a $\mathbb{Z}$–linear combination of ordered monomials in the $\binom{H_i}{n_i}$'s. Therefore (4.18) yields

$$(4.19) \qquad \mathcal{M} = \sum_s \hat{z}_s\, \mathcal{H}^{\wedge}_s\, \mathcal{M}^{\wedge}_s\,, \qquad \hat{z}_s \in \mathbb{Z}$$

where every $\mathcal{H}^{\wedge}_s$ is an ordered monomial, without repetitions, in the $\binom{H_i}{n_i}$'s, while for each index s we have $\mathcal{M}^{\wedge}_s = \mathcal{M}''_j$ for some j—those in (4.18).

Using again—somehow "backwards", say—relations (4.2) and (4.6), we can "graft" every factor $\binom{H_i}{n_i}$ occurring in each $\mathcal{H}^{\wedge}_s$ in the right position inside the monomial $\mathcal{M}^{\wedge}_s$, so to get a new monomial $\mathcal{M}^{\circ}_s$ which is ordered, without repetitions, but might have factors of type $\binom{H_i - z_i}{m_i}$ with $z_i \in \mathbb{Z} \setminus \{0\}$—thus not belonging to $\mathcal{B}$. But then $\binom{H_i - z_i}{m_i} \in \mathbb{H}_{\mathbb{Z}}$, hence again by Corollary 4.2 that factor expands into a $\mathbb{Z}$–linear combination of ordered monomials, without repetitions, in the $\binom{H_j}{\ell_j}$'s. Plugging every such expansion inside each monomial $\mathcal{M}^{\wedge}_s$ instead of each $\binom{H_i - z_i}{m_i}$— $i = 1, \ldots, \ell$—we eventually find

$$(4.20) \qquad \mathcal{M} = \sum_r z_r\, \mathcal{M}^{!}_r\,, \qquad z_r \in \mathbb{Z}$$

where now every $\mathcal{M}^{!}_r$ is a PBW-like monomial, i.e. $\mathcal{M}^{!}_r \in \mathcal{B}$ for every r.

Since $K_{\mathbb{Z}}(\mathfrak{g})$, by definition, is spanned over $\mathbb{Z}$ by all monomials in the $X^{(n)}_{\alpha}$'s, the X_{γ}'s and the $\binom{H_i}{m}$'s, by the above $K_{\mathbb{Z}}(\mathfrak{g})$ is contained in $Span_{\mathbb{Z}}(\mathcal{B})$. On the other hand, by definition and by Corollary 4.2, $Span_{\mathbb{Z}}(\mathcal{B})$ in turn is contained in $K_{\mathbb{Z}}(\mathfrak{g})$. So $K_{\mathbb{Z}}(\mathfrak{g}) = Span_{\mathbb{Z}}(\mathcal{B})$, i.e. $\mathcal{B}$ spans $K_{\mathbb{Z}}(\mathfrak{g})$ over $\mathbb{Z}$.

At last, the "PBW theorem" for Lie superalgebras over fields ensures that $\mathcal{B}$ is a $\mathbb{K}$–basis for $U(\mathfrak{g})$, because $B := \{H_1, \ldots, H_\ell\} \coprod \{X_\alpha \,|\, \alpha \in \Delta\}$ is a $\mathbb{K}$–basis of $\mathfrak{g}$ (cf. [**33**]). Thus $\mathcal{B}$ is linearly independent over $\mathbb{K}$, hence over $\mathbb{Z}$. Therefore $\mathcal{B}$ is a $\mathbb{Z}$–basis for $K_{\mathbb{Z}}(\mathfrak{g})$, and the latter is a free $\mathbb{Z}$–module. $\qquad\square$

REMARKS 4.8.
(a)   To give an example, let us fix any total order $\preceq$ in $\Delta \cup \{1, \ldots, \ell\}$ such that $\Delta_0 \preceq \{1, \ldots, \ell\} \preceq \Delta_1$. Then the basis $\mathcal{B}$ from Theorem 4.7 reads

$$(4.21) \qquad \mathcal{B} = \left\{ \prod_{\alpha \in \Delta_0} X^{(n_\alpha)}_{\alpha} \prod_{i=1}^{\ell} \binom{H_i}{n_i} \prod_{\gamma \in \Delta_1} X^{\epsilon_\gamma}_{\gamma} \,\middle|\, n_\alpha,\, n_i \in \mathbb{N},\, \epsilon_\gamma \in \{0,1\} \right\}$$

(b)   For $\mathfrak{g} = \mathfrak{gl}(m|n)$, a $\mathbb{Z}$–basis like (4.21) was more or less known in literature (see, e.g., [**5**]). For $\mathfrak{g} = \mathfrak{osp}(n|m)$, it is given in [**30**], Theorem 3.6.

Theorem 4.7 has a direct consequence. To state it, note that $\mathfrak{g}^{\mathbb{Z}}_1$, the odd part of $\mathfrak{g}^{\mathbb{Z}}$, has $\{X_\gamma \,|\, \gamma \in \Delta_1\}$ as $\mathbb{Z}$–basis, by construction; then let $\bigwedge \mathfrak{g}^{\mathbb{Z}}_1$ be the exterior $\mathbb{Z}$–algebra over $\mathfrak{g}^{\mathbb{Z}}_1$. Then we have an integral version of the well known factorization $U(\mathfrak{g}) \cong U(\mathfrak{g}_0) \otimes_{\mathbb{K}} \bigwedge \mathfrak{g}_1$ (see [**33**]):

COROLLARY 4.9.   *There exists an isomorphism of $\mathbb{Z}$–modules*

$$K_{\mathbb{Z}}(\mathfrak{g}) \;\cong\; K_{\mathbb{Z}}(\mathfrak{g}_0) \otimes_{\mathbb{Z}} \bigwedge \mathfrak{g}^{\mathbb{Z}}_1$$

PROOF. Let us choose a PBW-like basis $\mathcal{B}$ of $K_\mathbb{Z}(\mathfrak{g})$—from Theorem 4.7—as in (4.21). Then each PBW-like monomial can be factorized into a left factor λ times a right factor ρ, namely $\prod_{\alpha \in \Delta_0} X_\alpha^{(n_\alpha)} \prod_{i=1}^{\ell} \binom{H_i}{n_i} \prod_{\gamma \in \Delta_1} X_\gamma^{\epsilon_\gamma} = \lambda \cdot \rho$ with $\lambda :=$ $\prod_{\alpha \in \Delta_0} X_\alpha^{(n_\alpha)} \prod_{i=1}^{\ell} \binom{H_i}{n_i}$ and $\rho := \prod_{\gamma \in \Delta_1} X_\gamma^{\epsilon_\gamma}$. But all the λ's span $K_\mathbb{Z}(\mathfrak{g}_0)$ over $\mathbb{Z}$ (by classical Kostant's theorem) while the ρ's span $\bigwedge \mathfrak{g}_1^\mathbb{Z}$. $\qquad\square$

REMARKS 4.10.   (a) Following a classical pattern (and cf. [**4**], [**5**], [**30**] in the super context) we can define the *superalgebra of distributions* $\mathcal{D}ist(G)$ on any supergroup G. Then $\mathcal{D}ist(G) = K_\mathbb{Z}(\mathfrak{g}) \otimes_\mathbb{Z} \mathbb{K}$, when $\mathfrak{g} := \mathrm{Lie}(G)$ is classical.

(b) In this section we proved that the assumptions of Theorem 2.8 in [**30**] do hold for any supergroup G whose tangent Lie superalgebra is classical. Therefore, *all results in* [**30**] *do apply to such supergroups.*

CHAPTER 5

Chevalley supergroups

This chapter is the core of our work: it presents the construction of "Chevalley supergroups" and gives their main and fundamental properties.

Our construction goes through some main steps we are going to outline here.

The first step demands to fix a specific $\mathfrak{g}$–module, which has to be faithful, semisimple, finite-dimensional and rational.

Then any such $\mathfrak{g}$–module V, contains a $\mathbb{Z}$–integral form M which is $K_{\mathbb{Z}}(\mathfrak{g})$–stable; such an M will then be called an "admissible lattice" of V. The choice of an admissible lattice M for V provides a functorial recipe yielding a representation of $A \otimes K_{\mathbb{Z}}(\mathfrak{g})$ on $A \otimes M$, for each $A \in$ (salg) by scalar extension.

Our second step consists in taking the supergroup functor $\mathrm{GL}(M) : A \mapsto \mathrm{GL}(M)(A) := \mathrm{GL}(A \otimes M)$. Inside it, we consider all the one-parameter supersubgroups corresponding to the root vectors and the Cartan elements in the Chevalley basis. Then we take the (full) subgroup functor G_V, inside $\mathrm{GL}(M)$, such that $G_V(A)$ is the subgroup generated by all these one-parameter supersubgroups. We then define the sheafification of G_V, denoted $\mathbf{G}_V$, to be our "Chevalley supergroup" attached to $\mathfrak{g}$ and to V.

The third step consists in the proof of the representability of $\mathbf{G}_V$, in other words we show that $\mathbf{G}_V$ is the functor of points of an affine algebraic supergroup, thus deserving truly the denomination of Chevalley supergroup. We achieve this via a factorization result: namely, we show that $\mathbf{G}_V$ factors, as a set-valued functor, into two set-valued functors $\mathbf{G}_0$ and $\mathbf{G}_1$, and in order to do this we do through a fine analysis of commutation rules between one-parameter supersubgroups. The factors are then explicitly described: $\mathbf{G}_0$ is essentially a classical reductive algebraic group realized through the classical Chevalley construction, hence it is representable, while $\mathbf{G}_1$ is just an affine, purely odd superspace, so it is also representable. We believe that this description is in itself interesting, since it sheds light on the structure of simple algebraic supergroups. At this point it follows immediately that the direct product $\mathbf{G}_0 \times \mathbf{G}_1 \cong \mathbf{G}_V$ is also representable.

Notice that any affine supergroup admits such a factorization $\mathbf{G} \cong \mathbf{G}'_0 \times \mathbf{G}'_1$ However in our construction we need to prove it, since this is our way to reach the representability result.

In the last part of the chapter, we show that $\mathbf{G}_V$ depends only on V, i.e. it is independent of choice of an admissible lattice M. Moreover, we prove that it has good functoriality properties with respect to V; in particular, we explain the relation between different Chevalley supergroups built upon the same classical Lie superalgebra $\mathfrak{g}$ and different $\mathfrak{g}$–modules V. The outcome is that this relation is much the same as in the classical framework: the representation V bears some topological data encoded into $\mathbf{G}_0$, whereas the "purely odd" factor $\mathbf{G}_1$ carries nothing new. Also, we prove Lie's Third Theorem for $\mathbf{G}_V$: namely, letting $\mathrm{Lie}(\mathbf{G}_V) :$ (salg) $\longrightarrow$

(Lie) *being the Lie algebra valued functor associated to the supergroup* $\mathbf{G}_V$ *, we prove that* $\mathrm{Lie}(\mathbf{G}_V) = \mathfrak{g}$ *.*

5.1. Admissible lattices

Let $\mathbb{K}$ be an algebraically closed field of characteristic zero. If R is a unital subring of $\mathbb{K}$, and V a finite dimensional $\mathbb{K}$–vector space, a subset $M \subseteq V$ is called R–*lattice* (or R–*form*) of V if $M = Span_R(B)$ for some basis B of V.

Let $\mathfrak{g}$ be a classical Lie superalgebra (as above) over $\mathbb{K}$, with $rk(\mathfrak{g}) = \ell$, let a Chevalley basis B of $\mathfrak{g}$ and the Kostant algebra $K_{\mathbb{Z}}(\mathfrak{g})$ be as in §§3–4.

DEFINITION 5.1. Let V be a $\mathfrak{g}$–module, and let M be a $\mathbb{Z}$–lattice of it.

(a) We call V *rational* if $\mathfrak{h}_{\mathbb{Z}} := Span_{\mathbb{Z}}(H_1, \dots, H_\ell)$ acts diagonally on V with eigenvalues in $\mathbb{Z}$; in other words, one has

$$V = \bigoplus\nolimits_{\mu \in \mathfrak{h}^*} V_\mu \qquad \text{with} \quad V_\mu := \{ v \in V \mid h.v = \mu(h)\, v \quad \forall\, h \in \mathfrak{h} \}$$

and

$$\mu(H_i) \in \mathbb{Z} \qquad \text{for all} \quad i = 1, \dots, \ell \quad \text{and all} \quad \mu \in \mathfrak{h}^* \quad \text{such that} \quad V_\mu \neq \{0\}\,.$$

(b) We call M *admissible (lattice)* — of V — if it is $K_{\mathbb{Z}}(\mathfrak{g})$–stable.

REMARK 5.2. If $\mathfrak{g}$ is *not* of either types $A(m,n)$, $C(n)$, $Q(n)$ or $D(2,1;a)$, then any *finite dimensional* $\mathfrak{g}$–module V is automatically rational. However in the other cases the rationality assumption *is* actually restrictive.

THEOREM 5.3. *Let* $\mathfrak{g}$ *be a classical Lie superalgebra.*

(a) *Any rational, finite dimensional, semisimple* $\mathfrak{g}$–*module* V *contains an admissible lattice* M.

(b) *Any admissible lattice* M *as in (a) is the sum of its weight components, that is to say* $M = \bigoplus_{\mu \in \mathfrak{h}^*} (M \cap V_\mu)$ *.*

PROOF. The proof is the same as in the classical case. Without loss of generality, we can assume that V be irreducible of highest weight. Letting v be a highest weight vector, take $M := K_{\mathbb{Z}}(\mathfrak{g}).v$; then M spans V over $\mathbb{K}$, and it is clearly $K_{\mathbb{Z}}(\mathfrak{g})$–stable because $K_{\mathbb{Z}}(\mathfrak{g})$ is a subalgebra of $U(\mathfrak{g})$. The proof that M is actually a $\mathbb{Z}$–lattice of V and that M splits into $M = \oplus_\mu (M \cap V_\mu)$ is detailed in [**29**], §2, Corollary 1, and it applies here with just a few minor changes.   □

We also need to know the stabilizer of an admissible lattice:

THEOREM 5.4. *Let* V *be a rational, finite dimensional* $\mathfrak{g}$–*module,* M *an admissible lattice of* V, *and* $\mathfrak{g}_V = \{ X \in \mathfrak{g} \mid X.M \subseteq M \}$. *If* V *is faithful, then*

$$\mathfrak{g}_V = \mathfrak{h}_V \bigoplus (\oplus_{\alpha \in \Delta} \mathbb{Z}\, X_\alpha)\,, \qquad \mathfrak{h}_V := \{ H \in \mathfrak{h} \mid \mu(H) \in \mathbb{Z}, \ \forall\, \mu \in \Lambda \}$$

where Λ *is the set of all weights of* V. *In particular,* $\mathfrak{g}_V$ *is a lattice in* $\mathfrak{g}$, *and it is independent of the choice of the admissible lattice* M *(but not of* V *).*

PROOF. The classical proof in [**29**], §2, Corollary 2, applies again, with some additional arguments to take care of odd root spaces. Indeed, with the same arguments as in [*loc. cit.*] one shows that $\mathfrak{g}_V = \mathfrak{h}_V \oplus (\oplus_{\alpha \in \Delta} (\mathfrak{g}_V \cap \mathbb{K}\, X_\alpha))$; then one still has to prove that $\mathfrak{g}_V \cap \mathbb{K}\, X_\alpha = \mathbb{Z}\, X_\alpha$ for all $\alpha \in \Delta$. The arguments in [*loc. cit.*] also show that $\mathfrak{g}_V \cap \mathbb{K}\, X_\alpha = \mathbb{Z}\, X_\alpha$ is a cyclic $\mathbb{Z}$–submodule of $\mathfrak{g}_V$, hence

it may be spanned (over $\mathbb{Z}$) by some $\frac{1}{n_\alpha} X_\alpha$ with $n_\alpha \in \mathbb{N}_+$ (for all $\alpha \in \Delta$). What is left to prove is that $n = 1$.

For every even root $\alpha \in \Delta_0$, one can repeat once more the argument in [*loc. cit.*] and eventually find $n_\alpha = 1$. Instead, for each $\alpha \in \Delta_1$ one sees—by an easy case by case analysis, for instance—that there exists $\alpha' \in \Delta_1$ such that $(\alpha + \alpha') \in \Delta_0$, $(\alpha - \alpha') \notin \Delta$. Then (notation of Definition 3.3(d)) $c_{\alpha,\alpha'} = \pm 1$, and so $\left[X_{\alpha'}, \frac{1}{n_\alpha} X_\alpha \right] = \frac{1}{n_\alpha} X_{\alpha+\alpha'}$. On the other hand, clearly $X_{\alpha'} \in \mathfrak{g}^{\mathbb{Z}} \subseteq \mathfrak{g}_V$, hence $\left[X_{\alpha'}, \frac{1}{n_\alpha} X_\alpha \right] \in \left(\mathfrak{g}_V \cap \mathbb{K} X_{\alpha+\alpha'} \right)$, with $\left(\mathfrak{g}_V \cap \mathbb{K} X_{\alpha+\alpha'} \right) = \mathbb{Z} X_{\alpha+\alpha'}$ because $(\alpha + \alpha') \in \Delta_0$. Then the outcome is $\frac{1}{n_\alpha} X_{\alpha+\alpha'} \in \mathbb{Z} X_{\alpha+\alpha'}$, which eventually yields $n = 1$. $\square$

REMARK 5.5. Let Q and P respectively be the root lattice and the weight lattice of $\mathfrak{g}$; one knows that there exists simple, rational, finite dimensional $\mathfrak{g}$–modules V_Q and V_P whose weights span Q and P respectively. Then by Theorem 5.4 one clearly has $\mathfrak{g}_{V_Q} \subseteq \mathfrak{g}_V \subseteq \mathfrak{g}_{V_P}$ for any rational, finite dimensional $\mathfrak{g}$–module V.

5.2. Construction of Chevalley supergroups

From now on, we retain the notation of Chapter 5: in particular, V is a rational, finite dimensional $\mathfrak{g}$–module, and M is an admissible lattice of it.

We fix a commutative unital $\mathbb{Z}$–algebra $\Bbbk$: as in §2.2, we assume $\Bbbk$ to be such that 2 and 3 are not zero and they are not zero divisors in $\Bbbk$. We set

$$\mathfrak{g}_\Bbbk := \Bbbk \otimes_{\mathbb{Z}} \mathfrak{g}_V , \qquad V_\Bbbk := \Bbbk \otimes_{\mathbb{Z}} M , \qquad U_\Bbbk(\mathfrak{g}) := \Bbbk \otimes_{\mathbb{Z}} K_{\mathbb{Z}}(\mathfrak{g}) .$$

Then $\mathfrak{g}_\Bbbk$ acts faithfully on $V_\Bbbk$, which yields an embedding of $\mathfrak{g}_\Bbbk$ into $\mathfrak{gl}(V_\Bbbk)$.

For any $A \in (\text{salg})_\Bbbk = (\text{salg})$, the Lie superalgebra $\mathfrak{g}_A := A \otimes_\Bbbk \mathfrak{g}_\Bbbk$ acts faithfully on $V_\Bbbk(A) := A \otimes_\Bbbk V_\Bbbk$, hence it embeds into $\mathfrak{gl}(V_\Bbbk(A))$, etc.

Let $\alpha \in \Delta_0$, $\beta, \gamma \in \Delta_1$, and let X_α, X_β and X_γ be the associated root vectors (in our fixed Chevalley basis of $\mathfrak{g}$). Assume also that $\left[X_\beta, X_\beta \right] = 0$ and $\left[X_\gamma, X_\gamma \right] \neq 0$; we recall that the latter occurs if and only if $2\gamma \in \Delta$.

Every one of X_α, X_β and X_γ acts as a nilpotent operator on V, hence on M and $V_\Bbbk$, i.e. it is represented by a nilpotent matrix in $\mathfrak{gl}(V_\Bbbk)$; the same holds for

$$(5.1) \qquad t\, X_\alpha , \;\; \vartheta\, X_\beta , \;\; \vartheta\, X_\gamma + t\, X_\gamma^2 \;\; \in \text{End}\big(V_\Bbbk(A)\big) \quad \forall \; t \in A_0 , \; \vartheta \in A_1 .$$

Taking into account that X_γ and X_γ^2 commute, and $X_\gamma^2 = \pm 2\, X_{2\gamma}$ —by (4.9)—we see at once that, for any $n \in \mathbb{N}$, we have $Y^n/n! \in \big(K_{\mathbb{Z}}(\mathfrak{g})\big)(A)$ for any Y as in (5.1); moreover, $Y^n/n! = 0$ for $n \gg 0$, by nilpotency. Therefore, the formal power series $\exp(Y) := \sum_{n=0}^{+\infty} Y^n/n!$, when computed for Y as in (5.1), gives a well-defined element in $\text{GL}\big(V_\Bbbk(A)\big)$, expressed as finite sum.

In addition, expressions like (2.5–7) again make sense in this purely algebraic framework—up to taking $\text{GL}\big(V_\Bbbk(A)\big)$ instead of $\text{GL}(V(T))$.

For any $\alpha \in \Delta \subseteq \mathfrak{h}^*$, let $H_\alpha \in \mathfrak{h}_{\mathbb{Z}}$ be the corresponding coroot (cf. 3.1). Let $V = \oplus_\mu V_\mu$ be the splitting of V into weight spaces; as V is rational, we have $\mu(H_\alpha) \in \mathbb{Z}$ for all $\alpha \in \Delta$. Now, for any $A \in (\text{salg})$ and $t \in U(A_0)$ —the group of invertible elements in A_0—we set

$$h_\alpha(t).v := t^{\mu(H_\alpha)}\, v \quad \forall \; v \in V_\mu , \; \mu \in \mathfrak{h}^* ;$$

this defines another operator (which also can be locally expressed by exponentials)

$$(5.2) \qquad\qquad h_\alpha(t) \in \mathrm{GL}\big(V_{\Bbbk}(A)\big) \quad \forall \ t \in U(A_0) \ .$$

More in general, if $H = \sum_{i=1}^{\ell} a_i H_{\alpha_i} \in \mathfrak{h}_{\mathbb{Z}}$ we define $h_H(t) := \prod_{i=1}^{\ell} h_{\alpha_i}^{a_i}(t)$.

DEFINITION 5.6.   (a) Let $\alpha \in \Delta_0$, $\beta, \gamma \in \Delta_1$, and X_α , X_β , X_γ as above. We define the supergroup functors x_α , x_β and x_γ from (salg) to (groups) as

$$x_\alpha(A) \ := \ \big\{ \exp\!\big(t\,X_\alpha\big) \,\big|\, t \in A_0 \big\} \ = \big\{\big(1 + t\,X_\alpha + t^2\,X_\alpha^{(2)} + \cdots\big) \,\big|\, t \in A_0 \big\}$$

$$x_\beta(A) \ := \ \big\{ \exp\!\big(\vartheta\,X_\beta\big) \,\big|\, \vartheta \in A_1 \big\} \ = \big\{\big(1 + \vartheta\,X_\beta\big) \,\big|\, \vartheta \in A_1 \big\}$$

$$x_\gamma(A) \ := \ \big\{ \exp\!\big(\vartheta\,X_\gamma + t\,X_\gamma^2\big) \,\big|\, \vartheta \in A_1 \,, t \in A_0 \big\} \ =$$
$$= \ \big\{\big(1 + \vartheta\,X_\gamma\big)\exp\!\big(t\,X_\gamma^2\big) \,\big|\, \vartheta \in A_1 \,, t \in A_0 \big\}$$

(notice that $x_\alpha(A)$, $x_\beta(A)$, $x_\gamma(A) \subseteq \mathrm{GL}\big(V_{\Bbbk}(A)\big)$, by construction).
   (b) Let $H \in \mathfrak{h}_{\mathbb{Z}}$. We define the supergroup functor h_H (also referred to as a "multiplicative one-parameter supersubgroup") from (salg) to (groups)

$$h_H(A) \ := \ \big\{ t^H := h_H(t) \,\big|\, t \in U(A_0)\big\} \subset \mathrm{GL}\big(V_{\Bbbk}(A)\big)$$

We also write $h_i := h_{H_i}$ for $i = 1, \ldots, \ell$, and $h_\alpha := h_{H_\alpha}$ for $\alpha \in \Delta$.
   Note that, as the H_i's form a $\mathbb{Z}$–basis of $\mathfrak{h}_{\mathbb{Z}}$, the subgroup of $\mathrm{GL}\big(V_{\Bbbk}(A)\big)$ generated by all the $h_H(t)$'s—$h \in \mathfrak{h}_{\mathbb{Z}}$, $t \in U(A_0)$—is in fact generated by the $h_i(t)$'s— $i = 1, \ldots, \ell$, $t \in U(A_0)$.

NOTATION 5.7. By a slight abuse of language we will also write

$$x_\alpha(t) := \exp\!\big(tX_\alpha\big)\,, \quad x_\beta(\vartheta) := \exp\!\big(\vartheta X_\beta\big)\,, \quad x_\gamma(t, \vartheta) := \exp\!\big(\vartheta X_\gamma + tX_\gamma^2\big)$$

Moreover, to unify the notation, $x_\delta(\mathbf{t})$ will denote, for $\delta \in \Delta$, any one of the three possibilities above, so that $\mathbf{t}$ denotes a pair $(t, \theta) \in A_0 \times A_1$, with ϑ or t equal to zero—hence dropped—when either $\delta \in \Delta_0$, or $\delta \in \Delta_1$ with $\big[X_\delta\,, X_\delta\big] = 0$, i.e. $2\,\delta \notin \Delta$. Finally, for later convenience we shall also formally write $x_\zeta(\mathbf{t}) := 1$ when ζ belongs to the $\mathbb{Z}$–span of Δ but $\zeta \notin \Delta$.

Definition 5.6 is modeled in analogy with the Lie supergroup setting (see Section 2.3): this yields the first half of

PROPOSITION 5.8.
   (a)   The supergroup functors x_α , x_β and x_γ in Definition 5.6(a) are representable, and they are affine supergroups. Indeed, for each $A \in$ (salg) ,

$$x_\alpha(A) = \mathrm{Hom}\big(\Bbbk[x], A\big)\,, \qquad\qquad \Delta_\alpha(x) = x \otimes 1 + 1 \otimes x$$
$$x_\beta(A) = \mathrm{Hom}\big(\Bbbk[\xi], A\big)\,, \qquad\qquad \Delta_\beta(\xi) = \xi \otimes 1 + 1 \otimes \xi$$
$$x_\gamma(A) = \mathrm{Hom}\big(\Bbbk[x, \xi], A\big)\,, \qquad \Delta_\gamma(x) = x \otimes 1 + 1 \otimes x - \xi \otimes \xi\,,$$
$$\Delta_\gamma(\xi) = \xi \otimes 1 + 1 \otimes \xi$$

where Δ_δ denotes the comultiplication in the Hopf superalgebra of the one-parameter subgroup corresponding to the root $\delta \in \Delta$.
   (b)   Every supergroup functor h_H in Definition 5.6(b) is representable, and it is an affine supergroup. More precisely,

$$h_H(A) = \mathrm{Hom}\big(\Bbbk\big[z, z^{-1}\big], A\big)\,, \qquad \Delta\big(z^{\pm 1}\big) = z^{\pm 1} \otimes z^{\pm 1} \ .$$

Before we define the Chevalley supergroups, we give the definition of the *reductive group* $\mathbf{G}_0$ associated to a given classical Lie superalgebra $\mathfrak{g}$.

First of all, note that, by construction, any $h_H(A)$ and any $x_\alpha(A)$ for $\alpha \in \Delta_0$ depends only on A_0: thus, these are indeed *classical* affine groups (in other words, they are "super" only in a formal sense). Moreover, the $h_H(A)$'s generate a *classical torus* $T(A_0)$ inside $\mathrm{GL}\big(V_\Bbbk(A_0)\big)$

$$ T(A_0) := \big\langle\, h_H(A) \,\big|\, H \in \mathfrak{h}_\mathbb{Z} \,\big\rangle = \big\langle\, h_i(A) \,\big|\, i = 1, \ldots, \ell \,\big\rangle. $$

Now, $\mathfrak{g}_0$ is a reductive Lie algebra (semisimple iff $\mathfrak{g}$ has no direct summands of type A), whose Cartan subalgebra is $\mathfrak{h}$. The Chevalley basis of $\mathfrak{g}$ contains a basis of $\mathfrak{g}_0$ which has all properties of a classical Chevalley basis for $\mathfrak{g}_0$, except for the fact that the H_i's are associated to integral weights which *may not be even* (simple) roots. In other words a classical Chevalley basis for $\mathfrak{g}_0$ is not a subset of a Chevalley basis for $\mathfrak{g}$. In general one can show that we can always choose a basis for $\mathfrak{h}$ in such a way that only one of the H_i's is associated to an odd root. It is however important to stress that the integral lattice generated by the elements $H_1, \ldots, H_l$ inside $\mathfrak{h}$ is strictly larger than the lattice generated inside the Cartan by just the even elements, and this despite the fact that in most cases $\mathfrak{h} = \mathfrak{h}_0$.

By construction the stabilizer of V in $\mathfrak{g}_0$ is $(\mathfrak{g}_0)_V = \mathfrak{h}_V \bigoplus \big(\oplus_{\alpha \in \Delta_0} \mathbb{Z}X_\alpha\big)$ with $\mathfrak{h}_V$ as in Theorem 5.4 and so we can mimic the classical construction of Chevalley proceeding in the following way.

Consider the group functor $G_0 : (\mathrm{alg}) \longrightarrow (\mathrm{groups})$ — where (alg) is the category of commutative $\Bbbk$–algebras—with $G_0(A_0)$, for $A_0 \in (\mathrm{alg})$, being the subgroup of $\mathrm{GL}\big(V_\Bbbk(A_0)\big)$ generated by the torus $T(A_0)$ and the $x_\alpha(A)$'s with $\alpha \in \Delta_0$. By the definition of $T(A_0)$, we can also say that $G_0(A_0)$ is generated by the $h_i(A)$'s and the $x_\alpha(A)$'s with $\alpha \in \Delta_0$, i.e.

$$ G_0(A_0) := \Big\langle\, T(A_0),\, x_\alpha(A) \,\Big|\, \alpha \in \Delta_0 \,\Big\rangle = \Big\langle\, h_i(A),\, x_\alpha(A) \,\Big|\, i = 1, \ldots, \ell;\, \alpha \in \Delta_0 \,\Big\rangle $$

By construction, the group-functors G_0 and T are subfunctors of the representable group functor $\mathrm{GL}(V_\Bbbk)$, hence they both are presheaves (see Appendix A). Let $\mathbf{G}_0$ and $\mathbf{T}$ be their sheafification (see Appendix A). Then $\mathbf{T}$ is representable and we shall now show that also $\mathbf{G}_0$ is representable.

We consider G_0, $\mathbf{G}_0$, T_0 and $\mathbf{T}_0$ as group-functors defined on (salg) which factor through (alg), setting $G_0(A) := G_0(A_0)$, and so on.

Consider $\mathfrak{h}_\mathbb{Z}^0 := Span_\mathbb{Z}\big(\big\{H_\alpha \,\big|\, \alpha \in \Delta_0\big\}\big)$; this is another $\mathbb{Z}$–form of $\mathfrak{h}$, with $\mathfrak{h}_\mathbb{Z}^0 \subseteq \mathfrak{h}_\mathbb{Z}$. Now define

$$ T'(A_0) := \Big\langle\, h_H(A) \,\Big|\, H \in \mathfrak{h}_\mathbb{Z}^0 \,\Big\rangle\,,\quad G_0'(A_0) := \Big\langle\, T'(A_0),\, x_\alpha(A) \,\Big|\, \alpha \in \Delta_0 \,\Big\rangle $$

The assignments $A \mapsto T'(A) := T'(A_0)$ and $A \mapsto G_0'(A) := G_0'(A_0)$ provide new group-functors defined on (salg), which clearly factor through (alg), like T and G_0 above; also, they are presheaves too. Then we define the functors $\mathbf{T}'$ and $\mathbf{G}_0'$ as the sheafifications of T' and G_0' respectively.

On *local* algebras—in (alg)—the functor G_0' is isomorphic via a natural transformation with the functor of points of the Chevalley group-scheme associated with $\mathfrak{g}_0$ and V. Therefore, we have that $\mathbf{G}_0'$ *is representable*.

The groups $G_0'(A_0)$ and $T(A_0)$ are subgroups of $\mathrm{GL}(V_\Bbbk)(A_0)$, whose mutual intersection is $T'(A_0)$. The subgroup $G_0(A_0)$, generated by $G_0'(A_0)$ and $T(A_0)$ inside $\mathrm{GL}(V_\Bbbk)(A_0)$, can be seen as the fibered coproduct of $G_0'(A_0)$ and $T(A_0)$

over $T'(A_0)$. In more down-to-earth terms, we can describe it as follows. Inside $\mathrm{GL}(V_{\Bbbk})(A_0)$, the subgroup $T(A_0)$ acts by adjoint action over $G_0'(A_0)$, hence the subgroup $G_0(A_0)$, being generated by $T(A_0)$ and $G_0'(A_0)$, is a quotient of the semi-direct product $T(A_0) \ltimes G_0'(A_0)$. To be precise, we have the functorial isomorphism:

$$G_0(A_0) \;\cong\; \big(T(A_0) \ltimes G_0'(A_0)\big)\Big/ K(A_0)$$

where the assignment

$$A \;\mapsto\; K(A_0) \;:=\; \big\{ (t,t^{-1}) \,\big|\, t \in T'(A_0) \big\}$$

(on objects) defines—on (salg), through (alg)—a subgroup(-functor) of $T \ltimes G_0'$. Therefore $G_0 \cong \big(T \ltimes G_0'\big)\big/ K$ as group-functors, hence $G_0 \cong \big(T \times G_0'\big)\big/ K$ because $T \ltimes G_0' \;\cong\; T \times G_0'$ as set-valued functors (i.e., forgetting the group structure). Taking sheafifications, we get $\mathbf{G}_0 \cong \big(\mathbf{T} \times \mathbf{G}_0'\big)\big/ \mathbf{K}$: but since both $\mathbf{T}$ and $\mathbf{G}_0'$ are representable, the direct product $\mathbf{T} \times \mathbf{G}_0'$ is representable too, hence its quotient $\big(\mathbf{T} \times \mathbf{G}_0'\big)\big/ \mathbf{K}$ $\big(\cong \mathbf{G}_0\big)$ is representable as well, that is—eventually—$\mathbf{G}_0$ *is representable*, q.e.d.

We now define the Chevalley supergroups in a superscheme-theoretical way, through sheafification of a suitable functor from (salg) to (grps), "generated" inside $\mathrm{GL}\big(V_{\Bbbk}(A)\big)$ by the one-parameter supersubgroups given above.

DEFINITION 5.9. Let $\mathfrak{g}$ and V be as above. We call *Chevalley supergroup functor*, associated to $\mathfrak{g}$ and V, the functor $G : (\text{salg}) \longrightarrow (\text{grps})$ given by:
— if $A \in Ob\big((\text{salg})\big)$ we let $G(A)$ be the subgroup of $\mathrm{GL}\big(V_{\Bbbk}(A)\big)$ generated by $G_0(A)$ and the one-parameter subgroups $x_\beta(A)$ with $\beta \in \Delta_1$, that is

$$G(A) \;:=\; \Big\langle G_0(A)\,,\, x_\beta(A) \;\Big|\; \beta \in \Delta_1 \Big\rangle$$

By the previous description of G_0, we see that $G(A)$ is also generated by the $h_i(A)$'s and *all* the one-parameter subgroups $x_\delta(A)$'s—$\delta \in \Delta$—or even by $T(A)$ and the $x_\delta(A)$'s, that is

$$G(A) \;:=\; \Big\langle h_i(A)\,,\, x_\delta(A) \;\Big|\; i = 1,\dots,\ell,\, \delta \in \Delta \Big\rangle \;=\; \Big\langle T(A)\,,\, x_\delta(A) \;\Big|\; \delta \in \Delta \Big\rangle$$

— if $\phi \in \mathrm{Hom}_{(\text{salg})}\big(A\,,B\big)$, then $\mathrm{End}_{\Bbbk}(\phi) : \mathrm{End}_{\Bbbk}\big(V_{\Bbbk}(A)\big) \longrightarrow \mathrm{End}_{\Bbbk}\big(V_{\Bbbk}(B)\big)$ (given on matrix entries by ϕ itself) respects the sum and the associative product of matrices. Then $\mathrm{End}_{\Bbbk}(\phi)$ clearly restricts to a group morphism $\mathrm{GL}\big(V_{\Bbbk}(A)\big) \longrightarrow \mathrm{GL}\big(V_{\Bbbk}(B)\big)$. The latter maps the generators of $G(A)$ to those of $G(B)$, hence restricts to a group morphism $G(\phi) : G(A) \longrightarrow G(B)$.

We call *Chevalley supergroup* the sheafification $\mathbf{G}$ of G. By Appendix A, Theorem A.8, $\mathbf{G} : (\text{salg}) \longrightarrow (\text{grps})$ is a functor such that $\mathbf{G}(A) = G(A)$ when $A \in (\text{salg})$ is *local* (i.e., it has a unique maximal homogeneous ideal).

REMARK 5.10. The sheafification is already necessary at the classical level, that is when we construct semisimple algebraic groups (from semisimple Lie algebras), as it is explained in [**11**], §5.7. In fact, it is clearly stated in 5.7.6 that in general the one-parameter subgroups and the torus generate the algebraic group only over *local algebras*.

5.3. Chevalley supergroups as algebraic supergroups

The way we defined the Chevalley supergroup $\mathbf{G}$ does not imply—at least not in an obvious way—that $\mathbf{G}$ is *representable*, in other words, that $\mathbf{G}$ is the functor of points of an algebraic supergroup scheme. The aim of this section is to prove this important property.

We shall start by studying the commutation relations of the generators and derive a decomposition formula for $G(A)$ resembling the classical Cartan decomposition in the Lie theory, and one reminding the classical "big cell" decomposition in the theory of reductive algebraic groups.

We begin with some more definitions:

DEFINITION 5.11. For any $A \in (\mathrm{salg})$, we define the subsets of $G(A)$

$$G_1(A) \ := \ \left\{ \textstyle\prod_{i=1}^n x_{\gamma_i}(\vartheta_i) \ \Big| \ n \in \mathbb{N},\ \gamma_1, \ldots, \gamma_n \in \Delta_1,\ \vartheta_1, \ldots, \vartheta_n \in A_1 \right\}$$

$$G_0^{\pm}(A) \ := \ \left\{ \textstyle\prod_{i=1}^n x_{\alpha_i}(t_i) \ \Big| \ n \in \mathbb{N},\ \alpha_1, \ldots, \alpha_n \in \Delta_0^{\pm},\ t_1, \ldots, t_n \in A_0 \right\}$$

$$G_1^{\pm}(A) \ := \ \left\{ \textstyle\prod_{i=1}^n x_{\gamma_i}(\vartheta_i) \ \Big| \ n \in \mathbb{N},\ \gamma_1, \ldots, \gamma_n \in \Delta_1^{\pm},\ \vartheta_1, \ldots, \vartheta_n \in A_1 \right\}$$

$$G^{\pm}(A) \ := \ \left\{ \textstyle\prod_{i=1}^n x_{\beta_i}(\mathbf{t}_i) \ \Big| \ n \in \mathbb{N},\ \beta_1, \ldots, \beta_n \in \Delta^{\pm},\ \mathbf{t}_1, \ldots, \mathbf{t}_n \in A_0 \times A_1 \right\} =$$
$$= \ \left\langle G_0^{\pm}(A),\, G_1^{\pm}(A) \right\rangle$$

Moreover, fixing any total order $\preceq$ on $\Delta_1^{\pm}$, and letting $N_{\pm} = \left| \Delta_1^{\pm} \right|$, we set

$$G_1^{\pm,<}(A) \ := \ \left\{ \textstyle\prod_{i=1}^{N_{\pm}} x_{\gamma_i}(\vartheta_i) \ \Big| \ \gamma_1 \prec \cdots \prec \gamma_{N_{\pm}} \in \Delta_1^{\pm},\ \vartheta_1, \ldots, \vartheta_{N_{\pm}} \in A_1 \right\}$$

and for any total order $\preceq$ on Δ_1, and letting $N := |\Delta| = N_+ + N_-$, we set

$$G_1^{<}(A) \ := \ \left\{ \textstyle\prod_{i=1}^{N} x_{\gamma_i}(\vartheta_i) \ \Big| \ \gamma_1 \prec \cdots \prec \gamma_N \in \Delta_1,\ \vartheta_1, \ldots, \vartheta_N \in A_1 \right\}$$

Note that for special choices of the order, one has $G_1^{<}(A) = G_1^{-,<}(A) \cdot G_1^{+,<}(A)$ or $G_1^{<}(A) = G_1^{+,<}(A) \cdot G_1^{-,<}(A)$.

Similar notations will denote the sheafifications $\mathbf{G}_1$, $\mathbf{G}^{\pm}$, $\mathbf{G}_0^{\pm}$, $\mathbf{G}_1^{\pm}$, etc.

REMARK 5.12. Note that $G_1(A)$, $G_0^{\pm}(A)$, $G_1^{\pm}(A)$ and $G^{\pm}(A)$ are subgroups of $G(A)$, while $G_1^{\pm,<}(A)$ and $G_1^{<}(A)$ instead are *not*, in general. And similarly with "$\mathbf{G}$" instead of "G" everywhere.

For the rest of our analysis, next result—whose proof is straightforward—will be crucial. Hereafter, as a matter of notation, when Γ is any (abstract) group and $g, h \in \Gamma$ we denote by $(g, h) := g\, h\, g^{-1}\, h^{-1}$ their commutator in Γ .

LEMMA 5.13.   (a)   Let $\alpha \in \Delta_0$, $\gamma \in \Delta_1$, $A \in (\mathrm{salg})$ and $t \in A_0$, $\vartheta \in A_1$. Then there exist $c_s \in \mathbb{Z}$ such that

$$\big(x_{\gamma}(\vartheta),\, x_{\alpha}(t) \big) \ = \ \textstyle\prod_{s>0} x_{\gamma + s\,\alpha}\big(c_s\, t^s \vartheta \big) \ \in \ G_1(A)$$

(the product being finite). More precisely, with $\varepsilon_k = \pm 1$ and r as in (4.12),

$$\big(1 + \vartheta\, X_{\gamma},\, x_{\alpha}(t) \big) \ = \ \textstyle\prod_{s>0} \Big(1 + \textstyle\prod_{k=1}^{s} \varepsilon_k \cdot \binom{s+r}{r} \cdot t^s \vartheta\, X_{\gamma + s\,\alpha} \Big)$$

where the factors in the product are taken in any order (as they do commute).

(b) Let $\gamma, \delta \in \Delta_1$, $A \in (\text{salg})$, $\vartheta, \eta \in A_1$. Then (notation of Definition 3.3)

$$\big(x_\gamma(\vartheta), x_\delta(\eta)\big) = x_{\gamma+\delta}\big(-c_{\gamma,\delta}\,\vartheta\,\eta\big) = \big(1 - c_{\gamma,\delta}\,\vartheta\,\eta\,X_{\gamma+\delta}\big) \in G_0(A)$$

if $\delta \neq -\gamma$; otherwise, for $\delta = -\gamma$, we have

$$\big(x_\gamma(\vartheta), x_{-\gamma}(\eta)\big) = \big(1 - \vartheta\,\eta\,H_\gamma\big) = h_\gamma\big(1 - \vartheta\,\eta\big) \in G_0(A)$$

(c) Let $\alpha, \beta \in \Delta$, $A \in (\text{salg})$, $t \in U(A_0)$, $\mathbf{u} \in A_0 \times A_1 = A$. Then

$$h_\alpha(t)\,x_\beta(\mathbf{u})\,h_\alpha(t)^{-1} = x_\beta\big(t^{\beta(H_\alpha)}\,\mathbf{u}\big) \in G_{p(\beta)}(A)$$

where $p(\beta) := s$, by definition, if and only if $\beta \in \Delta_s$.

PROOF. The result follows directly from the classical results in [**29**], pg. 22 and 29, and simple calculations, using the relations in §4.2 and the identity $(\vartheta\eta)^2 = -\vartheta^2\,\eta^2 = 0$. In particular, like in the classical setting, every $h_\alpha(t)$ acts—via the adjoint representation—diagonally on each root vector X_β, with weight $t^{\beta(H_\alpha)}$. Also, we point out that the nilpotency of any $\vartheta, \eta \in A_1$ implies that of $\vartheta\,\eta\,(\in A_0)$, which has several consequences.

The first consequence to stress in that $(1 - \vartheta\,\eta)$, $(1 - 2\,\vartheta\,\eta) \in U(A_0)$. The second is that we have the identity $\big(1 - \vartheta\,\eta\,H_\gamma\big) = h_\gamma\big(1 - \vartheta\,\eta\big)$ —to be thought of as an identity among operators on $V_{\Bbbk}(A)$—which follows from $(1 - \vartheta\,\eta)^{\mu(H_\gamma)} = 1 - \vartheta\,\eta$: this is mentioned (and used) in the second instance of *(b)*. □

REMARK 5.14. A direct consequence of the previous Lemma is the following. Assume $g_j \in x_{\delta_j}\big(A_1^{n_j}\big) := \big\{x_{\delta_j}(\mathbf{u})\,\big|\,\mathbf{u} \in A_1^{n_j}\big\}$ — cf. §2.1 — for $j = 1, 2$ and δ_1 in Δ_1. Then we have $\big(g_1, g_2\big) \in \prod_{s>0} x_{\delta_1 + s\,\delta_2}\big(A_1^{n_1 + s\,n_2}\big)$ if $\delta_2 \in \Delta_0$ and $\big(g_1, g_2\big) \in x_{\delta_1 + \delta_2}\big(A_1^{n_1 + n_2}\big)$ or $\big(g_1, g_2\big) \in T\big(A_1^{(n_1 + n_2)}\big)$ if $\delta_2 \in \Delta_1$.

Next result is a group-theoretical counterpart of the splitting $\mathfrak{g} = \mathfrak{g}_0 \oplus \mathfrak{g}_1$. It is a super-analogue of the classical Cartan decomposition (for reductive groups). In the differential setting, it was—somewhat differently—first pointed out by Berezin (see [**3**], Ch. 2, §2).

THEOREM 5.15. *Let $A \in (\text{salg})$. There exist set-theoretic factorizations*

$$G(A) = G_0(A)\,G_1(A) \quad , \qquad\qquad G(A) = G_1(A)\,G_0(A)$$
$$G^\pm(A) = G_0^\pm(A)\,G_1^\pm(A) \quad , \qquad\qquad G^\pm(A) = G_1^\pm(A)\,G_0^\pm(A)$$

PROOF. The proof for $G(A)$ works for $G^\pm(A)$ as well, so we stick to the former.

It is enough to prove either one of the equalities, say the first one. Also, it is enough to show that $G_0(A)\,G_1(A)$ is closed by multiplication, since it contains all generators of $G(A)$ and their inverses. So we have to show that

$$g_0 g_1 \cdot g_0' g_1' \in G_0(A)\,G_1(A) \quad \forall\ g_0, g_0' \in G_0(A),\ g_1, g_1' \in G_1(A)\ .$$

By the very definitions, we need only to prove that

$$\big(1 + \vartheta_1 X_{\beta_1}\big) \cdots \big(1 + \vartheta_{n-1} X_{\beta_{n-1}}\big)\big(1 + \vartheta_n X_{\beta_n}\big)\,x_\alpha(u) \in G_0(A)\,G_1(A)$$
$$\big(1 + \vartheta_1 X_{\beta_1}\big) \cdots \big(1 + \vartheta_{n-1} X_{\beta_{n-1}}\big)\big(1 + \vartheta_n X_{\beta_n}\big)\,h_\delta(t) \in G_0(A)\,G_1(A)$$

for all $\beta_1, \ldots, \beta_n \in \Delta_1$, $\alpha \in \Delta_0$, $\delta \in \Delta$, $\vartheta_1, \ldots, \vartheta_n \in A_1$, $u \in A_0$, $t \in U(A_0)$. But this comes from an easy induction on n, via the formulas in Lemma 5.13. □

LEMMA 5.16. *Let* $A \in (\mathrm{salg})$. *Then*

$$G_1(A) \subseteq G_0\big(A_1^{(2)}\big) G_1^<(A) \quad, \qquad G_1(A) \subseteq G_1^<(A) G_0\big(A_1^{(2)}\big)$$

$$G_1^\pm(A) \subseteq G_0^\pm\big(A_1^{(2)}\big) G_1^{\pm,<}(A) \quad, \qquad G_1^\pm(A) \subseteq G_1^{\pm,<}(A) G_0^\pm\big(A_1^{(2)}\big)$$

PROOF. We deal with the first identity, the other ones are similar. Indeed, we shall prove the slightly stronger result

(5.3) $$\big\langle G_1(A) \,, G_\bullet\big(A_1^{(2)}\big)\big\rangle \subseteq G_0\big(A_1^{(2)}\big) G_1^<(A)$$

where $\big\langle G_1(A) \,, G_\bullet\big(A_1^{(2)}\big)\big\rangle$ is the subgroup generated by $G_1(A)$ and $G_\bullet\big(A_1^{(2)}\big)$, where the latter in turns denotes the subgroup

$$G_\bullet\big(A_1^{(2)}\big) \;:=\; \Big\langle \big\{ h_\alpha(u), x_\alpha(t) \,\big|\, \alpha \in \Delta_0, u \in U\big(A_1^{(2)}\big), t \in A_1^2 \big\} \Big\rangle$$

Any element of $\big\langle G_1(A) \,, G_\bullet\big(A_1^{(2)}\big)\big\rangle$ is a product $g = g_1\, g_2 \cdots g_k$ in which each factor g_i is either of type $h_{\alpha_i}(u_i)$, or $x_{\alpha_i}(t_i)$, or $x_{\gamma_i}(\vartheta_i)$, with $\alpha_i \in \Delta_0$, $\gamma_i \in \Delta_1$ and $u_i \in U\big(A_1^{(2)}\big)$, $t_i \in A_1^2$, $\vartheta_i \in A_1$. Such a product belongs to $G_0\big(A_1^{(2)}\big) G_1^<(A)$ if all factors indexed by the $\alpha_i \in \Delta_0$ are on the left of those indexed by the $\gamma_j \in \Delta_1$, and moreover the latter occur in the order prescribed by $\preceq$. In this case, we say that the factors of g are *ordered*. We shall now re-write g as a product of ordered factors, by repeatedly commuting the original factors, as well as new factors which come in along this process. Since we have only a finite number of odd coefficients in the expression for g, we can assume without loss of generality that A_1 is finitely generated as an A_0–module. This implies that $A_1^n = \{0\}$ and $A_1^{(n)} = 0$ for n larger than the number of odd generators of A_1.

Let us consider two consecutive factors $g_i\, g_{i+1}$ in g. If they are already ordered, we are done. Otherwise, there are four possibilities:

— *(1)* $g_i = x_{\alpha_i}(t_i)$, $g_{i+1} = h_{\alpha_i}(u_i)$, or $g_i = x_{\gamma_i}(\vartheta_i)$, $g_{i+1} = h_{\alpha_i}(u_i)$. In this case we rewrite

$$g_i\, g_{i+1} \;=\; x_{\alpha_i}(t_i)\, h_{\alpha_i}(u_i) \;=\; h_{\alpha_i}(u_i)\, x_{\alpha_i}(t_i')$$

or $$g_i\, g_{i+1} \;=\; x_{\gamma_i}(\vartheta_i)\, h_{\alpha_i}(u_i) \;=\; h_{\alpha_i}(u_i)\, x_{\gamma_i}(\vartheta_i')$$

with $t_i' \in A_1^{n_i}$, $\vartheta_i' \in A_1^{m_i}$, if $t_i \in A_1^{n_i}$, $\vartheta_i \in A_1^{m_i}$, thanks to Lemma 5.13*(c)*. In particular we replace a pair of unordered factors with a new pair of ordered factors. Even more, this shows that any factor of type $h_{\alpha_i}(u_i)$ can be flushed to the left of our product so to give a new product of the same nature, but with all factors of type $h_{\alpha_i}(u_i)$ on the left-hand side.

— *(2)* $g_i = x_{\alpha_i}(t_i)$, $g_{i+1} = x_{\gamma_{i+1}}(\vartheta_{i+1})$. In this case we rewrite

$$g_i\, g_{i+1} \;=\; g_{i+1}\, g_i\, g_i' \quad \text{with} \quad g_i' := \big(g_i^{-1}, g_{i+1}^{-1}\big) = \big(x_{\alpha_i}(-t_i)\,, x_{\gamma_{i+1}}(-\vartheta_{i+1})\big)$$

so we replace a pair of unordered (consecutive) factors with a pair of ordered (consecutive) factors followed by another, new factor g_i'. However, letting $n_1, n_2 \in \mathbb{N}_+$ be such that $t_i \in A_1^{n_1}$, $\vartheta_{i+1} \in A_1^{n_2}$, by Remark 5.14 this g_i' is a product of new factors of type $x_{\alpha_j}(t_j')$ with $t_j' \in A_1^{n_j}$, $n_j \geq n_i + n_{i+1}$.

— *(3)* $g_i = x_{\gamma_i}(\vartheta_i)$, $g_{i+1} = x_{\gamma_{i+1}}(\vartheta_{i+1})$. In this case we rewrite

$$g_i\, g_{i+1} \;=\; g_{i+1}\, g_i\, g_i' \quad \text{with} \quad g_i' := \big(g_i^{-1}, g_{i+1}^{-1}\big) = \big(x_{\gamma_i}(-\vartheta_i)\,, x_{\gamma_{i+1}}(-\vartheta_{i+1})\big)$$

so we replace a pair of unordered factors with a pair of ordered factors followed by a new factor g_i' which—again by Remark 5.14—is again of type $x_\alpha(t)$ or $h_\alpha(u)$

with $t \in A_1^n$, $u \in U\big(A_1^{(n)}\big)$, where $n \geq n_i + n_{i+1}$ for $n_1, n_2 \in \mathbb{N}_+$ such that $\vartheta_i \in A_1^{n_1}$ and $\vartheta_{i+1} \in A_1^{n_2}$.

— (4) $g_i = x_\gamma(\vartheta_i)$, $g_{i+1} = x_\gamma(\vartheta_{i+1})$.   In this case we rewrite

$$g_i \, g_{i+1} \; = \; x_{\gamma_i}(\vartheta_i) \, x_{\gamma_{i+1}}(\vartheta_{i+1}) \; = \; x_\gamma(\vartheta_i) \, x_\gamma(\vartheta_{i+1}) \; = \; x_\gamma(\vartheta_i + \vartheta_{i+1})$$

so we replace a pair of unordered factors with a single factor. In addition, each of the pairs $g_{i-1} \, g_i'$ and $g_i' \, g_{i+2}$ respects or violates the ordering according to what the corresponding old pair $g_{i-1} \, g_i$ and $g_{i+1} \, , g_{i+2}$ did.

Now we iterate this process: whenever we have any unordered pair of consecutive factors in the product we are working with, we perform any one of steps (1) through (4) explained above. At each step, we substitute an unordered pair with a single factor (step (4)), which does not form any more unordered pairs than the ones we had before, or with an ordered pair (steps (1)–(4)), possibly introducing new additional factors. However, any new factor is either of type $x_\alpha(t)$, with $t \in A_1^n$, or or of type $h_\alpha(u)$, with $u \in U\big(A_1^{(n)}\big)$, for values of n which are (overall) strictly increasing after each iteration of this procedure. As $A_1^n = \{0\}$, for $n \gg 0$, after finitely many steps such new factors are trivial, i.e. eventually all unordered (consecutive) factors will commute with each other and will be re-ordered without introducing any new factors. Therefore the process stops after finitely many steps, thus proving (5.3). $\square$

THEOREM 5.17. *For any $A \in$ (salg) we have*

$$G(A) \; = \; G_0(A) \, G_1^<(A) \quad , \qquad G(A) \; = \; G_1^<(A) \, G_0(A)$$

PROOF. This follows at once from Theorem 5.15 and Lemma 5.16. $\square$

Our aim is to show that the decompositions we proved in the previous proposition are essentially unique. We need one more lemma:

LEMMA 5.18. *Let A, $B \in$ (salg), with B being a subsuperalgebra of A. Then $G(B) \leq G(A)$, i.e. $G(B)$ is a subgroup of $G(A)$.*

PROOF. This is not in general true for any supergroup functor; however, G by definition is a subgroup of some $GL(V)$, hence the elements in $G(A)$ are realized as matrices with coefficients in A, and those in $G(B)$ as matrices with coefficients in B. It is then clear that any matrix in $G(B)$ is in $G(A)$, and two such matrices are equal in $G(B)$ if and only if they are equal as matrices in $G(A)$ as well. $\square$

We are ready for our main result:

THEOREM 5.19. *For any $A \in$ (salg), the group product yields bijections*

$$G_0(A) \times G_1^{-,<}(A) \times G_1^{+,<}(A) \; \longmapsto \; G(A)$$

and all the similar bijections obtained by permuting the factors $G_1^{\pm,<}(A)$ and/or switching the factor $G_0(A)$ to the right.

PROOF. We shall prove the first mentioned bijection. In general, Proposition 5.17 gives $G(A) = G_0(A) \, G_1^<(A)$, so the product map from $G_0(A) \times G_1^<(A)$ to $G(A)$ is onto; but in particular, we can choose an ordering on Δ_1 for which $\Delta_1^- \preceq \Delta_1^+$, hence $G_1^<(A) = G_1^{-,<}(A) \, G_1^{+,<}(A)$, so we are done for surjectivity.

To prove that the product map is also injective amounts to showing that for any $g \in G(A)$, the factorization $g = g_0\, g_-\, g_+$ with $g_0 \in G_0(A)$ and $g_\pm \in G_1^{\pm,<}(A)$ is unique. In other words, if we have

$$g = g_0\, g_-\, g_+ = f_0\, f_-\, f_+, \quad g_0\,, f_0 \in G_0(A)\,, \ g_\pm\,, f_\pm \in G_1^{\pm,<}(A)$$

we must show that $g_0 = f_0$ and $g_\pm = f_\pm$.

To begin with, we write the last factors in our identities as

$$g_\pm \ = \ \prod_{d=1}^{N_\pm} \left(1 + \vartheta_d^\pm\, X_{\gamma_d^\pm} \right), \qquad f_\pm \ = \ \prod_{d=1}^{N_\pm} \left(1 + \eta_d^\pm\, X_{\gamma_d^\pm} \right)$$

for some $t_i\,, s_j \in A_0$, and $\vartheta_j\,, \eta_j \in A_1$, with $N_\pm = \left| \Delta_1^\pm \right|$. Here the $\gamma_d^\pm \in \Delta_1^\pm$ are all the positive or negative odd roots, ordered as in Definition 5.11.

Expanding the products expressing $g_\pm$ and $f_\pm$ we get

$$g_\pm \ = \ 1 + \sum_{d=1}^{N_\pm} \vartheta_d^\pm\, X_{\gamma_d^\pm} + \sum_{k=2}^{N_\pm} \sum_{\underline{d} \in \{1,\ldots,N_\pm\}^k} (-1)^{\binom{k}{2}} \underline{\vartheta}_d^\pm\, X_{\gamma_{\underline{d}}^\pm}$$

$$f_\pm \ = \ 1 + \sum_{d=1}^{N_\pm} \eta_d^\pm\, X_{\gamma_d^\pm} + \sum_{k=2}^{N_\pm} \sum_{\underline{d} \in \{1,\ldots,N_\pm\}^k} (-1)^{\binom{k}{2}} \underline{\eta}_d^\pm\, X_{\gamma_{\underline{d}}^\pm}$$

where

$$X_{\gamma_{\underline{d}}^\pm} := X_{\gamma_{d_1}^\pm} \cdots X_{\gamma_{d_k}^\pm}, \qquad \underline{\vartheta}^\pm := \vartheta_{d_1} \cdots \vartheta_{d_k}, \qquad \underline{\eta}^\pm := \eta_{d_1} \cdots \eta_{d_k}$$

for every $k > 1$ and every k–tuple $\underline{d} := (d_1, \ldots, d_k) \in \{1, \ldots, N_\pm\}^k$. For later use, note that these formulas imply also

$$(5.4) \qquad f_-^{-1} g_- = 1 + \sum_{d=1}^{N_-} \left(\vartheta_d^- - \eta_d^- \right) X_{\gamma_d^-} + \sum_{k=2}^{2N_-} \sum_{\underline{d} \in \{1,\ldots,N_-\}^k} \Phi_{\gamma_{\underline{d}}^-} \left(\underline{\vartheta}^-, \underline{\eta}^- \right) X_{\gamma_{\underline{d}}^-}$$

$$(5.5) \quad f_+ g_+^{-1} = 1 + \sum_{d=1}^{N_+} \left(\eta_d^+ - \vartheta_d^+ \right) X_{\gamma_d^+} + \sum_{k=2}^{2N_+} \sum_{\underline{d} \in \{1,\ldots,N_+\}^k} \Phi_{\gamma_{\underline{d}}^+} \left(-\underline{\vartheta}^+, -\underline{\eta}^+ \right) X_{\gamma_{\underline{d}}^+}$$

where the $\Phi_{\gamma_{\underline{d}}^\pm}$'s are suitable monomials (in the ϑ_i's and η_j's) of degree k with a coefficient ± 1, and $\Phi_{\gamma_{\underline{d}}^+} = \pm \Phi_{\gamma_{\underline{d}}^-}$.

Note that, letting V be the $\mathfrak{g}$–module used in Definition 5.9 to define $G(A)$, all the identities above actually hold inside $\mathrm{End}\big(V_{\Bbbk}(A) \big)$.

We proceed now to prove the following, intermediate result:

<u>Claim:</u> Let $g_\pm\,, f_\pm \in G_1^{\pm,<}(A)$ be such that $g_-\, g_+ = f_-\, f_+$. Then $g_\pm = f_\pm$.

Indeed, let $V = \oplus_\mu V_\mu$ be the splitting of V as direct sum of weight spaces. Root vectors map weight spaces into weight spaces, via $X_\delta.V_\mu \subseteq V_{\mu+\delta}$ (for each root δ and every weight μ). An immediate consequence of this and of the expansions in (5.4–5) is that, for all weights μ and $v_\mu \in V_\mu \setminus \{0\}$,

$$\left(f_-^{-1} g_- \right).v_\mu \in \bigoplus_{\gamma^- \in \mathbb{N}\Delta_1^-} V_{\mu+\gamma^-}, \qquad \left(f_+ g_+^{-1} \right).v_\mu \in \bigoplus_{\gamma^+ \in \mathbb{N}\Delta_1^+} V_{\mu+\gamma^+}$$

where $\mathbb{N}\Delta_1^\pm$ is the $\mathbb{N}$–span of $\Delta_1^\pm$. In particular, this means that the only weight space in which both $\left(f_-^{-1} g_- \right).v_\mu$ and $\left(f_+ g_+^{-1} \right).v_\mu$ may have a non-trivial weight component is V_μ itself, as $\mathbb{N}\Delta_1^- \cap \mathbb{N}\Delta_1^+ = \{0\}$. Moreover, let us denote by $\left(\left(f_-^{-1} g_- \right).v_\mu \right)_{\mu+\gamma_d^-}$ the weight component of $\left(f_-^{-1} g_- \right).v_\mu$ inside $V_{\mu+\gamma_d^-}$, and similarly let $\left(\left(f_+ g_+^{-1} \right).v_\mu \right)_{\mu+\gamma_d^+}$ be the weight component of $\left(f_+ g_+^{-1} \right).v_\mu$ inside $V_{\mu+\gamma_d^+}$.

Then, looking in detail at (5.4–5), we find

$$\left((f_-^{-1}\,g_-).v_\mu\right)_{\mu+\gamma_d^-} \;=\; \left(\vartheta_d^- - \eta_d^-\right) X_{\gamma_d^-}.v_\mu \qquad\qquad \forall\; d = 1,\dots,N_-$$

$$\left((f_+\,g_+^{-1}).v_\mu\right)_{\mu+\gamma_d^+} \;=\; \left(\eta_d^+ - \vartheta_d^+\right) X_{\gamma_d^+}.v_\mu \qquad\qquad \forall\; d = 1,\dots,N_+$$

In fact, this is certainly true for $\gamma_d^\pm$ simple, and one can check directly case by case that any odd root $\gamma_d^\pm$ can never be the sum of three or more odd roots all positive or negative like $\gamma_d^\pm$ itself. Now, since by hypothesis we have $g_-\,g_+ = f_-\,f_+$, so that $f_-^{-1}\,g_- = f_+\,g_+^{-1}$, comparing the weight components of the action of both sides of this equation on weight spaces V_μ—and recalling that $\mathfrak{g}$ acts faithfully on V , so $X_{\gamma_d^\pm}.V_\mu \neq 0$ for some μ—we get right away $\vartheta_d^\pm = \eta_d^\pm$ for all d , hence $g_\pm = f_\pm$, q.e.d. $\diamondsuit$

Let's now go on with the proof of the theorem.

By definition of $G_0(A)$, both g_0 and f_0 are products of finitely many factors of type $x_\alpha(t_\alpha)$ and $h_i(s_i)$ for some $t_\alpha \in A_0$, $s_i \in U(A_0)$—with $\alpha \in \Delta_0$, $i = 1,\dots,\ell$. We call B the superalgebra of A generated by all the $\vartheta_d^\pm$'s, the $\eta_d^\pm$'s, the t_α's and the s_i's. Then by construction B is finitely generated (as a superalgebra), and B_1 is finitely generated as a B_0–module.

By Lemma 5.18, $G(B)$ embeds injectively as a subgroup into $G(A)$; so the identity $g_0\,g_-\,g_+ = f_0\,f_-\,f_+$ also holds inside $G(B)$. Thus we can switch from A to B , i.e. we can assume from scratch that $A = B$. In particular then, A is finitely generated, hence A_1 is finitely generated as an A_0–module.

Consider in A the ideal A_1 , the submodules A_1^n (cf. §2.1), for each $n \in \mathbb{N}$, and the ideal $\left(A_1^n\right)$ of A generated by A_1^n : as A_1^n is *homogeneous*, we have also $A\big/\left(A_1^n\right) \in$ (salg) . Moreover, as A_1 is finitely generated (over A_0), by assumption, we have $A_1^n = \{0\} = \left(A_1^n\right)$ for $n \gg 0$. So it is enough to prove

$$(5.6)\qquad g_0 \equiv f_0 \mod \left(A_1^n\right), \qquad g_\pm \equiv f_\pm \mod \left(A_1^n\right) \quad \forall\, n \in \mathbb{N}$$

where, for any $A' \in$ (salg) , any I ideal of A' with $\pi_I : A' \longrightarrow\!\!\!\!\rightarrow A'/I$ the canonical projection, by $x \equiv y \mod I$ we mean that two elements x and y in $G(A')$ have the same image in $G(A'/I)$ via the map $G(\pi_I)$.

We prove (5.6) by induction. The case $n = 0$ is clear (there is no odd part). We divide the induction step in two cases: n even and n odd.

Let (5.6) be true for n even. In particular, $g_\pm \equiv f_\pm \mod \left(A_1^n\right)$: then (see the proof of the *Claim* above) we have $\vartheta_d^\pm \equiv \eta_d^\pm \mod \left(A_1^n\right)$ for all d , hence

$$\left(\vartheta_d^\pm - \eta_d^\pm\right) \in \left(A_1^n\right) \cap A_1 \subseteq \left(A_1^{n+1}\right) \qquad \text{for all } d$$

by an obvious parity argument. Thus $g_\pm \equiv f_\pm \mod \left(A_1^{n+1}\right)$ too, hence—since we have $g_0\,g_-\,g_+ = f_0\,f_-\,f_+$ too—we get $g_0 \equiv f_0 \mod \left(A_1^{n+1}\right)$ as well: therefore, (5.6) holds for $n+1$, q.e.d.

Let now (5.6) hold for n odd. Then $g_0 \equiv f_0 \mod \left(A_1^n\right)$; but $g_0, f_0 \in G_0(A) = G_0(A_0)$ by definition, hence $g_0 \equiv f_0 \mod \left(A_1^n\right) \cap A_0$. Therefore $g_0 \equiv f_0 \mod \left(A_1^{n+1}\right)$, because $\left(A_1^n\right) \cap A_0 \subseteq \left(A_1^{n+1}\right)$ by an obvious parity argument again. Thus from $g_0\,g_-\,g_+ = f_0\,f_-\,f_+$ we get also $g_-\,g_+ \equiv f_-\,f_+ \mod \left(A_1^{n+1}\right)$. Then the *Claim* above—applied to $G\big(A/(A_1^{n+1})\big)$—eventually gives $g_\pm \equiv f_\pm \mod \left(A_1^{n+1}\right)$, so that (5.6) holds for $n+1$. $\square$

COROLLARY 5.20. *The group product yields functor isomorphisms*

$$G_0 \times G_1^{-,<} \times G_1^{+,<} \xrightarrow{\cong} G \quad , \qquad \mathbf{G}_0 \times \mathbf{G}_1^{-,<} \times \mathbf{G}_1^{+,<} \xrightarrow{\cong} \mathbf{G}$$

as well as those obtained by permuting the $(-)$-factor and the $(+)$-factor and/or moving the (0)-factor to the right. Moreover, all these induce similar functor isomorphisms with the left-hand side obtained by permuting the factors above, like $G_1^{+,<} \times G_0 \times G_1^{-,<} \xrightarrow{\cong} G$, $\mathbf{G}_1^{-,<} \times \mathbf{G}_0 \times \mathbf{G}_1^{+,<} \xrightarrow{\cong} G$, *etc.*

PROOF. The first isomorphism arises from Theorem 5.19. The second one then is an easy consequence of the first one and of Theorem A.8 of Appendix A, because $\mathbf{G}$ is the sheafification of G. Similarly for the other functors. $\qquad\square$

REMARK 5.21. The four functor isomorphisms

$$G_1^{-,<} \times G_0 \times G_1^{+,<} \xrightarrow{\cong} G \quad , \qquad G_1^{+,<} \times G_0 \times G_1^{-,<} \xrightarrow{\cong} G$$
$$\mathbf{G}_1^{-,<} \times \mathbf{G}_0 \times \mathbf{G}_1^{+,<} \xrightarrow{\cong} \mathbf{G} \quad , \qquad \mathbf{G}_1^{-,<} \times \mathbf{G}_0 \times \mathbf{G}_1^{+,<} \xrightarrow{\cong} \mathbf{G}$$

can be thought of as sort of a super-analogue of the classical *big cell decomposition* for reductive algebraic groups.

PROPOSITION 5.22. *The functors $G_1^{\pm,<}$: (salg) $\longrightarrow$ (sets) are representable: they are the functor of points of the superscheme $\mathbb{A}_{\Bbbk}^{0|N_\pm}$, with $N_\pm = \left| \Delta_1^\pm \right|$. In particular they are sheaves, hence $G_1^{\pm,<} = \mathbf{G}_1^{\pm,<}$.*

Similarly, we have also $G_1^< = \mathbf{G}_1^< \cong \mathbb{A}^{0|N}$ as super-schemes, where $N := \left| \Delta_1 \right| = N_+ + N_-$ (=the total number of odd roots).

PROOF. Clearly, by the very definitions, there exists a natural transformation $\Psi^\pm : \mathbb{A}_{\Bbbk}^{0|N_\pm} \longrightarrow G_1^{\pm,<}$ given on objects by
$$\Psi^\pm(A) : \mathbb{A}_{\Bbbk}^{0|N_\pm}(A) \longrightarrow G_1^{\pm,<}(A) \quad , \qquad (\vartheta_1, \dots, \vartheta_{N_\pm}) \mapsto \prod_{i=1}^{N_\pm} x_{\gamma_i}(t_i)$$
Now, given elements

$$g_1^\pm = \prod_{i=1}^{N_\pm} x_{\gamma_i}(\vartheta_i') \in G_1^{\pm,<}(A) \quad , \qquad h_1^\pm = \prod_{i=1}^{N_\pm} x_{\gamma_i}(\vartheta_i'') \in G_1^{\pm,<}(A)$$

assume that $g_1^\pm = h_1^\pm$, hence $h_1^-\left(g_1^-\right)^{-1} = 1$. Then we get

$$\left(\vartheta_1', \dots, \vartheta_{N_\pm}'\right) = \left(\vartheta_1'', \dots, \vartheta_{N_\pm}''\right)$$

just as showed in the proof of Theorem 5.19. This means that $\Psi^\pm$ is an isomorphism of functors, which proves the claim. $\qquad\square$

Finally, we can prove that the Chevalley supergroups are algebraic:

THEOREM 5.23. *Every Chevalley supergroup $\mathbf{G}$ is an algebraic supergroup.*

PROOF. We only need to show that the functor G is representable. Now, Corollary 5.20 and Proposition 5.22 give $\mathbf{G} \cong \mathbf{G}_0 \times \mathbf{G}_1^{-,<} \times \mathbf{G}_1^{+,<}$, with $\mathbf{G}_1^{-,<}$ and $\mathbf{G}_1^{+,<}$ being representable; but $\mathbf{G}_0$ also is representable—as it is a classical algebraic group, see §5.2. But any direct product of representable functors is representable too (see [**7**], Ch. 5), so we are done. $\qquad\square$

REMARK 5.24. This theorem asserts that Chevalley supergroup functors actually provide algebraic supergroups. This is quite remarkable, as some of these supergroups had not yet been explicitly constructed before. In fact, giving the

functor of points of a supergroup it is by no means sufficient to define the supergroup: proving the representability—i.e., showing that there is a superscheme whose functor of points is the given one—can be very hard.

For example using the procedure described above, it is possible to construct the algebraic supergroups corresponding to all of the exceptional classical Lie superalgebras $F(4)$, $G(3)$ and $D(2, 1; a)$—for $a \in \mathbb{Z}$—and to the strange Lie superalgebras.

The existence of such groups in the differential and analytic categories is granted through the theory of Harish-Chandra pairs, in which the category of supergroups is identified with pairs consisting of a Lie group and a super Lie algebra, (see [**22**], [**2**] and [**31**] for more details on this subject). Our theory allows to realize such supergroups explicitly and over arbitrary fields.

Another immediate consequence of Corollary 5.20 and Proposition 5.22 is the following, which improves, for Chevalley supergroups, a more general result proved by Masuoka (cf. [**25**], Theorem 4.5) in the algebraic-supergeometry setting (see also [**31**], and references therein, for the complex-analytic case).

PROPOSITION 5.25. *For any Chevalley supergroup* $\mathbf{G}$, *there are isomorphisms of commutative superalgebras*

$$\mathcal{O}(\mathbf{G}) \;\cong\; \mathcal{O}(\mathbf{G}_0) \otimes \mathcal{O}\big(\mathbf{G}_1^{-,<}\big) \otimes \mathcal{O}\big(\mathbf{G}_1^{+,<}\big) \;\cong\;$$
$$\cong\; \mathcal{O}(\mathbf{G}_0) \otimes \mathbb{k}\big[\xi_1, \ldots, \xi_{N_-}\big] \otimes \mathbb{k}\big[\chi_1, \ldots, \chi_{N_+}\big]$$

where $N_\pm = \big|\Delta_1^\pm\big|$, *the subalgebra* $\mathcal{O}(\mathbf{G}_0)$ *is totally even, and* $\xi_1, \ldots, \xi_{N_-}$ *and* $\chi_1, \ldots, \chi_{N_+}$ *are odd elements.*

We conclude this section with the analysis of a special case, that of commutative superalgebras A for which $A_1^2 = \{0\}$. This is a typical situation in commutative algebra theory: indeed, *any such* A *is nothing but the central extension of the commutative algebra* A_0 *by the* A_0–*module* A_1.

PROPOSITION 5.26. *Let G be a Chevalley supergroup functor, and let $\mathbf{G}$ be its associated Chevalley supergroup. Assume $A \in (\text{salg})$ is such that $A_1^2 = \{0\}$.*
Then $G_1^+(A)$, $G_1^-(A)$ and $G_1(A)$ are normal subgroups of $G(A)$, with

$$G_1^\pm(A) \;=\; G_1^{\pm,<}(A) \;\cong\; \mathbb{A}_{\mathbb{k}}^{0|N_\pm}(A) \qquad\qquad \text{with } \; N_\pm = \big|\Delta_1^\pm\big|$$
$$G_1(A) \;=\; G_1^-(A) \cdot G_1^+(A) \;=\; G_1^+(A) \cdot G_1^-(A)$$
$$G_1(A) \;\cong\; G_1^-(A) \times G_1^+(A) \;\cong\; G_1^+(A) \times G_1^-(A) \;\cong\; \mathbb{A}_{\mathbb{k}}^{0|N_-}(A) \times \mathbb{A}_{\mathbb{k}}^{0|N_+}(A)$$

(where "$\cong$" means isomorphic as groups), the group structure on $\mathbb{A}_{\mathbb{k}}^{0|N_\pm}(A)$ being the obvious one. In particular, $G(A)$ is the semidirect product, namely $G(A) \cong G_0(A) \ltimes G_1(A) \cong G_0(A_0) \ltimes \big(\mathbb{A}_{\mathbb{k}}^{0|N_-}(A) \times \mathbb{A}_{\mathbb{k}}^{0|N_+}(A)\big)$, of the classical group $G_0(A_0)$ with the totally odd affine superspace $\mathbb{A}_{\mathbb{k}}^{0|N_-}(A) \times \mathbb{A}_{\mathbb{k}}^{0|N_+}(A)$.
Similar results hold with a symbol "$\mathbf{G}$" replacing "G" everywhere.

PROOF. The assumptions on A and the commutation formulas in Lemma 5.13(b) ensure that all the 1-parameter subgroups associated to odd roots do commute with each other. This implies that $G_1^-(A) \, G_1^+(A) = G_1^+(A) \, G_1^-(A)$, that the latter coincides with $G_1(A)$, that $G_1^\pm(A) = G_1^{\pm,<}(A)$, and also that $G_1^\pm(A)$ and $G_1(A)$ are subgroups of $G(A)$. Moreover, Lemma 5.13(a) implies that $G_1^\pm(A)$ and

$G_1(A)$ are also normalized by $G_0(A)$. By Theorem 5.15 we conclude that $G_1^{\pm}(A)$ and $G_1(A)$ are normal in $G(A)$.

All remaining details follow from Proposition 5.22 and Theorem 5.23. The statement for $\mathbf{G}$ clearly follows as well. $\qquad\square$

5.4. Independence of Chevalley and Kostant superalgebras

Next question is the following: what is the role played by the representation V? Moreover, we would like to show that our construction is independent of the choice of an admissible $\mathbb{Z}$–lattice M in a fixed $\mathfrak{g}$–module (over $\mathbb{K}$).

Let $\mathbf{G}'$ and $\mathbf{G}$ be two Chevalley supergroups obtained by the same $\mathfrak{g}$, possibly with a different choice of the representation. We denote with X_α and with X'_α respectively the elements of the Chevalley basis in $\mathfrak{g}$ identified (as usual) with their images under the two representations of $\mathfrak{g}$.

LEMMA 5.27. *Let* $\phi : \mathbf{G} \longrightarrow \mathbf{G}'$ *be a morphism of Chevalley supergroups such that on local superalgebras A we have*

(1) $\qquad\qquad\qquad \phi_A\big(\mathbf{G}_0(A)\big) = \mathbf{G}'_0(A)$

(2) $\qquad\qquad\qquad \phi_A\big(1 + \vartheta\, X_\beta\big) = 1 + \vartheta\, X'_\beta \qquad\qquad \forall\ \beta \in \Delta_1\ ,\ \vartheta \in A_1$

Then $Ker(\phi_A) \subseteq \mathbf{T}$, *where* $\mathbf{T}$ *is the maximal torus in the ordinary algebraic group* $\mathbf{G}_0 \subseteq \mathbf{G}$ *(see §5.2).*

PROOF. For any *local* superalgebra A we have $\mathbf{G}(A) = G(A)$. Now let $g \in \mathbf{G}(A) = G(A)$, $g \in Ker(\phi_A)$. By Theorem 5.19 we have $g = g_1^- \, g_0 \, g_1^+$ with $g_0 \in G_0(A)$, $g_1^{\pm} \in G_1^{\pm,<}(A)$; but then

$$\phi_A\big(g_1^-\big)\, \phi_A(g_0)\, \phi_A\big(g_1^+\big)\, =\, \phi_A(g)\, =\, e_{\mathbf{G}'}$$

By the assumption *(2)* and the uniqueness of expression of g, we have that $\phi_A\big(g_1^-\big) = e_{\mathbf{G}'} = \phi_A\big(g_1^+\big)$ and $g_0 \in Ker(\phi_{0,A}) \subseteq \mathbf{T}(A)$, where $\phi_{0,A}$ is the restriction of ϕ_A to $\mathbf{G}_0(A)$. The claim follows. $\qquad\square$

Let now L_0 be the root lattice of $\mathfrak{g}$; also, we let L_1 be the weight lattice of $\mathfrak{g}$, defined to be the lattice of weights of all rational $\mathfrak{g}$–modules.

For any lattice L with $L_0 \subseteq L \subseteq L_1$, there is a corresponding Chevalley supergroup. The relation between Chevalley supergroups corresponding to different lattices is the same as in the classical setting.

THEOREM 5.28. *Let* $\mathbf{G}$ *and* $\mathbf{G}'$ *be two Chevalley supergroups constructed using two representations* V *and* V' *of the same* $\mathfrak{g}$ *over the same field* $\mathbb{K}$ *(as in §5.1), and let* L_V, $L_{V'}$ *be the corresponding lattices of weights.*

If $L_V \supseteq L_{V'}$, *then there exists a unique morphism* $\phi : \mathbf{G} \longrightarrow \mathbf{G}'$ *such that* $\phi_A\big(1 + \vartheta\, X_\alpha\big) = 1 + \vartheta\, X'_\alpha$, *and* $Ker(\phi_A) \subseteq Z\big(\mathbf{G}(A)\big)$, *for every local algebra* A. *Moreover,* ϕ *is an isomorphism if and only if* $L_V = L_{V'}$.

PROOF. As the same theorem is true for the classical part $\mathbf{G}_0$, we can certainly set up a map $\phi_0 : G_0 \longrightarrow G'_0$ and the corresponding one on the sheafification. Now we define $\phi : \mathbf{G} \longrightarrow \mathbf{G}'$ in the following way. For $A \in (\text{salg})$, we set $\phi_A\big(1 + \vartheta\, X_\alpha\big) := 1 + \vartheta\, X'_\alpha$, $\phi_A(g_0) := \phi_{0,A}(g_0)$; then

$$\phi_A\big(\big(1 + \vartheta_1\, X_{\alpha_1}\big) \cdots \big(1 + \vartheta_r\, X_{\alpha_r}\big)\, g_0 \big(1 + \eta_1\, X_{\beta_1}\big) \cdots \big(1 + \eta_s\, X_{\beta_s}\big)\big)\, =$$
$$=\, \big(1 + \vartheta_1\, X'_{\alpha_1}\big) \cdots \big(1 + \vartheta_r\, X_{\alpha_r}\big)\, \phi_{0,A}(g_0) \big(1 + \eta_1\, X'_{\beta_1}\big) \cdots \big(1 + \eta_s\, X'_{\beta_s}\big)$$

This gives a well-defined ϕ_A which in fact is also a morphism (i.e., natural transformation): indeed, $\phi_A(g\,h) = \phi_A(g)\,\phi_A(h)$ because all the relations used to commute elements in $G_1^-(A)$, $G_0(A)$ and $G_1^+(A)$—so to write a given element in $G(A)$ in the "normal form" as in Corollary 5.20—do not depend on the chosen representation, where now A is taken to be local (by Proposition A.12 in the Appendix A, we have that the natural transformation ϕ is uniquely determined by its behaviour on local superalgebras). $\qquad\square$

As a direct consequence, we have the following "independence result":

COROLLARY 5.29. *Every Chevalley supergroup* $\mathbf{G}_V$ *is independent—up to isomorphism—of the choice of an admissible lattice* M *of* V *considered in the very construction of* $\mathbf{G}_V$ *itself.*

PROOF. Let M and M' be two admissible lattices of V. Then consider $V' := V$, and consider $\mathbf{G}_V$ and $\mathbf{G}_{V'}$ constructed using respectively the two lattices M and M'. By construction we have $L_V = L_{V'}$, hence Theorem 5.28 give $\mathbf{G}_V \cong \mathbf{G}_{V'}$, which proves the claim. $\qquad\square$

5.5. Lie's Third Theorem for Chevalley supergroups

Let now $\Bbbk$ be a *field*, with $\mathrm{char}(\Bbbk) \neq 2, 3$.

Let $\mathbf{G}$ be a Chevalley supergroup scheme over $\Bbbk$, built out of a classical Lie superalgebra $\mathfrak{g}$ over $\mathbb{K}$ as in §5.2. In §5.1, we have constructed the Lie superalgebra $\mathfrak{g}_{\Bbbk} := \Bbbk \otimes_{\mathbb{Z}} \mathfrak{g}_V$ over $\Bbbk$ starting from the $\mathbb{Z}$–lattice $\mathfrak{g}_V$. We now show that the algebraic supergroup $\mathbf{G}$ has $\mathfrak{g}_{\Bbbk}$ as its tangent Lie superalgebra.

We start recalling how to associate a Lie superalgebra to a supergroup scheme. For more details see [**7**].

Let $A \in$ (salg) and let $A[\epsilon] := A[x]/(x^2)$ be the *super*algebra of dual numbers, in which $\epsilon := x \bmod (x^2)$ is taken to be *even*. We have that $A[\epsilon] = A \oplus \epsilon\,A$, and there are two natural morphisms $i : A \longrightarrow A[\epsilon]$, $a \overset{i}{\mapsto} a$, and $p : A[\epsilon] \longrightarrow A$, $(a + \epsilon\,a') \overset{p}{\mapsto} a$, such that $p \circ i = \mathrm{id}_A$.

DEFINITION 5.30. For each supergroup scheme G, consider the homomorphism $G(p) : G(A(\epsilon)) \longrightarrow G(A)$. Then there is a supergroup functor

$$\mathrm{Lie}(G) : (\mathrm{salg}) \longrightarrow (\mathrm{sets}) , \qquad \mathrm{Lie}(G)(A) := Ker\big(G(p)\big)$$

PROPOSITION 5.31 (cf. [**7**], §6.3). *Let* G *be a supergroup scheme. The functor* $\mathrm{Lie}(G)$ *is representable and can be identified with (the functor of points of) the tangent space at the identity of* G, *namely*

$$\mathrm{Lie}(G)(A) = (A \otimes T_{1_G})_0$$

where T_{1_G} *is the super vector space* $\mathfrak{m}_{G,1_G}/\mathfrak{m}_{G,1_G}^2$, *with* $\mathfrak{m}_{G,1_G}$ *being the maximal ideal of the local algebra* $\mathcal{O}_{G,1_G}$.

With an abuse of notation we will use the same symbol $\mathrm{Lie}(G)$ to denote both the functor and the underlying super vector space.

Next we show that $\mathrm{Lie}(G)$ has a Lie superalgebra structure: this is equivalent to asking the functor $\mathrm{Lie}(G) : (\mathrm{salg}) \to (\mathrm{sets})$ to be Lie algebra valued, by which we just mean that it is actually a functor from (salg) to (Lie), the category of Lie algebras over $\Bbbk$.

DEFINITION 5.32. Define the *adjoint action* of G on $\mathrm{Lie}(G)$ as

$$\mathrm{Ad} : G \longrightarrow \mathrm{GL}(\mathrm{Lie}(G)) \quad , \qquad \mathrm{Ad}(g)(x) := G(i)(g) \cdot x \cdot \big(G(i)(g)\big)^{-1}$$

for all $g \in G(A)$, $x \in \mathrm{Lie}(G)(A)$. Define also the *adjoint morphism* ad as

$$\mathrm{ad} := \mathrm{Lie}(\mathrm{Ad}) : \mathrm{Lie}(G) \longrightarrow \mathrm{Lie}(\mathrm{GL}(\mathrm{Lie}(G))) := \mathrm{End}(\mathrm{Lie}(G))$$

where GL and End are the functors defined as follows: $\mathrm{GL}(V)(A)$ and $\mathrm{End}(V)(A)$, for a supervector space V, are respectively the automorphisms and the endomorphisms of $V(A) := (A \otimes V)_0$.

Finally, we define $[x, y] := \mathrm{ad}(x)(y)$, for all $x, y \in \mathrm{Lie}(G)(A)$.

PROPOSITION 5.33 (cf. [**7**], §6.3). *The functor* $\mathrm{Lie}(G) : (\mathrm{salg}) \longrightarrow (\mathrm{sets})$ *is Lie algebra valued, via the bracket* $[\ ,\ ]$ *defined above. In other words, it yields a functor*

$$\mathrm{Lie}(G) : (\mathrm{salg}) \longrightarrow (\mathrm{Lie})$$

where (Lie) *stands for the category of Lie algebras over* $\Bbbk$.

Let us now see an important example.

EXAMPLE 5.34. We compute the functor $\mathrm{Lie}(\mathrm{GL}_{m|n})$. Consider the map

$$\mathrm{GL}_{m|n}(p) : \ \mathrm{GL}_{m|n}\big(A(\epsilon)\big) \longrightarrow \mathrm{GL}_{m|n}(A)$$

$$\begin{pmatrix} p + \epsilon\, p' & q + \epsilon\, q' \\ r + \epsilon\, r' & s + \epsilon\, s' \end{pmatrix} \quad \mapsto \quad \begin{pmatrix} p & q \\ r & s \end{pmatrix}$$

with p, p', s and s' having entries in A_0, and q, q', r and r' having entries in A_1; moreover, p and s are invertible matrices. One can see immediately that

$$\mathrm{Lie}(\mathrm{GL}_{m|n})(A) \ = \ Ker\big(\mathrm{GL}_{m|n}(p)\big) \ = \ \left\{ \begin{pmatrix} I_m + \epsilon\, p' & \epsilon\, q' \\ \epsilon\, r' & I_n + \epsilon\, s' \end{pmatrix} \right\}$$

where I_ℓ is an $\ell \times \ell$ identity matrix. The functor $\mathrm{Lie}(\mathrm{GL}_{m|n})$ is clearly group valued and can be identified with the (additive) group functor $M_{m|n}$

$$M_{m|n}(A) \ = \ \mathrm{Hom}\big(M(m|n)^*, A\big) \ = \ \mathrm{Hom}_{(\mathrm{salg})}\big(\mathrm{Sym}(M(m|n)^*), A\big)$$

where $M(m|n) := \left\{ \begin{pmatrix} P & Q \\ R & S \end{pmatrix} \right\} \cong \Bbbk^{m^2+n^2|2mn}$ —with P, Q, R and S being $m \times m$, $m \times n$, $n \times m$ and $n \times n$ matrices with entries in $\Bbbk$ —is a supervector space. An $X \in M(m|n)$ is even iff $Q = R = 0$, it is odd iff $P = S = 0$.

Notice that $M(m|n)$ is a Lie superalgebra, whose Lie superbracket is given by $[X, Y] := XY - (-1)^{p(X)p(Y)} YX$, so $\mathrm{Lie}(\mathrm{GL}_{m|n})$ is a Lie superalgebra.

Let us compute explicitly for this special case the morphisms Ad and ad.

Since $G(i) : \mathrm{GL}_{m|n}(A) \longrightarrow \mathrm{GL}_{m|n}\big(A(\epsilon)\big)$ is an inclusion, if we identify $\mathrm{GL}_{m|n}(A)$ with its image we can write

$$\mathrm{Ad}(g)(x) \ = \ g\, x\, g^{-1} \quad \forall \ g \in \mathrm{GL}_{m|n}(A), \ x \in \mathrm{M}_{m|n}(A)$$

By definition we have $\mathrm{Lie}\big(\mathrm{GL}(\mathrm{M}_{m|n})\big)(A) = \big\{ 1 + \epsilon\, \beta \ \big| \ \beta \in \mathrm{GL}(\mathrm{M}_{m|n})(A) \big\}$. So we have, for $a, b \in \mathrm{M}_{m|n}(A) \cong \mathrm{Lie}(\mathrm{GL}_{m|n})(A) = \big\{ 1 + \epsilon\, a \ \big| \ a \in \mathrm{M}_{m|n}(A) \big\}$,

$$\mathrm{ad}(1 + \epsilon\, a)(b) \ = \ (1 + \epsilon\, a)\, b\, (1 - \epsilon\, a) \ = \ b + (ab - ba)\, \epsilon \ = \ b + \epsilon\, [a, b]$$

The outcome is $\mathrm{ad}(1 + \epsilon\, a) = \mathrm{id} + \epsilon\, \beta(a)$, with $\beta(a) = [a, -]$.

We are ready for the main theorem of this section.

THEOREM 5.35. *If* $\mathbf{G} = \mathbf{G}_V$ *is a Chevalley supergroup built upon* $\mathfrak{g}$ *and* V , *then* $\mathrm{Lie}(\mathbf{G}_V) = \mathfrak{g}$ *as functors with values in* (Lie) .

PROOF. The first remark is that all our arguments take place inside $\mathrm{GL}(V)$, hence we can argue using the formulas of the previous example. Certainly we know that the two spaces under exam have the same (super)dimension, in fact by Theorem 5.23 we know that $\mathbf{G} = \mathbf{G}_0 \times \mathbf{G}_1^<$, hence its tangent space at the origin has dimension $dim(\mathfrak{g}_0) \big| dim(\mathfrak{g}_1)$. It is also clear by classical considerations that $\mathrm{Lie}(\mathbf{G}_V)_0 = \mathfrak{g}_0$. An easy calculation shows that $\mathrm{Lie}(\mathbf{G}_V)$ contains all the generators of $\mathfrak{g}_1$, hence we have the result. $\square$

CHAPTER 6

The cases $A(1,1)$, $P(3)$ and $Q(n)$

   This chapter treats the "singular" cases, namely those when $\mathfrak{g}$ is of type $A(1,1)$, $P(3)$ or $Q(n)$. Indeed, in these cases the roots happen to have non-trivial multiplicity (i.e., root spaces have multiplicity greater than one), so additional care is needed: therefore, they are dropped from the discussion in the main body of the text—chapters 3 through 5—and dealt with here.

   The main point is that we have to re-visit our definition of Chevalley basis, which our whole construction is based upon. In short, the outcome is that one still has a similar notion, but one may have more than one root vector attached to a unique root: indeed, they will be just as many as the root multiplicity.

   Actually, in cases $A(1,1)$ and $P(3)$ essentially it is enough to adopt a suitable notation: then almost nothing changes, that is one can replicate, step by step, all analysis and arguments carried on before, essentially with no change.

   On the other hand, in case $Q(n)$ one must undertake from scratch a careful revision of the entire construction. Indeed, the very definition of Chevalley basis must be carefully (re)thought: once this is done, we prove the existence again by direct inspection—i.e., we do provide an explicit Chevalley basis—but the "uniform argument" mentioned in Chapter 3, Remark 3.8, also applies again.

   The changes in the definition of a Chevalley basis for $Q(n)$ induce some changes in the construction and description of $K_{\mathbb{Z}}(\mathfrak{g})$ too. But then, all the rest of our construction of Chevalley supergroups goes through essentially unchanged.

   The main fact to point out is a special feature of these three cases which make them different from all other ones: namely, some (odd) roots have multiplicity greater than one, so the set of (odd) roots itself is no longer fit to index (odd) root vectors in a basis. This leads us to introduce a different index set for root vectors, still close to the root set but definitely different.

   As to types $A(1,1)$ and $P(3)$, according to §3.1 in both cases there exist linear dependence relations that identify some (odd) positive roots with some (odd) negative ones. Now, for the common value of such a root we can find a root vector when considering the root as a positive one, and another, linearly independent root vector, when looking at the same root as a negative one. In the end, any such root has exactly multiplicity 2, and we just have to find out a neat way to index all root vectors in a consistent manner.

   The solution is immediate: the same way of indexing root vectors that we adopted respectively for $A(n, n)$—$n > 1$—and for $P(m)$—$m \neq 2$—still works in the present context. Similarly, the description of a Chevalley basis is (up to using a suitably adapted notation) essentially the same—both for $A(1,1)$ and $P(3)$—as in the general case. Then all our results—about Kostant algebras, Chevalley groups and their properties—follow: statements and proofs are the same, just minimal notational changes occur.

For type $Q(n)$ instead, the new, "exotic" feature is that the root set includes also 0, as an odd root with multiplicity n, while all other roots are both even and odd and have multiplicity 2. Root vectors then must be indexed by a greater set then the root set. Moreover, all root vectors relative to the root 0 are odd, while for any root $\alpha \neq 0$ there exist an even as well as an odd root vector attached to α. With such root vectors we can build up a (suitably defined) Chevalley basis.

All our results again hold true in case $Q(n)$ too, with the same statements; however, in this case some proofs need additional arguments, due to the special behavior of the root 0 and of the root vectors in a Chevalley basis.

6.1. Chevalley bases and Chevalley superalgebras

Throughout the section $\mathfrak{g}$ denotes any Lie superalgebra of type $A(1,1)$, $P(3)$ or $Q(n)$. We begin with the following definition—sort of a generalization of root system—which eventually will be our tool to index root vectors in a (suitably defined) Chevalley basis.

DEFINITION 6.1. We define a set $\widetilde{\Delta} := \widetilde{\Delta}_0 \coprod \widetilde{\Delta}_1$, a map $\pi : \widetilde{\Delta} \longrightarrow \Delta \cup \{0\}$ and two partial operations on $\widetilde{\Delta}$ ("partial" in the sense that $\widetilde{\Delta}$ is not closed with respect to them) $+ : \widetilde{\Delta} \times \widetilde{\Delta} \dashrightarrow \widetilde{\Delta}$, $- : \widetilde{\Delta} \dashrightarrow \widetilde{\Delta}$, as follows.

(a) if $\mathfrak{g} = A(1,1)$, we set $\widetilde{\Delta}_0^{\pm} := \Delta_0^{\pm}$ and $\widetilde{\Delta}_0 := \widetilde{\Delta}_0^{+} \cup \widetilde{\Delta}_0^{-} = \Delta_0$. The latter can be described by subsets of pairs indexing the (even) positive or negative roots—in the (classical) root system of type $A(1) \times A(1)$—namely $\widetilde{\Delta}_0 := \widetilde{\Delta}_0^{+} \coprod \widetilde{\Delta}_0^{-}$ with

$$\widetilde{\Delta}_0^{+} := \Delta_0^{+} = \big\{(1,2),(3,4)\big\} \;,\quad \widetilde{\Delta}_0^{-} := \Delta_0^{-} = \big\{(2,1),(4,3)\big\} \;;$$

with these identifications, one has $-(i,j) = (j,i)$ for the opposite of a root.

Similarly, we define

$$\widetilde{\Delta}_1^{+} := \big\{(1,3),(1,4),(2,3),(2,4)\big\} \;,\quad \widetilde{\Delta}_1^{-} := \big\{(3,1),(4,1),(3,2),(4,2,)\big\}$$

and eventually we set $\widetilde{\Delta}_1 := \widetilde{\Delta}_1^{+} \coprod \widetilde{\Delta}_1^{-}$.

The partial operations $-$ and $+$ on $\widetilde{\Delta}$ are given by

$$-(r,s) := (s,r) \;,\qquad (i,j) + (h,k) := \delta_{j,h}(i,k) - (-1)^{\varepsilon(i,j)\,\varepsilon(h,k)} \delta_{k,i}(h,j)$$

where $\varepsilon(t,l) := 1$ if $t,l \geq 2$ or $t,l > 2$, and $\varepsilon(t,l) := -1$ otherwise.

Now, the set Δ_1 of odd roots of $\mathfrak{g}$ identifies with the quotient space $\Delta_1 = \widetilde{\Delta}_1/\!\sim$, where the equivalence relation $\sim$ between pairs given by

$$(1,4) \sim (3,2) \;,\qquad (1,3) \sim (4,2) \;,\qquad (2,3) \sim (4,1) \;,\qquad (2,4) \sim (3,1)$$

(note that the partition into $\sim$–equivalence classes is "transversal" to the partition $\widetilde{\Delta}_1 := \widetilde{\Delta}_1^{+} \coprod \widetilde{\Delta}_1^{-}$). We define $\pi : \widetilde{\Delta} = \widetilde{\Delta}_0 \coprod \widetilde{\Delta}_1 \longrightarrow \Delta \cup \{0\}$ as the identity map on $\widetilde{\Delta}_0 = \Delta_0$ and as the quotient map on $\widetilde{\Delta}_1$.

(b) if $\mathfrak{g} = P(3)$, we set $\widetilde{\Delta}_0^{\pm} := \Delta_0^{\pm}$ and $\widetilde{\Delta}_0 := \widetilde{\Delta}_0^{+} \cup \widetilde{\Delta}_0^{-} = \Delta_0$. The latter can be described by subsets of pairs indexing the (even) positive or negative roots—in the (classical) root system of type $A(3)$—namely $\widetilde{\Delta}_0 := \widetilde{\Delta}_0^{+} \coprod \widetilde{\Delta}_0^{-}$ with

$$\widetilde{\Delta}_0^{+} := \Delta_0^{+} = \big\{(i,j)\big\}_{1 \leq i < j \leq 4} \;,\quad \widetilde{\Delta}_0^{-} := \Delta_0^{-} = \big\{(j,i)\big\}_{1 \leq i < j \leq 4}$$

with $-(r,s) = (s,r)$. Then we define $\widetilde{\Delta}_1 := \widetilde{\Delta}_1^{+} \coprod \widetilde{\Delta}_1^{-}$ with

$$\widetilde{\Delta}_1^{+} := \big\{[h,k]\big\}_{1 \leq h \leq k \leq 4} \;,\quad \widetilde{\Delta}_1^{-} := \big\{[q,p]\big\}_{1 \leq p < q \leq 4} \;.$$

The partial operations $-$ and $+$ on $\widetilde{\Delta}$ are defined as follows. Let us consider in the free $\mathbb{Z}$–module $\mathbb{Z}^4$ the canonical basis, whose elements are

$$\varepsilon_1 := (1,0,0,0)\,, \quad \varepsilon_2 := (0,1,0,0)\,, \quad \varepsilon_3 := (0,0,1,0)\,, \quad \varepsilon_4 := (0,0,0,1)$$

and let us consider the embedding of $\widetilde{\Delta}$ into $\mathbb{Z}^4$ given by

$$(r,s) \mapsto (\varepsilon_r - \varepsilon_s)\quad,\qquad [h,k] \mapsto (\varepsilon_h + \varepsilon_k)\quad,\qquad [q,p] \mapsto -(\varepsilon_q + \varepsilon_p)$$

for all $r \neq s$, $h \leq k$, $p \lneqq q$. Then we define the partial operations $-$ and $+$ on $\widetilde{\Delta}$ as being the restrictions of the same name operations in $\mathbb{Z}^4$, taking the former as defined (on $\widetilde{\Delta}$) whenever the result belongs to $\widetilde{\Delta}$ itself.

Let $\sim$ be the equivalence relation in $\widetilde{\Delta}_1$ given by

$$[1,2] \sim [4,3]\quad,\qquad [1,3] \sim [4,2]\quad,\qquad [1,4] \sim [3,2]\quad,$$
$$[2,3] \sim [4,1]\quad,\qquad [2,4] \sim [3,1]\quad,\qquad [3,4] \sim [2,1]\quad;$$

then the set Δ_1 of odd roots of $\mathfrak{g}$ identifies with the quotient space $\Delta_1 = \widetilde{\Delta}_1/\!\sim$. We define $\pi : \widetilde{\Delta} = \widetilde{\Delta}_0 \coprod \widetilde{\Delta}_1 \longrightarrow \Delta \cup \{0\}$ as the identity map on $\widetilde{\Delta}_0 = \Delta_0$ and as the quotient map on $\widetilde{\Delta}_1$.

(c) if $\mathfrak{g} = Q(n)$, then $\Delta = \Delta_1 = \Delta_0 \coprod \{0\}$. We set $\widetilde{\Delta}_0^\pm := \Delta_0^\pm \times \{(0,1)\}$ and $\widetilde{\Delta}_0 := \widetilde{\Delta}_0^+ \cup \widetilde{\Delta}_0^-$. Then we fix any partition $I_n^+ \coprod I_n^- = \{1,\ldots,n\}$ of $\{1,\ldots,n\}$, and we define

$$\widetilde{\Delta}_1^\pm := \big((\Delta_1^\pm \setminus \{0\}) \times \{(1,1)\}\big) \coprod \big(\{0\} \times \{(1,i)\}_{i \in I_n^\pm}\big)$$

and $\widetilde{\Delta}_1 := \widetilde{\Delta}_1^+ \coprod \widetilde{\Delta}_1^-$. Note that definitions imply $\widetilde{\Delta}_0 \cap \widetilde{\Delta}_1 = \emptyset$, while on the other hand $\Delta_0 \cap \Delta_1 = \Delta_0 \neq \emptyset$ instead.

As $\widetilde{\Delta} \subseteq \big(\Delta \times \{0,1\} \times I_n\big)$, the map $\pi : \widetilde{\Delta} \longrightarrow \Delta \cup \{0\} = \Delta$ is nothing but the restriction to $\widetilde{\Delta}$ of the projection onto the first factor: then the operator $- : \widetilde{\Delta} \longrightarrow \widetilde{\Delta}$ is given by taking the opposite on the left-hand factor. Finally, the partial operation $\big(\alpha,\beta\big) \mapsto \alpha + \beta$ is given by

$$\big(\alpha,(p,i)\big) + \big(\beta,(q,j)\big) := \big(\alpha+\beta,(p+q\,(\mathrm{mod}\,2),i \wedge j)\big)$$

Note that this operation has a neutral element $\widetilde{0}$, namely $\widetilde{0} = (0,(0,1))$.

We are now ready to give the definition of Chevalley basis. We invite the reader to look and compare with Definition 3.3 that holds for classical Lie superalgebras different from $A(1,1)$, $P(3)$ and $Q(n)$ to notice the differences.

DEFINITION 6.2. Let $\mathfrak{g}$ be as above. We call *Chevalley basis* of $\mathfrak{g}$ any homogeneous $\mathbb{K}$–basis $B = \{H_i\}_{1,\ldots,t} \coprod \{X_{\widetilde{\alpha}}\}_{\widetilde{\alpha} \in \widetilde{\Delta}}$ with the following properties.

(a) $\{H_1,\ldots,H_\ell\}$ is a $\mathbb{K}$–basis of $\mathfrak{h}$; moreover, with $H_\alpha \in \mathfrak{h}$ as in §3.1:
if $\mathfrak{g} \neq Q(n)$, $\mathfrak{h}_\mathbb{Z} := Span_\mathbb{Z}\big(H_1,\ldots,H_\ell\big) = Span_\mathbb{Z}\big(\{H_\alpha \,|\, \alpha \in \Delta \cap (-\Delta)\}\big)$;
if $\mathfrak{g} = Q(n)$, $\mathfrak{h}_\mathbb{Z} := Span_\mathbb{Z}\big(H_1,\ldots,H_\ell\big) = \big\{h \in \mathfrak{h} \,\big|\, (h,h_\alpha) \in \mathbb{Z}\ \forall\, \alpha \in \Delta\big\}$;
(b) $[H_i,H_j] = 0$, $[H_i,X_{\widetilde{\alpha}}] = \pi(\widetilde{\alpha})(H_i)\,X_{\widetilde{\alpha}}$, $\forall\, i,j \in \{1,\ldots,\ell\}$, $\widetilde{\alpha} \in \widetilde{\Delta}$;

(c.$\mathcal{Q}$) if $\mathfrak{g} \neq Q(n)$, then

$$\big[X_{\widetilde{\alpha}}, X_{-\widetilde{\alpha}}\big] = \sigma_{\widetilde{\alpha}}\, H_{\pi(\widetilde{\alpha})} \qquad \forall\ \widetilde{\alpha} \in \widetilde{\Delta} \cap \big(-\widetilde{\Delta}\big),$$

with $H_{\pi(\widetilde{\alpha})}$ as in *(a)*, and $\sigma_{\widetilde{\alpha}} := -1$ if $\widetilde{\alpha} \in \widetilde{\Delta}_1^-$, $\sigma_{\widetilde{\alpha}} := 1$ otherwise;

(c.Q) if $\mathfrak{g} = Q(n)$, then

$$\big[X_{(\alpha,(0,1))}, X_{(-\alpha,(0,1))}\big] = H_\alpha \qquad \forall\, \alpha \in \Delta \setminus \{0\}, \quad \text{with } H_\alpha \text{ as in } (a)\,;$$
$$\big[X_{(\alpha,(1,1))}, X_{(-\alpha,(1,1))}\big] = H_{\alpha'} \qquad \forall\, \alpha \in \Delta \setminus \{0\}, \quad \text{with } H_{\alpha'} \in \mathfrak{h}_{\mathbb{Z}}\,;$$
$$\big[X_{(\alpha,(0,1))}, X_{(-\alpha,(1,1))}\big] = X_{(0,(1,\alpha))} \qquad \forall\, \alpha \in \Delta \setminus \{0\}\,,$$

with $X_{(0,(1,\alpha))} := \sum_{k=1}^n e_{\alpha;k}\, X_{(0,(1,k))}$ if $H_\alpha = \sum_{k=1}^n e_{\alpha;k}\, H_k$;

$$\big[X_{(0,(1,i))}, X_{(0,(1,j))}\big] = 2\, H_{i,j} \qquad \forall\, i,j = 1,\dots,n, \quad \text{with } H_{i,j} \in \mathfrak{h}_{\mathbb{Z}}\,;$$

(d) $\big[X_{\widetilde\alpha}, X_{\widetilde\beta}\big] = c_{\widetilde\alpha,\widetilde\beta}\, X_{\widetilde\alpha+\widetilde\beta}$ $\forall\, \widetilde\alpha,\widetilde\beta \in \widetilde\Delta : \widetilde\alpha \neq -\widetilde\beta,\ \widetilde\beta \neq -\widetilde\alpha$, with

(d.1) if $(\widetilde\alpha + \widetilde\beta) \notin \widetilde\Delta$, then $c_{\widetilde\alpha,\widetilde\beta} = 0$, and $X_{\widetilde\alpha+\widetilde\beta} := 0$,

(d.2) if $\big(\pi(\widetilde\alpha), \pi(\widetilde\alpha)\big) \neq 0$ or $\big(\pi(\widetilde\beta), \pi(\widetilde\beta)\big) \neq 0$, and (cf. Definition 3.2) if $\Sigma_{\pi(\widetilde\beta)}^{\pi(\widetilde\alpha)} := \big\{\pi(\widetilde\beta) - r\,\pi(\widetilde\alpha),\, \dots,\, \pi(\widetilde\beta) + q\,\pi(\widetilde\alpha)\big\}$ is the $\pi(\widetilde\alpha)$–string through $\pi(\widetilde\beta)$, then $c_{\widetilde\alpha,\widetilde\beta} = \pm(r+1)$, with the following exceptions:

(d.2-I) if $\mathfrak{g} = P(3)$, and $\widetilde\alpha = [i,j]$, $\widetilde\beta = (i,j)$ —notation of 6.1(3)—then $c_{\widetilde\alpha,\widetilde\beta} = \pm(r+2)$;

(d.2-II) if $\mathfrak{g} = Q(n)$, and $\widetilde\alpha = \big(0,(1,k)\big)$, $\widetilde\beta = \big(\epsilon_i - \epsilon_j, (1,1)\big)$ —using the standard notation for the classical root system of type A_n —then $c_{\widetilde\alpha,\widetilde\beta} = (\epsilon_i + \epsilon_j)(\alpha_k)$;

(d.3) if $\big(\pi(\widetilde\alpha), \pi(\widetilde\alpha)\big) = 0 = \big(\pi(\widetilde\beta), \pi(\widetilde\beta)\big)$, then $c_{\widetilde\alpha,\widetilde\beta} = \pm\pi(\widetilde\beta)\big(H_{\pi(\widetilde\alpha)}\big)$.

Here again, for notational convenience, we shall write $X_\delta := 0$ whenever δ belongs to the $\mathbb{Z}$–span of $\widetilde\Delta$ but either $\delta \notin \widetilde\Delta$, or $\delta \in \widetilde\Delta$ and $\pi(\delta) = 0$.

REMARKS 6.3.

(1) The *Chevalley superalgebra* (of $\mathfrak{g}$) is defined again just like in the other cases, namely as $\mathfrak{g}^{\mathbb{Z}} := \mathbb{Z}$–span of B, where B is any Chevalley basis of $\mathfrak{g}$. Again, it is a Lie superalgebra over $\mathbb{Z}$, independent of the choice of B.

(2) If $\big(\pi(\widetilde\alpha), \pi(\widetilde\alpha)\big) = 0 = \big(\pi(\widetilde\beta), \pi(\widetilde\beta)\big)$ then $\pi(\widetilde\beta)\big(H_{\pi(\widetilde\alpha)}\big) = \pm(r+1)$. Therefore, *condition (d.3) in Definition 6.2 reads just like (d.2)*.

Now we show how to prove the **existence** of Chevalley bases—as claimed in Theorem 3.7—in the present cases. We proceed by direct construction of explicit bases; on the other hand, it is worth stressing that the "uniform argument" sketched in Remark 3.8 does apply again to case $A(1,1)$, and even to case $Q(n)$, up to a few, obvious changes.

$\underline{A(1,1)\,,\,P(3)}$:   In case $A(1,1)$, the description of an explicit Chevalley basis given in the proof of Theorem 3.7 for $A(n,n)$—$n \neq 1$—works again, *verbatim*. Similarly the description of a Chevalley basis for $P(n)$—$n \neq 3$—applies again to $P(3)$, up to reading (notation as in Definition 6.1(3))

$$\alpha_{i,j} := (i,j) \quad,\qquad \beta_{h,k} := [h,k] \quad,\qquad \beta_{q,p} := [q,p]$$

for $1 \leq i \neq j \leq 4$, $1 \leq h < k \leq 4$, $1 \leq p < q \leq 4$.

$\underline{Q(n)}$:   In this case a Chevalley basis is a variation of the basis given in [**13**], §2.49 (we change the Cartan generators); we now describe it explicitly.

First consider the Lie superalgebra (sub-superalgebra of $\mathfrak{gl}(n{+}1|\,n{+}1)$)

$$\widetilde{Q}(n) := \left\{ \begin{pmatrix} A & B \\ B & A \end{pmatrix} \in \mathfrak{gl}(n{+}1|\,n{+}1) \,\middle|\, A \in \mathfrak{gl}(n{+}1)\,,\, B \in \mathfrak{sl}(n{+}1) \right\}$$

such that, by definition, $Q(n) := \widetilde{Q}(n) \big/ \mathbb{K} I_{2(n+1)}$. Take in $\widetilde{Q}(n)$ the elements

$$L_i := \mathrm{e}_{i,i} + \mathrm{e}_{i+n+1,i+n+1} \,, \quad K_t := \mathrm{e}_{t,t+n+1} - \mathrm{e}_{t+1,t+n+2} + \mathrm{e}_{t+n+1,t} - \mathrm{e}_{t+n+2,t+1}$$
$$E_{i,j} := \mathrm{e}_{i,j} + \mathrm{e}_{i+n+1,j+n+1} \,, \qquad\qquad F_{i,j} := \mathrm{e}_{i,j+n+1} + \mathrm{e}_{i+n+1,j}$$

for all $i, j = 1, \ldots, n+1$, $t = 1, \ldots, n$, $i \neq j$. Then

$$\big\{L_i\big\}_{i=1,\ldots,n+1} \bigcup \big\{E_{i,j}\big\}_{i,j=1,\ldots,n+1}^{i\neq j} \bigcup \big\{K_t\big\}_{t=1,\ldots,n} \bigcup \big\{F_{i,j}\big\}_{i,j=1,\ldots,n+1}^{i\neq j}$$

is a homogeneous $\mathbb{K}$–basis of $\widetilde{Q}(n)$, the L_i's and the $E_{i,j}$'s being even, the K_t's and the $F_{i,j}$'s being odd. As $I_{2(n+1)} = L_1 + \cdots + L_{n+1}$, the quotient Lie superalgebra $Q(n) := \widetilde{Q}(n) \big/ \mathbb{K} I_{2(n+1)}$ has homogeneous $\mathbb{K}$–basis

$$B := \big\{L_i\big\}_{i=1,\ldots,n} \bigcup \big\{E_{i,j}\big\}_{i,j=1,\ldots,n+1}^{i\neq j} \bigcup \big\{K_t\big\}_{t=1,\ldots,n} \bigcup \big\{F_{i,j}\big\}_{i,j=1,\ldots,n+1}^{i\neq j}$$

where we use again the same symbols to denote the images of elements of $\widetilde{Q}(n)$ inside $Q(n)$. In terms of these, the multiplication table of $Q(n)$ reads

$$\big[L_i, L_j\big] = 0 \,, \quad \big[L_i, K_t\big] = 0$$
$$\big[K_r, K_s\big] = 2\big(\delta_{r,s} - \delta_{r,s+1}\big) L_r + 2\big(\delta_{r,s} - \delta_{r+1,s}\big) L_{r+1}$$
$$\big[L_k, E_{i,j}\big] = \alpha_{i,j}(L_k)\, E_{i,j} \,, \qquad \big[L_k, F_{i,j}\big] = \alpha_{i,j}(L_k)\, F_{i,j}$$
$$\big[K_t, E_{i,j}\big] = \alpha_{i,j}(L_t - L_{t+1})\, F_{i,j} \,, \qquad \big[K_t, F_{i,j}\big] = \widetilde{\alpha}_{i,j}(L_t - L_{t+1})\, E_{i,j}$$
$$\big[E_{i,j}, E_{k,\ell}\big] = \delta_{j,k}\, E_{i,\ell} - \delta_{\ell,i}\, E_{k,j} \qquad\qquad \forall \; (i,j) \neq (\ell,k)$$
$$\big[E_{i,j}, F_{k,\ell}\big] = \delta_{j,k}\, F_{i,\ell} - \delta_{\ell,i}\, F_{k,j} \qquad\qquad \forall \; (i,j) \neq (\ell,k)$$
$$\big[F_{i,j}, F_{k,\ell}\big] = \delta_{j,k}\, E_{i,\ell} + \delta_{\ell,i}\, E_{k,j} \qquad\qquad \forall \; (i,j) \neq (\ell,k)$$
$$\big[E_{i,j}, E_{j,i}\big] = L_i - L_j \,, \quad \big[E_{i,j}, F_{j,i}\big] = \sum_{t=1}^{j-1} K_t \,, \quad \big[F_{i,j}, F_{j,i}\big] = L_i + L_j$$

where the $\alpha_{i,j}$'s are the non-zero roots of $Q(n)$, forming the classical root system of type A_n (namely $\alpha_{i,j} = \epsilon_i - \epsilon_j$, where the ϵ_ℓ's form the dual basis to the canonical basis of the diagonal matrices in $\mathfrak{gl}(n+1)$), while $\widetilde{\alpha}_{i,j} := \epsilon_i + \epsilon_j$.

In particular, this shows that the L_i's ($i = 1, \ldots, n$) form a $\mathbb{K}$–basis of the Cartan subalgebra of $Q(n)$—which is the image in $Q(n)$ of the subspace of diagonal matrices in $\widetilde{Q}(n)$—each $E_{i,j}$, resp. each $F_{i,j}$, is a root vector (the former being even, the latter odd) for the root $\alpha_{i,j}$, and the K_t's form a $\mathbb{K}$–basis of the (totally odd) zero root space, namely $\mathfrak{g}_{\alpha=0} \cap \mathfrak{g}_{\bar 1}$. Now set

$$H_k := L_k \,, \quad X_{(0,(1,k))} := K_k \,, \quad X_{(\alpha_{i,j},(0,1))} := E_{i,j} \,, \quad X_{(\alpha_{i,j},(1,1))} := F_{i,j}$$

for all $k = 1, \ldots, n$ and $i, j = 1, \ldots, n+1$ with $i \neq j$. Then the above formulas eventually show that $B := \big\{H_k\big\}_{k=1,\ldots,n} \coprod \big\{X_{\widetilde{\alpha}}\big\}_{\widetilde{\alpha} \in \widetilde{\Delta}}$ is a Chevalley basis of $\mathfrak{g} = Q(n)$ in the sense of Definition 3.3, by direct check.

REMARK 6.4. For $\mathfrak{g}$ of type $Q(n)$—to be precise, of type $\widetilde{Q}(n)$—a Chevalley basis was given also in [**4**], Lemma 4.3.

6.2. Kostant superalgebras

In §4.1, the Kostant's superalgebra $K_{\mathbb{Z}}(\mathfrak{g})$ was defined as the subalgebra of $U(\mathfrak{g})$ generated by divided powers of the root vectors attached to even roots, root vectors attached to odd roots, and binomial coefficients in the elements of the Chevalley basis which belong to $\mathfrak{h}$.

We perform exactly the same construction for $A(1,1)$, $P(3)$ and $Q(n)$: thus the definition of $K_{\mathbb{Z}}(\mathfrak{g})$ for the present cases reads essentially like Definition 4.3, the only difference is that root vectors are indexed by elements of $\widetilde{\Delta}$ instead of Δ.

The *commutation rules* among generators of $K_{\mathbb{Z}}(\mathfrak{g})$ are very close to those in §4.2. Nevertheless, some differences occur, which we now point out.

(1) Even generators only:

These relations involve only the H_i's and their binomial coefficients, and root vectors relative to *even* roots. Then they are just the same as in §4.2, just reading $\widetilde{\Delta}_0$ instead of Δ_0, $X_{\pm\widetilde{\alpha}}$ instead of $X_{\pm\alpha}$, $X_{\widetilde{\beta}}$ instead of X_β, $\pi(\widetilde{\alpha})(H)$ instead of $\alpha(H)$ and $H_{\pi(\widetilde{\alpha})}$ instead of H_α.

(2) Odd and even generators (also involving the $X_{\widetilde{\gamma}}$'s, $\widetilde{\gamma} \in \widetilde{\Delta}_1$):

$$X_{\widetilde{\gamma}}\, f(H) \;=\; f\big(H - \pi(\widetilde{\gamma})(H)\big)\, X_{\widetilde{\gamma}}$$
$$\forall\; \widetilde{\gamma} \in \widetilde{\Delta}_1\,,\; h \in \mathfrak{h}\,,\; f(T) \in \mathbb{K}[T]$$

$$X_{-\widetilde{\gamma}}\, X_{\widetilde{\gamma}} \;=\; -X_{\widetilde{\gamma}}\, X_{-\widetilde{\gamma}} + H_{\widetilde{\gamma}} \qquad \forall\; \widetilde{\gamma} \in \widetilde{\Delta}_1 \cap \big(-\widetilde{\Delta}_1\big)$$
$$\text{with} \quad H_{\widetilde{\gamma}} := \big[X_{\widetilde{\gamma}}\,,\, X_{-\widetilde{\gamma}}\big] \in \mathfrak{h}_{\mathbb{Z}}\,,$$

$$X_{\widetilde{\gamma}}\, X_{\widetilde{\delta}} \;=\; -X_{\widetilde{\delta}}\, X_{\widetilde{\gamma}} + c_{\widetilde{\gamma},\widetilde{\delta}}\, X_{\widetilde{\gamma}+\widetilde{\delta}}\,, \qquad \forall\; \widetilde{\gamma},\widetilde{\delta} \in \widetilde{\Delta}_1\,,\; \pi(\widetilde{\gamma}) + \pi(\widetilde{\delta}) \neq 0$$
$$\text{with } c_{\widetilde{\gamma},\widetilde{\delta}} \text{ as in Definition 6.2,}$$

$$X_{(\alpha,(0,1))}\, X_{(-\alpha,(1,1))} \;=\; X_{(-\alpha,(1,1))}\, X_{(\alpha,(0,1))} + X_{(0,(1,\alpha))}\,, \qquad \forall\, \alpha \in \Delta$$
$$\text{with } X_{(0,(1,\alpha))} := \textstyle\sum_{k=1}^n e_{\alpha;k}\, X_{(0,(1,k))} \text{ as in Definition 6.2}(c.Q),$$

$$X_{(0,(1,i))}\, X_{(0,(1,j))} \;=\; -X_{(0,(1,j))}\, X_{(0,(1,i))} + 2\, H_{i,j}\,, \qquad \forall\, i\,, j$$
$$\text{with } H_{i,j} := \big[X_{(0,(1,i))}\,,\, X_{(0,(1,j))}\big] \in \mathfrak{h}_{\mathbb{Z}} \text{ as in Definition 3.3}(c.Q),$$

$$X_{\widetilde{\alpha}}^{(n)}\, X_{\widetilde{\gamma}} \;=\; X_{\widetilde{\gamma}}\, X_{\widetilde{\alpha}}^{(n)} + \textstyle\sum_{k=1}^n \left(\prod_{s=1}^k \varepsilon_s\right) \binom{r+k}{k} X_{\widetilde{\gamma}+k\,\widetilde{\alpha}}\, X_{\widetilde{\alpha}}^{(n-k)}$$
$$\forall\; n \in \mathbb{N},\quad \forall\; \widetilde{\alpha} \in \widetilde{\Delta}_0\,,\; \widetilde{\gamma} \in \widetilde{\Delta}_1 \,:\; \widetilde{\alpha} \neq \pm 2\,\widetilde{\gamma}\,,\; \widetilde{\alpha} \neq (0,(1,i))\,, \text{ for any } i\,,$$

with $\quad \sigma_{\widetilde{\gamma}}^{\widetilde{\alpha}} = \big\{\widetilde{\gamma} - r\,\widetilde{\alpha}\,,\ldots,\widetilde{\gamma}\,,\ldots,\widetilde{\gamma} + q\,\widetilde{\alpha}\big\}\,,\quad X_{\widetilde{\gamma}+k\,\widetilde{\alpha}} := 0 \text{ if } \big(\widetilde{\gamma}+k\,\widetilde{\alpha}\big) \notin \widetilde{\Delta}\,,$

and $\quad \varepsilon_s = \pm 1\quad$ such that $\quad\big[X_\alpha\,, X_{\gamma+(s-1)\,\alpha}\big] = \varepsilon_s\,(r+s)\, X_{\gamma+s\,\alpha}\,,$

$$X_{(\alpha,(0,1))}^{(n)}\, X_{(0,(1,k))} \;=\; X_{(0,(1,k))}\, X_{(\alpha,(0,1))}^{(n)} - \alpha(H_k)\, X_{(\alpha,(0,1))}^{(n-1)}\, X_{(\alpha,(1,1))}$$
$$\forall\; n \in \mathbb{N},\quad \forall\; \alpha \in \Delta_0\,,\quad \forall\; k\,,$$

$$X_{\widetilde{\gamma}}\, X_{\widetilde{\alpha}}^{(n)} \;=\; X_{\widetilde{\alpha}}^{(n)}\, X_{\widetilde{\gamma}}\,, \qquad X_{-\widetilde{\gamma}}\, X_{-\widetilde{\alpha}}^{(n)} \;=\; X_{-\widetilde{\alpha}}^{(n)}\, X_{-\widetilde{\gamma}}$$
$$X_{-\widetilde{\gamma}}\, X_{\widetilde{\alpha}}^{(n)} \;=\; X_{\widetilde{\alpha}}^{(n)}\, X_{-\widetilde{\gamma}} + z_{\widetilde{\gamma}}\, \pi(\widetilde{\gamma})(H_{\widetilde{\gamma}})\, X_{\widetilde{\alpha}}^{(n-1)}\, X_{\widetilde{\gamma}}$$
$$X_{\widetilde{\gamma}}\, X_{-\widetilde{\alpha}}^{(n)} \;=\; X_{-\widetilde{\alpha}}^{(n)}\, X_{\widetilde{\gamma}} - z_{\widetilde{\gamma}}\, \pi(\widetilde{\gamma})(H_{\widetilde{\gamma}})\, X_{-\widetilde{\alpha}}^{(n-1)}\, X_{-\widetilde{\gamma}}$$
$$\forall\; n \in \mathbb{N},\quad \forall\; \widetilde{\gamma} \in \widetilde{\Delta}_1\,,\; \widetilde{\alpha} = 2\,\widetilde{\gamma} \in \widetilde{\Delta}_0\,,\; z_{\widetilde{\gamma}} := c_{\widetilde{\gamma},\widetilde{\gamma}}/2 = \pm 2$$

Using these relations, one proves the *PBW-like theorem* for $K_{\mathbb{Z}}(\mathfrak{g})$, i.e. Theorem 4.7, with the same arguments as in the other cases. What changes is only the statement, as root vectors are now indexed by elements of $\widetilde{\Delta}$.

A similar comment applies to the Corollary 4.9 and the Remarks after it.

6.3. Chevalley supergroups and their properties

The construction of Chevalley supergroups of types $A(1,1)$, $P(3)$ and $Q(n)$ follows step by step that of other cases in Chapter 5. Like for the previous steps, one essentially has only to change root vectors indexed by elements of Δ with root vectors indexed by $\widetilde{\Delta}$.

The ingredients and the strategy are exactly the same, in particular one fixes a Chevalley basis to start with. The second ingredient is the notion of admissible lattices: their definition, existence and description of their stabilizers are dealt with just like in §5.1.

Using an admissible lattice, we define supergroup functors $x_{\widetilde{\delta}}$, h_H and h_i, associated to each $\widetilde{\delta} \in \widetilde{\Delta}$, $H \in \mathfrak{h}_{\mathbb{Z}}$ and $i = 1, \ldots, \ell$, just like in Definition 5.6. The analysis carried on about such objects in §5.2—in particular, Proposition 5.8—extends to the present context too. Then we introduce the direct analogue of Definition 5.9, where the $x_{\widetilde{\delta}}$'s replace the x_δ's, thus getting the notions of Chevalley supergroup functor G and Chevalley supergroup $\mathbf{G}$. Similarly, all definitions and considerations about G_0 and $\mathbf{G}_0$—the latter being (almost) a classical Chevalley-like algebraic group—also extend to the present case: in particular, their relations with G and $\mathbf{G}$ are the same as before.

To extend the construction and analysis carried on in §5.3, we can repeat the same definitions, up to replacing Δ with $\widetilde{\Delta}$, $\Delta^\pm$ with $\widetilde{\Delta}^\pm$, α with $\widetilde{\alpha}$, etc. Thus we have subsets $G_1^{\pm,<}(A)$ and subgroups $G_1(A)$, $G_0^\pm(A)$, $G_1^\pm(A)$ and $G^\pm(A)$ of $G(A)$, and similarly with "$\mathbf{G}$" instead of "G".

The first modification we have to do is in Lemma 5.13, which now reads

LEMMA 6.5.
(a) Let $\widetilde{\alpha} = \big(\alpha, (0,1)\big) \in \widetilde{\Delta}_0$, $\widetilde{\gamma} \in \widetilde{\Delta}_1$, $A \in \text{(salg)}$ and $t \in A_0$, $\vartheta \in A_1$. If $\widetilde{\gamma} \notin \big\{\big(-\alpha, (1,1)\big), \big(0, (1,i)\big)\big\}$, there exist $c_s \in \mathbb{Z}$ such that
$$\big(x_{\widetilde{\gamma}}(\vartheta), x_{\widetilde{\alpha}}(t)\big) = \prod_{s>0} x_{\widetilde{\gamma}+s\,\widetilde{\alpha}}\big(c_s\, t^s \vartheta\big) \in G_1(A)$$
(the product being finite). More precisely (cf. §6.2 for the notation),
$$\big(1 + \vartheta X_{\widetilde{\gamma}}, x_{\widetilde{\alpha}}(t)\big) = \prod_{s>0}\Big(1 + \prod_{k=1}^{s} \varepsilon_k \cdot \binom{s+r}{r} \cdot t^s \vartheta X_{\widetilde{\gamma}+s\,\widetilde{\alpha}}\Big)$$
where the factors in the product are taken in any order (as they do commute).
If $\widetilde{\gamma} = -\big(\alpha, (1,1)\big)$, then—with notation of Definition 6.2(c.Q) —
$$\big(x_{\widetilde{\gamma}}(\vartheta), x_{\widetilde{\alpha}}(t)\big) = x_{(0,(1,\alpha))}(-t\,\vartheta) := \big(1 - t\,\vartheta\, X_{(0,(1,\alpha))}\big) =$$
$$= \prod_k \big(1 - e_{\alpha;k}\, t\,\vartheta X_{(0,(1,k))}\big) = \prod_k x_{(0,(1,k))}(-e_{\alpha;k}\, t\,\vartheta) \in G_1(A)$$
where the factors in the product are taken in any order (as they do commute).
If instead $\widetilde{\gamma} = \big(0, (1,i)\big)$ for some i, then
$$\big(x_{\widetilde{\gamma}}(\vartheta), x_{\widetilde{\alpha}}(t)\big) = x_{\widetilde{\gamma}+\widetilde{\alpha}}\big(\alpha(H_i)\, t\,\vartheta\big) = \big(1 + \alpha(H_i)\, t\,\vartheta X_{(\alpha,(1,1))}\big) \in G_1(A)$$

(b) Let $\widetilde{\gamma}, \widetilde{\delta} \in \widetilde{\Delta}_1$, $A \in \text{(salg)}$, $\vartheta, \eta \in A_1$. Then (notation of Definition 6.2)
$$\big(x_{\widetilde{\gamma}}(\vartheta), x_{\widetilde{\delta}}(\eta)\big) = x_{\widetilde{\gamma}+\widetilde{\delta}}\big(-c_{\widetilde{\gamma},\widetilde{\delta}}\,\vartheta\,\eta\big) = \big(1 - c_{\widetilde{\gamma},\widetilde{\delta}}\,\vartheta\,\eta X_{\widetilde{\gamma}+\widetilde{\delta}}\big) \in G_0(A)$$
if $\pi(\widetilde{\gamma}) + \pi(\widetilde{\delta}) \neq 0$; otherwise, for $\widetilde{\gamma} = \big(\gamma, (1,1)\big)$, $\widetilde{\delta} = \big(-\gamma, (1,1)\big) =: -\widetilde{\gamma}$,
$$\big(x_{\widetilde{\gamma}}(\vartheta), x_{-\widetilde{\gamma}}(\eta)\big) = \big(1 - \vartheta\,\eta\, H_{\widetilde{\gamma}}\big) = h_{H_{\widetilde{\gamma}}}\big(1 - \vartheta\,\eta\big) \in G_0(A)$$

and eventually, for $\widetilde{\gamma} = \big(0, (1, i)\big)$, $\widetilde{\delta} = \big(0, (1, j)\big)$,

$$\big(x_{(0,(1,i))}(\vartheta)\, ,\, x_{(0,(1,j))}(\eta)\big) \;=\; \big(1 - 2\,\vartheta\,\eta\,H_{i,j}\,\big) \;=:\; h_{\alpha_{H_{i,j}}}\big(1 - 2\,\vartheta\,\eta\big) \;\in\; G_0(A)$$

with $\alpha_{H_{i,j}} \in \mathfrak{h}^*$ *corresponding to* $H_{i,j} \in \mathfrak{h}$ —*notation of* §6.2.

 (c) Let $\widetilde{\alpha}, \widetilde{\beta} \in \widetilde{\Delta}$, $A \in (\mathrm{salg})$, $t \in U(A_0)$, $\mathbf{u} \in A_0 \times A_1 = A$. *Then*

$$h_{\widetilde{\alpha}}(t)\, x_{\widetilde{\beta}}(\mathbf{u})\, h_{\widetilde{\alpha}}(t)^{-1} \;=\; x_{\widetilde{\beta}}\big(t^{\pi(\widetilde{\beta})(H_{\pi(\widetilde{\alpha})})}\,\mathbf{u}\big) \;\in\; G_{p(\widetilde{\beta})}(A)$$

where $p(\widetilde{\beta}) := r$, *by definition, if and only if* $\widetilde{\beta} \in \widetilde{\Delta}_r$.

  The proof of the above follows right the same arguments as before.

  Then all results from Theorem 5.15 to Proposition 5.26 extend to the present case, both for statements and proofs—more or less *verbatim* indeed. In particular, we have factorizations $G \cong G_0 \times G_1^<$ and $\mathbf{G} \cong \mathbf{G}_0 \times \mathbf{G}_1^<$—as well as the "big cell"-type ones—the latter implying that the group functor $\mathbf{G}$ is *representable*, thus it is an algebraic supergroup.

  Finally, *all the content of* §5.4 *and* §5.5 *extends to the present context*, without any change. This means that all our construction still are independent of specific choices, and that the algebraic supergroups thus obtained do have the original Lie superalgebras as their tangent Lie superalgebras.

Sheafification

In this Appendix we discuss the concept of sheafification of a functor in super-geometry. Most of this material is known or easily derived from known facts. We include it here for completeness and lack of an appropriate reference.

Hereafter we shall make a distinction between a superscheme X and its functor of points, that we shall denote by h_X or, if $X = \underline{Spec}(A)$, by h_A.

We start by defining *local* and *sheaf* functors. For their definitions in the classical setting see for example [**9**], pg. 16, or [**10**], ch. VI.

DEFINITION A.1. Let $F : (\text{salg}) \longrightarrow (\text{sets})$ be a functor. Fix $A \in (\text{salg})$. Let $\{f_i\}_{i \in I} \subseteq A_0$, $(\{f_i\}_{i \in I}) = A_0$ and let $\phi_i : A \to A_{f_i}$, $\phi_{ij} : A_{f_i} \to A_{f_i f_j}$ be the natural morphism, where $A_{f_i} := A[f_i^{-1}]$. We say that F is *local* if for any $A \in (\text{salg})$ for any family $\{\alpha_i\}_{i \in I}$, $\alpha_i \in F(A_{f_i})$, such that $F(\phi_{ij})(\alpha_i) = F(\phi_{ji})(\alpha_j)$ for all i and j, there exists a unique $\alpha \in F(A)$ such that $F(\phi_i)(\alpha) = \alpha_i$ for all possible families $\{f_i\}_{i \in I}$ described above.

We want to rewrite this definition in more geometric terms in order to show that this is essentially the gluing property appearing in the usual definition of sheaf on a topological space.

We first observe that there is a contravariant equivalence of categories between the category of commutative superalgebras (salg) and the category of affine su-perschemes (aschemes), i.e. those superschemes that are the spectrum of some superalgebra (see Chapter 2 for more details). The equivalence is realized by $A \mapsto \underline{Spec}(A)$ and it is explained in full details in [**7**], Observation 5.1.6. Hence a functor $F : (\text{salg}) \longrightarrow (\text{sets})$ can also be equivalenty regarded as a functor $F : (\text{aschemes})^\circ \longrightarrow (\text{sets})$. With an abuse of notation we shall use the same letter to denote both functors.

Let F be a local functor, regarded as $F : (\text{aschemes})^\circ \longrightarrow (\text{sets})$, and let F_A be its restriction to the affine open subschemes of $\underline{Spec}(A)$. Then F_A is a sheaf in the usual sense; we must just forget the subscheme structure of the affine subschemes of $\underline{Spec}(A)$ and treat them as open sets in the topological space $Spec(A)$, their morphisms being the inclusions. Then F_A being a functor means that it is a presheaf in the Zariski topology, while the property detailed in Definition A.1 ensures the gluing of any family of local sections which agree on the intersection of any two parts of an open covering.

The most interesting—for us—example of local functor is the following:

PROPOSITION A.2. *(see* [**7**], *Proposition 5.3.5) If X is a superscheme, its functor of points* $(\text{salg}) \xrightarrow{h_X} (\text{sets})$, $A \mapsto h_X(A) := \text{Hom}\big(\underline{Spec}(A), X\big)$, *is local.*

We now turn to the following problem. If we have a presheaf $\mathcal{F}$ on a topological space in the ordinary sense, we can always build its sheafification, which is a sheaf

$\widetilde{\mathcal{F}}$ together with a sheaf morphism $\alpha : \mathcal{F} \longrightarrow \widetilde{\mathcal{F}}$. This is the (unique) sheaf, which is locally isomorphic to the given presheaf and has the following universal property: any presheaf morphism $\phi : \mathcal{F} \longrightarrow \mathcal{G}$, with $\mathcal{G}$ a sheaf, factors via α (for more details on this construction, see [**16**], Ch. II). We now want to give the same construction in our more general setting.

The existence of sheafification of a functor from the category of algebras to the category of sets is granted in the ordinary case by [**9**], ch. I, §1, no. 4, which is also nicely summarized in [**9**], ch. III, §1, no. 3. The proof is quite formal and one can carry it to the supergeometric setting. We however prefer to introduce Grothendieck topologies and the concept of *site* and to construct the sheafification of a functor from (salg) to (sets) through them. In fact, as we shall see, very remarkably Grothendieck's treatment is general enough to comprehend supergeometry. For more details one can refer to [**15**] and [**32**].

DEFINITION A.3. We call a category $\mathcal{C}$ a *site* if it has a *Grothendieck topology*, i.e. to every object $U \in \mathcal{C}$ we associate a collection of so-called *coverings* of U, i.e. sets of arrows $\{U_i \longrightarrow U\}$, such that:

i) If $V \longrightarrow U$ is an isomorphism, then the set $\{V \longrightarrow U\}$ is a covering;

ii) If $\{U_i \longrightarrow U\}$ is a covering and $V \longrightarrow U$ is any arrow, then the fibered products $\{U_i \times_U V\}$ exist and the collection of projections $\{U_i \times_U V\} \longrightarrow V$ is a covering;

iii) If $\{U_i \longrightarrow V\}$ is a covering and for each index i we have a covering $\{V_{ij} \longrightarrow U_i\}$, then the collection $\{V_{ij} \longrightarrow U_i \longrightarrow U\}$ is a covering of U.

One can check that (salg), (aschemes) and their ordinary correspondents are sites (for the existence of fibered products in such categories see [**7**], ch. 5).

DEFINITION A.4. Let $\mathcal{C}$ be a site. A functor $F : \mathcal{C}^\circ \longrightarrow$ (sets) is called *sheaf* if for all objects $U \in \mathcal{C}$, coverings $\{U_i \longrightarrow U\}$ and families $a_i \in F(U_i)$ we have the following. Let $p_{ij}^1 : U_i \times_U U_j \longrightarrow U_i$, $p_{ij}^2 : U_i \times_U U_j \longrightarrow U_j$ denote the natural projections and assume $F(p_{ij}^1)(a_i) = F(p_{ij}^2)(a_j) \in F(U_i \times_U U_j)$ for all i, j. Then $\exists ! \, a \in F(U)$ whose pull-back to $F(U_i)$ is a_i, for every i.

We are ready for the sheafification of a functor in this very general setting.

DEFINITION A.5. Let $\mathcal{C}$ be a site and let $F : \mathcal{C}^\circ \longrightarrow$ (sets) be a functor (in a word, it is a set-valued "presheaf" on $\mathcal{C}^\circ$). A *sheafification* of F is a sheaf $\widetilde{F} : \mathcal{C}^\circ \longrightarrow$ (sets) with a natural transformation $\alpha : F \longrightarrow \widetilde{F}$, such that:

i) for any $U \in \mathcal{C}$ and $\xi, \eta \in F(U)$ such that $\alpha_U(\xi) = \alpha_U(\eta)$ in $\widetilde{F}(U)$, there is a covering $\{\sigma_i : U_i \longrightarrow U\}$ such that $F(\sigma_i)(\xi) = F(\sigma_i)(\eta)$ in $F(U_i)$;

ii) for any $U \in \mathcal{C}$ and any $\xi \in \widetilde{F}(U)$, there is a covering $\{\sigma_i : U_i \longrightarrow U\}$ and elements $\xi_i \in F(U_i)$ such that $\alpha_{U_i}(\xi_i) = \widetilde{F}(\sigma_i)(\xi)$ in $\widetilde{F}(U_i)$.

The next theorem states the fundamental properties of the sheafification.

THEOREM A.6 (cf. [**32**]). *Let $\mathcal{C}$ be a site, $F : \mathcal{C}^\circ \longrightarrow$ (sets) a functor.*

i) *If $\widetilde{F}$ is a sheafification of F with $\alpha : F \longrightarrow \widetilde{F}$, then any morphism $\psi : F \longrightarrow G$, with G a sheaf, factors uniquely through $\widetilde{F}$.*

ii) *F admits a sheafification $\widetilde{F}$, unique up to a canonical isomorphism.*

We shall use this construction for $\mathcal{C} =$ (aschemes), or equivalently $\mathcal{C}^\circ =$ (salg).

OBSERVATION A.7. Let $F : (\text{aschemes})^{\circ} \longrightarrow (\text{sets})$ be a functor, $\widetilde{F}$ its sheafification. Then $\widetilde{F}_A$ is the sheafification of F_A in the usual sense, that is the sheafification of F as sheaf defined on the topological space $Spec(A)$. In particular, since a sheaf and its sheafification are locally isomorphic, we have that $F_{A,p} \cong \widetilde{F}_{A,p}$, i.e. they have isomorphic stalks (via the natural map $\alpha : F \longrightarrow \widetilde{F}$) at any $p \in Spec(A)$, for all superalgebras A. To ease the notation we shall drop the suffix A and write just F_p instead of $F_{A,p}$.

The rest of this section is devoted to prove the following result:

THEOREM A.8. Let $F, G : (\text{salg}) \longrightarrow (\text{sets})$ be two functors, with G sheaf. Assume we have a natural transformation $F \longrightarrow G$, which is an isomorphism on local superalgebras, i.e. $F(R) \cong G(R)$ (via this map) for all local superalgebras R. Then $\widetilde{F} \cong G$. In particular, $F \cong G$ if also F is a sheaf.

LEMMA A.9. Let $F : (\text{salg}) \longrightarrow (\text{sets})$ be a functor; for $p \in Spec(A)$, let $F_p = \varinjlim F(R)$, where the direct limit is taken for the rings R corresponding to the open affine subschemes of $\underline{Spec}(A)$ containing p. Then $F_p = F(A_p)$.

PROOF. By Yoneda's Lemma, we have

$$F_p = \varinjlim F(R) = \varinjlim \text{Hom}(h_R, F) = \text{Hom}(h_{\varinjlim R}, F) = \text{Hom}(h_{A_p}, F) = F(A_p)$$

as $\varinjlim$ and Hom commute (cf. [**23**], p. 141) and $A_p = \varinjlim R$ (cf. [**1**], p. 47). $\square$

LEMMA A.10. Let $A \in (\text{salg})$, $p \in Spec(A_0)$. Then A_p (= the localization at p of A as an A_0–module) is a local superalgebra, whose maximal ideal is $\mathfrak{m} = \big(\mathfrak{m}_0, (A_1)_p\big)$, where $\mathfrak{m}_0$ is the maximal ideal in the algebra $(A_0)_p = (A_p)_0$.

PROOF. From $A = A_0 \oplus A_1$ we get $A_p = (A_0)_p \oplus (A_1)_p$, and clearly this is a superalgebra with $(A_p)_0 = (A_0)_p$, $(A_p)_1 = (A_1)_p$. Now let us consider $\mathfrak{m} := \big(\mathfrak{m}_0, (A_1)_p\big) = \mathfrak{m}_0 + (A_1)_p$. By the above, $\mathfrak{m} \neq A_p = (A_0)_p \oplus (A_1)_p$. Now take $x \notin \mathfrak{m}$: then $x = x_0 + x_1$ with $x_0 \in (A_0)_p$, $x_1 \in (A_1)_p$, so x_0 is invertible in $(A_0)_p \subseteq (A_1)_p$ and x_1 is nilpotent, hence x is invertible. $\square$

PROPOSITION A.11. Let $F, G : (\text{salg}) \longrightarrow (\text{sets})$ be local functors and let $\alpha : F \longrightarrow G$ be a natural transformation. Assume that $F_A \cong G_A$ via α, where F_A and G_A denote the ordinary sheaves corresponding to the restrictions of F and G to the category of open affine subschemes in $\underline{Spec}(A)$ (morphisms given by the inclusions). Then α is an isomorphism, hence $F \cong G$.

PROOF. We can certainly write an inverse for α_A for every object A, the problem is to see if it is well behaved on the arrows. However, this is true because α is a natural transformation. $\square$

We are ready for the proof of Theorem A.8:

PROOF OF THEOREM A.8. Assume first F and G are sheaves. Since $F(R) \cong G(R)$ for all local algebras R, by Lemma A.9 this implies that $F_p \cong G_p$ for all $p \in Spec(A)$, for all superalgebras A. Hence $F_A \cong G_A$ by [**16**], ch. II, §1.1. By Proposition A.11, we have that $F \cong G$ (all isomorphisms have to be intended via the natural transformation $\alpha : F \longrightarrow \widetilde{F}$).

Now assume F is not a sheaf. We have $\alpha : F \longrightarrow \widetilde{F} \longrightarrow G$ by Theorem A.6. If $A \in (\text{salg})$, restricting our functors to the open affine sets in $\underline{Spec}(A)$ we get

$F_A \to \widetilde{F}_A \to G_A$. By Observation A.7, F_A and $\widetilde{F}_A$ are locally isomorphic via α, so $F_p \cong \widetilde{F}_{A,p}$. By hypothesis $F(R) \cong G(R)$, so $F_p \cong G_p$ by Proposition A.9, hence $\widetilde{F}_p \cong G_p$. Arguing as before, we get the result. $\qquad\square$

Along the same lines, the reader can prove the following proposition:

PROPOSITION A.12. *Let* $\phi : F \longrightarrow G$ *be a natural transformation between two local functors from* (salg) *to* (sets)*. Assume we know* ϕ_R *for all local superalgebras* R*. Then* ϕ *is uniquely determined.*

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